



Atty Ref/Docket No.: 1035.017US1

To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):

2. Name and address of receiving party(ies):

Kristine E. Frank, Brad A. Acker, Michael D. Ennis,  
Jed F. Fisher, Jian-min Fu, William W. McWhorter Jr.,  
Jeanette K. Morris, Donald Joseph Rogier and Eric Jon Jacobsen

Name: Pharmacia & Upjohn Company

Additional name(s) of conveying party(ies) attached? [ ]Yes [X]No

Street Address: 301 Henrietta Street

3. Nature of conveyance:

- Assignment  Merger
- Security Agreement  Change of Name
- Other \_\_\_\_\_

*1-22-02*

City: Kalamazoo State: MI ZIP: 49001

Additional name(s) & address(es) attached? [ ]Yes [X]No

Execution Date: December 13, 2001, December 13, 2001, December 18,  
2001, December 17, 2001, December 12, 2001, December 13, 2001,  
December 12, 2001, December 17, 2001, December 19, 2001

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is: \_\_\_\_\_

A. Patent Application No.(s)

B. Patent No.(s)

Serial No. 09/957,625, filed September 20, 2001

Additional numbers attached? [ ]Yes [X]No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Robert J. Harris, Ph.D.

Address: Schwegman, Lundberg, Woessner & Kluth, P.A.  
P.O. Box 2938  
Minneapolis, MN 55402

6. Total number of applications and patents involved: 1

7. Total fee (37 CFR 3.41): \$ 40.00

- Enclosed
- Authorized to be charged to deposit account

8. Please charge any additional fees or credit any overpayments to our  
Deposit account number: 19-0743

**DO NOT USE THIS SPACE**

9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Robert J. Harris, Ph.D./Reg. No. 37,346  
Name of Person Signing

*[Signature]*  
Signature

12-20-01  
Date

Total number of pages including cover sheet: 6

OMB No. 0651-0011 (exp. 4/94)

02/07/2002 TBIAZ1 00000151 09957625

01 FC:581

40.00 DP

Do not detach this portion

Mail documents to be recorded with required cover sheet information to:

Commissioner of Patents and Trademarks  
Box Assignments  
Washington, D.C. 20231

Public burden reporting for this sample cover sheet is estimated to average about 30 minutes per document to be recorded, including time for reviewing the document and gathering the data needed, and completing and reviewing the sample cover sheet. Send comments regarding this burden estimate to the U.S. Patent and Trademark Office, Office of information systems, PK2-1000C, Washington, D.C. 20231, and to the Office of Management and Budget, Paperwork Reduction Project (0651-0011), Washington, D.C. 20503.

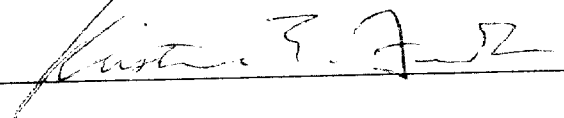
**ASSIGNMENT**

**Title:** SUBSTITUTED AZEPINO[4,5b]INDOLE DERIVATIVES  
**Inventors:** Kristine E. Frank, Brad A. Acker, Michael D. Ennis, Jed F. Fisher, Jian-min Fu, William W. McWhorter Jr., Jeanette K. Morris, Donald Joseph Rogier, Eric Jon Jacobsen  
**Serial No.:** 09/957,625 **Filing Date:** September 20, 2001  
**Docket No.:** 00123 (1035.017US1) **Country:** United States of America  
**Execution Date of Application:**

As a below-named inventor, I hereby declare that:

My post office address is as stated below under my signature and I am named as inventor of the inventions or discoveries (herein INVENTIONS) as described in the patent application (herein APPLICATION) identified above. In view of valuable consideration, receipt thereof is hereby acknowledged, I do hereby assign and transfer unto PHARMACIA & UPJOHN COMPANY, a corporation of the State of Delaware having a place of business at Kalamazoo, Michigan, its successors and assigns, my entire interest in and the full and exclusive right to the INVENTIONS, the APPLICATION and all related applications (including all divisions, reissues, continuations, and extensions thereof) and all counterparts in other countries, and any and all Letters Patent (and certificates of invention or similar certificates) (herein PATENTS) which may be granted based upon the INVENTIONS or the APPLICATION or related applications or counterparts in other countries; said transfer and assignment being applicable throughout the world. I hereby authorize and request officials of patent offices in any and all countries of the world to issue any and all of the PATENTS, when granted, to PHARMACIA & UPJOHN COMPANY, its successors and assigns, as the assignee of my entire right, title, and interest in and to the same. I agree that I will communicate to PHARMACIA & UPJOHN COMPANY, or its representatives, any facts known to me respecting the invention; testify in any legal proceedings; sign all lawful papers; execute all divisional, continuation, substitution, renewal, and reissue applications; execute all necessary assignment papers to cause any and all of the PATENTS to be issued to PHARMACIA & UPJOHN COMPANY; make all rightful oaths; and generally do everything possible to aid PHARMACIA & UPJOHN COMPANY, its successors and assigns, to obtain and enforce proper protection for the INVENTION in any and all countries throughout the world. I hereby authorize and request the attorneys of record in said application to insert in this assignment the execution date and/or filing date and serial number of said application when officially known.

Full Name of joint inventor number 1 : Kristine E. Frank

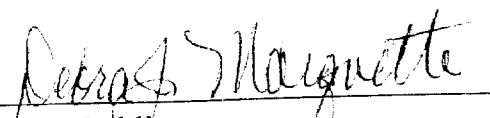
Signature of Inventor: 

Address: 8117 Black Forest Drive  
Portage, MI 49002-5880

STATE OF: MICHIGAN  
 COUNTY OF: KALAMAZOO

On December 13, 2001, the above-named inventor personally appeared before me and executed the foregoing instrument and acknowledged the same to be his/her free act and deed in and for the purposes set forth in said instrument.

