

02-13-2002



U.S. DEPARTMENT OF COMMERCE Patent and Trademark Office

Docket No. GC691

10-	1981314 ——————			
To the Assistant Commissioner for Patents: Please record the attached original documents or copy thereof.				
Name of conveying party(ies): Nigel Dunn-Coleman, Frits Goedegebuur, Michael Ward, and Jian Yao 2 -7 - 0 Z	Name and address of receiving party(ies): Name: GENENCOR INTERNATIONAL, INC.			
Additional name(s) of conveying party(ies) attached? Yes No	Address: 925 Page Mill Road Palo Alto, CA 94304-1013			
3. Nature of conveyance:				
Assignment (2)				
☐ Security Agreement ☐ Change of Name				
☐ Other	Additional name(s) & address(es) attached? Yes No			
Execution Date: 11/12/01, 11/19/01, 11/20/01, and 11/26/01				
4. Application number(s) or patent number(s): If this document is being filed together with a new application, the execution date of the application is:				
A. Patent Application No.(s)	B. Patent No.(s)			
09/957,880				
Additional numbers attache	d? ☐ Yes ☒ No			
5. Name and address of party to whom correspondence concerning document should be mailed:	6. Total number of applications and patents involved: 1			
Name: Victoria L. Boyd	7. Total fee (34 CFR 3.41) \$80.00			
Address: GENENCOR INTERNATIONAL, INC. 925 Page Mill Road Palo Alto, California 94304-1013	Enclosed Authorized to be charged to deposit account			
Faio Aito, Gainorna 9-99-1919	8. Deposit Account number: 07-1048 (Attach duplicate copy of this page if paying by deposit account.)			
DO NOT USE	THIS SPACE			
02/13/2002 ANMED1 00000046 071048 09957880)				
01 FC:581 40.00 CH				
9. Statement and signature. To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.				
Victoria L. Boyd Name of Person Signing Nignature	$\frac{1-11-02}{\text{Date}}$			
Traine or Ference Organing	Total number of pages including cover sheet: 7			

Assignment

WHEREAS, Nigel Dunn-Coleman, residing at 142 Johnson Avenue, Los Gatos, California 95032; Frits Goedegebuur, residing at Rozenlaan 128, Vlandingen, The Netherlands 3135 XV; Michael Ward, residing at 4372 24th Street, San Francisco, California 94114 and Jian Yao, residing at 1761 Wright Avenue, Sunnyvale, California 94087 has/have invented a new and useful invention entitled Novel Trichoderma Beta-Glucosidase and an application for United States Letters Patent was filed on September 21, 2001, United States Serial No. 09/957,880; and

WHEREAS, **Genencor International**, **Inc.**, a corporation organized and existing under and by virtue of the laws of the State of Delaware, having its principal place of business at 925 Page Mill Road, Palo Alto, California 94304-1013, is desirous of acquiring an interest in and to said invention, and in the Letters Patents to be obtained therefor;

NOW, THEREFORE, be it known by all whom it may concern:

That for good and valuable consideration the receipt of which is hereby acknowledged, the said inventors have and do hereby sell, assign, transfer and set over unto the said Genencor International, Inc., its successors and assigns, the full and exclusive right, title and interest, including all rights under the Paris Convention for the Protection of Industrial Property, in and to said invention, and in and to any and all Letters Patents to be granted and issued therefor, not only for, to and in the United States of America, its territories and possessions, but for, to and in all other countries, and it has been and is hereby authorized and requested that the appropriate government agencies issue said Letters Patents to said Genencor International, Inc. in accordance with this Assignment.

