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U.S. DEPARTMENT OF COMMERCE
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To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof

1. Name of conveying party(ies):

Yoshiyasu Ueno

02/01/02

Additional name(s) of conveying party(ies) attached?

☐

Yes

☒

No

3. Nature of Conveyance:

☒ Assignment☐ Merger☐ Security Agreement☐ Change of Name☐ Other

Execution Date: January 28, 2002

2. Name and address of receiving party(ies)

Name: NEC Corporation

Internal Address:

Street Address:

7-1, Shiba 5-Chome
Minato-ku 108-8001

City: Tokyo

State: Japan

Zip:

Additional name(s) &
address(es) attached:☐

Yes

☒

No

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the new application is: January 28, 2002

A. Patent Application No.(s):

B. Patent No.(s):

1060324

Additional numbers attached?

☐

Yes

☒

No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Stephen A. Soffen

DICKSTEIN SHAPIRO MORIN & OSHINSKY LLP

Internal Address: Atty. Dkt.: S2005.0002/P002

Street Address: 1177 Avenue of the Americas

City:

New York

State:

NY

Zip:

10036-2714

6. Total number of applications and patents involved:

1

7. Total fee (37 CFR 3.41)

\$ 40.00

☒

Enclosed

☒

Authorized to be charged to deposit account

8. Deposit account number:

04-1073

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9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Stephen A. Soffen (31,063)

Name of Person Signing

Signature

February 1, 2002

Date

Total number of pages including cover sheet, attachments, and documents: 2

02/06/2002 EHAILE1 00000028 10060324

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PATENT APPLICATION ASSIGNMENT -
SOLE OR JOINT INVENTORS
EXECUTED OUTSIDE U.S.A.

OFGS FILE NO. _____

WHEREAS, I (We), YOSHIYASU UENOas assignor(s), have invented certain
improvements in _____METHOD AND SYSTEM FOR ALL-OPTICAL SIGNAL REGENERATIONfor
which an application for United States Letters Patent has been executed by me (us) of
even date herewith; andWHEREAS, NEC Corporation7-1, Shiba 5-chome, Minato-ku, Tokyo, Japanas assignee, is desirous of acquiring all right, title and interest in and to said
invention and any Patent that may be granted therefor.

NOW, THEREFORE, in consideration of One Dollar (\$1.00) and other good and
valuable consideration, the receipt of which is hereby acknowledged, I (We), as as-
signor(s), hereby sell, assign and set over to said assignee the entire right, title
and interest for the United States and all other countries in and to said invention
and the aforesaid application for Patent, all original, divisional, continuation,
substitute or reissue applications and patents applied for or granted therefor in the
United States and all other countries, including all rights of priority from the fil-
ing of said application, and all rights for past infringement, and the Commissioner
of Patents and Trademarks is hereby authorized and requested to issue all patents on
said inventions or resulting therefrom to said assignee herein, as assignee of the
entire interest therein; and the undersigned for myself (ourselves) and my (our)
legal representatives, heirs and assigns do hereby agree and covenant without further
remuneration, to execute and deliver all divisional, continuation, reissue and other
applications for Patent on said inventions and all assignments thereof to said
assignee or its assigns, to communicate to said assignee or its representatives all
facts known to the undersigned respecting said inventions, whenever requested, to
testify in any interferences or other legal proceedings in which any of said applica-
tions or patents may become involved, to sign all lawful papers, make all rightful
oaths, and to do generally everything necessary to assist assignee, its successors,
assigns and nominees to obtain patent protection for said invention in the United
States and all other countries, the expenses incident to said applications to be
borne and paid by said assignee.

Yoshiyasu UenoDate: January 28, 2002

YOSHIYASU UENO

Date: _____

Date: _____

Date: _____

Date: _____

Witness:

*Fumitake Watanabe**[Signature]*

RECORDED: 02/01/2002

PATENT

REEL: 012554 FRAME: 0132