SEAL \_\_\_\_\_

  
 Notary Public

Full Name of joint inventor number 2 : Brad A. Acker

Signature of Inventor: *Brad A. Acker*

Address: 1734 Tanager Lane  
Kalamazoo, MI 49009

STATE OF: Michigan

COUNTY OF: Kalamazoo

On December 13, 2001, the above-named inventor personally appeared before me and executed the foregoing instrument and acknowledged the same to be his/her free act and deed in and for the purposes set forth in said instrument.

SEAL \_\_\_\_\_

*Debra J. Marquette*  
Notary Public

DEBRA J. MARQUETTE  
Notary Public, Kalamazoo County, MI  
My Commission Expires 9/25/2004

Full Name of joint inventor number 3 : Michael D. Ennis

Signature of Inventor: *Michael D. Ennis*

Address: 8355 Brandon Circle  
Mattawan, MI 49071

STATE OF: Michigan

COUNTY OF: Kalamazoo

On December 18, 2001, the above-named inventor personally appeared before me and executed the foregoing instrument and acknowledged the same to be his/her free act and deed in and for the purposes set forth in said instrument.

SEAL \_\_\_\_\_

*Debra J. Marquette*  
Notary Public

DEBRA J. MARQUETTE  
Notary Public, Kalamazoo County, MI  
My Commission Expires 9/25/2004

Full Name of joint inventor number 4 : Jed F. Fisher

Signature of Inventor: Jed F. Fisher

Address: 3517 Old Colony Road  
Kalamazoo, MI 49008-2913

STATE OF: MICHIGAN

COUNTY OF: KALAMAZOO

On December 17, 2001, the above-named inventor personally appeared before me and executed the foregoing instrument and acknowledged the same to be his/her free act and deed in and for the purposes set forth in said instrument.

SEAL \_\_\_\_\_

Debra J. Marquette  
Notary Public

DEBRA J. MARQUETTE  
Notary Public, Kalamazoo County, MI  
My Commission Expires 9/25/2004

Full Name of joint inventor number 5 : Jian-min Fu

Signature of Inventor: Jian-min Fu

Address: 5123 Heathrow Avenue  
Kalamazoo, MI 49009

STATE OF: MICHIGAN

COUNTY OF: KALAMAZOO

On December 12, 2001, the above-named inventor personally appeared before me and executed the foregoing instrument and acknowledged the same to be his/her free act and deed in and for the purposes set forth in said instrument.

SEAL \_\_\_\_\_

Debra J. Marquette  
Notary Public

DEBRA J. MARQUETTE  
Notary Public, Kalamazoo County, MI  
My Commission Expires 9/25/2004

Full Name of joint inventor number 6 :

William W. McWhorter Jr.

Signature of Inventor: William W. McWhorter Jr.

Address: 349 Glendale Boulevard  
Parchment, MI 49004

STATE OF: MICHIGAN

COUNTY OF: KALAMAZOO

On December 13, 2001, the above-named inventor personally appeared before me and executed the foregoing instrument and acknowledged the same to be his/her free act and deed in and for the purposes set forth in said instrument.

SEAL \_\_\_\_\_

Debra J. Marquette

Notary Public

DEBRA J. MARQUETTE

Notary Public, Kalamazoo County, MI  
My Commission Expires 9/25/2004

Full Name of joint inventor number 7 :

Jeanette K. Morris

Signature of Inventor: Jeanette K. Morris

Address: 2309 Hazel  
Kalamazoo, MI 49008

STATE OF: MICHIGAN

COUNTY OF: KALAMAZOO

On December 12, 2001, the above-named inventor personally appeared before me and executed the foregoing instrument and acknowledged the same to be his/her free act and deed in and for the purposes set forth in said instrument.

SEAL \_\_\_\_\_

Debra J. Marquette

Notary Public

DEBRA J. MARQUETTE  
Notary Public, Kalamazoo County, MI  
My Commission Expires 9/25/2004

Full Name of joint inventor number 8 :

Donald Joseph Rogier

Signature of Inventor: Donald J Rogier Jr

Address: 246 Timber Ridge Drive  
Kalamazoo, MI 49006  
Administration

STATE OF: MICHIGAN

COUNTY OF: KALAMAZOO

On December 17, 2001, the above-named inventor personally appeared before me and executed the foregoing instrument and acknowledged the same to be his/her free act and deed in and for the purposes set forth in said instrument.

SEAL \_\_\_\_\_

Debra J. Marquette  
Notary Public  
DEBRA J. MARQUETTE  
Notary Public, Kalamazoo County, MI  
My Commission Expires 9/25/2004

Full Name of joint inventor number 9 :

Eric Jon Jacobsen

Signature of Inventor: E J Jacobsen

Address: 3701 Willow Lake Drive  
Kalamazoo, MI 49008

STATE OF: MICHIGAN

COUNTY OF: KALAMAZOO

On December 19, 2001, the above-named inventor personally appeared before me and executed the foregoing instrument and acknowledged the same to be his/her free act and deed in and for the purposes set forth in said instrument.

SEAL \_\_\_\_\_

Debra J. Marquette  
Notary Public  
DEBRA J. MARQUETTE  
Notary Public, Kalamazoo County, MI  
My Commission Expires 9/25/2004