

02-13-2002

SHEET

U.S. DEPARTMENT OF COMMERCE  
Patent and Trademark Office  
Docket No.: 6670/0K002



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FEB 04 2002

101980492

To the Honorable Commissioner of

Attached original documents or copy thereof.

1. Name of conveying party(ies):

Joanne PAQUIN; Mircea-Alexandru MATEESCU; Eric DE GRANDPRE

Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

3. Nature of conveyance:

- ☒ Assignment ☐ Merger  
☐ Security Agreement ☐ Change of Name  
☐ Other

Execution Date: November 1, 2001

2. Name and address of receiving party(ies):

Name: **Universite Du Quebec A Montreal**

Internal Address:

Street Address: **Case postale 8888, Succursale**

**Centre-Ville, Montreal**

City: **Quebec** State: **Canada** Zip: **H3C 3P8**

Additional name(s) & address(es) attached? ☐ Yes ☒ No

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is:

A. Patent Application No.(s)

10/012,730, filed November 5, 2001

EXPRESS MAIL CERTIFICATE  
Date 2/4/02 Label No. 276772335305  
I hereby certify that, on the date indicated above, this paper or  
B. Patent No. deposited with the U.S. Postal Service & that it was  
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Patents, Washington, DC 20231 by "Express Mail Post Office  
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Additional numbers attached? ☐ Yes ☒ No

Signature

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Darby & Darby P.C.

Internal Address: S. Peter Ludwig

Street Address: 805 Third Avenue, 27th Floor

City: New York State: New York Zip: 10022-7513

6. Total number of applications and patents involved:

1

7. Total fee (37 CFR 3.41):.....\$ 40.00

☒ Enclosed

☐ Authorized to be charged to deposit account

8. Deposit account number:

04-0100

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To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Marc S. Gross (19,614)  
Name of Person Signing

Signature

February 4, 2002  
Date

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Commissioner of Patents & Trademarks, Box Assignments  
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PATENT  
REEL: 012556 FRAME: 0501

ASSIGNMENT

The undersigned PAQUIN, Joanne; MATEESCU, Mircea-Alexandru; and DE GRANDPRÉ, Éric

whose full post office addresses are (address is) 10151 Georges Baril, Montréal (Québec) CANADA H2C 2M9; 377 Sherbrooke O, Apt. 505, Montréal (Québec) CANADA H3A 1B5; and 532 Olivier, Joliette (Québec) CANADA J6E 5L9

in consideration of one dollar, to us (me) paid by UNIVERSITÉ DU QUÉBEC À MONTRÉAL

(hereinafter referred as the assignee)

whose full post office address is: Case postale 8888, Succursale Centre-Ville, Montréal (Québec) CANADA H3C 3P8

receipt of which is hereby acknowledged, and other good and valuable considerations, we (I) do hereby sell and assign (and/or confirm such sale and assignment) to the said assignee all our (my) right, title and interest in Canada, the United States and everywhere else throughout the world, in and to our (my) invention entitled CERULOPLASMIN AND AN ANTIOXIDANT COMPOSITION COMPRISING THE SAME AND THEIR USES AS NEUROPROTECTIVE AGENT

as fully described and claimed in International PCT patent application No. PCT/CA00/00529 filed on May 5, 2000 and to all our (my) corresponding right, title and interest in and to any patent which may issue therefor in Canada, the United States or elsewhere.


We (I) further agree to execute all the Forms and papers that may be necessary to file an original, continuation, divisional or reissue application in the United States and to extend patent protection on the above invention worldwide in the name of the Assignee.

It is the express wish of the parties that this Agreement and all related documents be drafted in the English language. C'est la volonté expresse des parties que cette convention et tous les documents y afférant soient rédigés en langue anglaise.

SIGNED this 1<sup>er</sup> day of novembre 2001  
(day) (month) (year)

at MONTREAL QUEBEC CANADA  
(City) (Province) (Country)

WITNESS

  
Full name: MULHBACHER, Jérôme

  
PAQUIN, Joanne

SIGNED this 1<sup>st</sup> day of November 2001  
 (day) (month) (year)  
 at Montreal Quebec Canada  
 (City) (Province) (Country)

## WITNESS

*[Signature]*  
 Full name: MULHABER, Séverine

*M. A. Mateescu*  
 MATEESCU, Mircea-Alexandru

SIGNED this 1 day of November 2001  
 (day) (month) (year)  
 at Montreal Qc Canada  
 (City) (Province) (Country)

## WITNESS

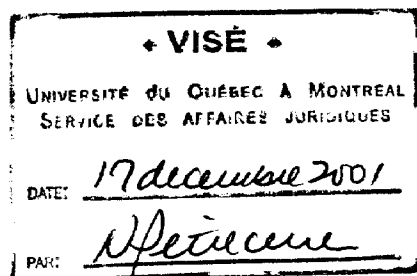
*Joanne Paquin*  
 Full name: JOANNE PAQUIN

*Eric De Grandpré*  
 DE GRANDPRÉ, Eric

## READ AND APPROVED

SIGNED this 17 day of December 2001  
 (day) (month) (year)  
 at Montreal Quebec Canada  
 (City) (Province) (Country)

By: UNIVERSITÉ DU QUÉBEC  
 À MONTRÉAL



*[Signature]*  
 Name: CODERRE, Daniel  
 Title: Vice-Rector  
 (Research and Creation)