

02-11-2002



FORM PTO-1595

(Rev. 6-93)

OMB No. 0651-0011 (exp. 4/94)

101979401

FORM COVER SHEET U.S. DEPARTMENT OF COMMERCE

Patent and Trademark Office  
00P07514US01*Resubm*

To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.

Name of conveying party(ies)

*1-8-02*  
Michael Ross Massie – 440 Springfield Drive, Bristol, TN 37620  
Alan D. McNutt – 2902 Newbern Drive, Johnson City, TN 37604

Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

3. Nature of conveyance:

☒ Assignment ☐ Merger  
☐ Security Agreement ☐ Change of Name  
☐ Other \_\_\_\_\_

Execution Date: August 20, 2001

(IN RESPONSE TO DOCUMENT I.D. NO. 101855315).

2. Name and address of receiving party(ies)

Name: Siemens Energy & Automation, Inc.

Internal Address: \_\_\_\_\_

Street Address: 3333 Old Milton ParkwayCity: Alpharetta State: Georgia ZIP: 30005Additional name(s) & address(es) attached? ☐ Yes ☒ No

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is: \_\_\_\_\_

A. Patent Application No.(s)

B. Patent No.(s)

09/814,221Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Elsa Keller

Internal Address: \_\_\_\_\_

Siemens CorporationIntellectual Property DepartmentStreet Address: 186 Wood Avenue SouthCity: Iselin State: NJ ZIP: 088306. Total number of applications and patents involved: one7. Total Fee (37 CFR 3.41) . . . . . \$ 40.00☐ Enclosed☒ Authorized to be charged to deposit account

8. Deposit Account No.

19-2179

DO NOT USE THIS SPACE

9. Statement and signature

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Benjamin M. Rubin Reg. No. 44,310

Name of Person Signing

*Benjamin M. Rubin*  
Signature*1/8/02*  
DatePATENT  
REEL: 012552 FRAME: 0042



09-26-2001



101855315

09/814221

FORM PTO-1595

(Rev. 6-93)

OMB No. 0651-0011 (exp. 4/94)

RECORDATION FORM COVER SHEET  
PATENTS ONLY

U.S. DEPARTMENT OF COMMERCE

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19-2179

09/25/2001 T0IAZ1 00000258 192179 09814221

01 FC:581 40.00 CH

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9. Statement and signature

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Benjamin M. Rubin Reg. No. 44,310

Name of Person Signing

Signature

Date

PATENT  
REEL: 012552 FRAME: 0043

**ASSIGNMENT**

For good and valuable consideration, We, Michael Ross Massie, residing at 440 Springfield, Drive, Bristol, Tennessee 37620 USA; and Alan D. McNutt, residing at 2902 Newbern Drive, Johnson City, Tennessee 37604 USA; hereafter individually or collectively referred to as "Assignor";

Hereby sell, assign and transfer to Siemens Energy & Automation, Inc., a corporation organized and existing under the state laws of Georgia, having its principal place of business at 3333 Old Milton Parkway, Alpharetta, Georgia, 30005, hereinafter "Assignee", its successors, assigns and legal representatives, the entire right, title and interest in and for the United States and all foreign countries, in and to any and all improvements which are disclosed in the application for United States Letters Patent, which has been executed by the undersigned and filed on March 21, 2001, Serial No. 09/814,221, entitled:

**A COMMUNICATION INTERFACE SYSTEM, METHOD AND APPARATUS**

and in and to said application and all divisional, continuing, substitute, renewal, reissue, and all other applications for Letters Patent which have been or shall be filed in the United States and all foreign countries on any of said improvements; and in and to all original and reissued patents which have been or shall be filed in the United States and all foreign countries on said improvements;

Agree that said Assignee may apply for and receive Letters Patent for said improvements in its own name; and that, when requested, without charge to but at the expense of said Assignee, its successors, assigns and legal representatives, to carry out in good faith the intent and purpose of this assignment, the undersigned will execute all divisional, continuing, substitute, renewal, reissue, and all other patent applications on any and all said improvements; execute all rightful oaths, assignments, powers of attorney and other papers; communicate to said Assignee, its successors, assigns, and legal representatives, all facts known to the undersigned relating to said improvements and the history thereof; and generally do everything possible which said Assignee, its successors, assigns or legal representatives shall consider desirable for aiding in securing and maintaining proper patent protection for said improvements and for vesting title to said improvements and all applications for patents and all patents on said improvements, in said Assignee, its successors, assigns and legal representatives; and

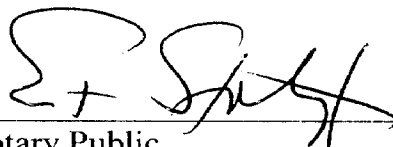
Covenant with said Assignee, its successors, assigns and legal representatives that no assignment, grant, mortgage, license or other agreement affecting the rights and property herein conveyed has been made to others by the undersigned, and that full right to convey the same as herein expressed is possessed by the undersigned.

 (L.S.)  
Michael Ross Massie

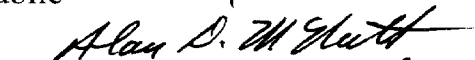
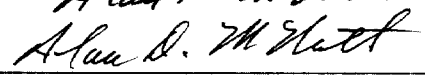
State of )  
 ) : ss  
County of )

Before me this 20<sup>th</sup> day of August, 2001 personally appeared Michael Ross Massie to me personally known to be the person who is described in and who executed the above instrument, and acknowledged to me that he executed the same of his own free will for the purpose therein set forth.

[Seal]

  
Notary Public

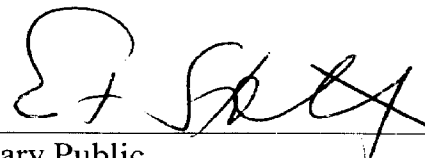
My Commission Expires  
1-25-05

  
 (L.S.)  
Alan D. McNutt

State of )  
 ) : ss  
County of )

Before me this 20<sup>th</sup> day of August, 2001 personally appeared Alan D. McNutt to me personally known to be the person who is described in and who executed the above instrument, and acknowledged to me that he executed the same of his own free will for the purpose therein set forth.

[Seal]

  
Notary Public

My Commission Expires  
1-25-05

Please return the recorded assignment to:  
Elsa Keller, Legal Assistant  
Intellectual Property Department  
Siemens Corporation  
186 Wood Avenue South  
Iselin, New Jersey 08830  
Tel. No. (732) 321-3026

**RECORDED: 01/08/2002**

**PATENT**  
**REEL: 012552 FRAME: 0045**