Said Inventor/Inventors hereby covenant/covenants and agree/agrees to cooperate with Genencor International, Inc. to enable said Genencor International, Inc. to enjoy to the fullest extent the right, title and interest herein conveyed in the United States and foreign countries. Such cooperation by said Inventors shall include prompt production of pertinent facts and documents, giving of testimony, execution of petitions, oaths, specifications, declarations or other papers and other assistance, all to the extent deemed necessary or desirable by said Genencor International, Inc. for (a) perfecting the right, title and interest herein conveyed, (b) prosecuting any of said applications, (c) filing and prosecuting applications for reissuance of any said patents, (d) interference or other priority proceedings involving said invention, and (e) legal proceedings involving said invention and any applications therefor and any patents granted thereon, including without limitation, opposition proceedings, cancellation proceedings, priority contests, public use proceedings, infringement actions and court actions, provided, however,

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GC691

PATENT REEL: 012557 FRAME: 0735

IN WITNESS WHEREOF I undersign as follow	rs:
	Mish a IMand
	Michael Ward
STATE OF CALIFORNIA) COUNTY OF SANTA CLARA)	
On Moviz, 2001, before me, And Hangle, Muchael Nord personally known to me or proven evidence to be the person whose name is subscribed acknowledged to me that he/she executed the same in purposes set forth therein.	to the foregoing instrument, and
Witness my hand and official seal.	KAY L. GAVIGLIO COMM. # 1179027 OTARY PUBLIC CALIFORNIA SANTA CLARA COUNTY XPIRES APRIL 9, 2002
IN WITNESS WHEREOF I undersign as follow STATE OF CALIFORNIA) COUNTY OF SANTA CLARA)	Jian Yao
Or 2001, before me, And Lutter personally known to me or proven evidence to be the person whose name is subscribed acknowledged to me that he/she executed the same in purposes set forth therein.	to the foregoing instrument, and
Witness my hand and official seal.	
ANKE E. LUTTRELL COMM. \$ 1288536 NOTARY PUBLIC • CALIFORNIA SANTA CLARA COUNTY COMM. Exp. JUNE 24, 2004	

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Assignment

WHEREAS, Nigel Dunn-Coleman, residing at 142 Johnson Avenue, Los Gatos, California 95032; Frits Goedegebuur, residing at Rozenlaan 128, Wlandingen, The Netherlands 3135 XV; Michael Ward, residing at 4372 24th Street, San Francisco, California 94114 and Jian Yao, residing at 1761 Wright Avenue, Sunnyvale, California 94087 has/have invented a new and useful invention entitled Novel Trichoderma Beta-Glucosidase and an application for United States Letters Patent was filed on September 21, 2001, United States Serial No. 09/957,880; and

WHEREAS, **Genencor International, Inc.**, a corporation organized and existing under and by virtue of the laws of the State of Delaware, having its principal place of business at 925 Page Mill Road, Palo Alto, California 94304-1013, is desirous of acquiring an interest in and to said invention, and in the Letters Patents to be obtained therefor:

NOW, THEREFORE, be it known by all whom it may concern:

That for good and valuable consideration the receipt of which is hereby acknowledged, the said inventors have and do hereby sell, assign, transfer and set over unto the said Genencor International, Inc., its successors and assigns, the full and exclusive right, title and interest, including all rights under the Paris Convention for the Protection of Industrial Property, in and to said invention, and in and to any and all Letters Patents to be granted and issued therefor, not only for, to and in the United States of America, its territories and possessions, but for, to and in all other countries, and it has been and is hereby authorized and requested that the appropriate government agencies issue said Letters Patents to said Genencor International, Inc. in accordance with this Assignment.

Said Inventor/Inventors hereby covenant/covenants and agree/agrees to cooperate with Genencor International, Inc. to enable said Genencor International, Inc. to enjoy to the fullest extent the right, title and interest herein conveyed in the United States and foreign countries. Such cooperation by said Inventors shall include prompt production of pertinent facts and documents, giving of testimony, execution of petitions, oaths, specifications, declarations or other papers and other assistance, all to the extent deemed necessary or desirable by said Genencor International, Inc. for (a) perfecting the right, title and interest herein conveyed, (b) prosecuting any of said applications, (c) filing and prosecuting applications for reissuance of any said patents, (d) interference or other priority proceedings involving said invention, and (e) legal proceedings involving said invention and any applications therefor and any patents granted thereon, including without limitation, opposition proceedings, cancellation proceedings, priority contests, public use proceedings, infringement actions and court actions, provided, however,

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PATENT REEL: 012557 FRAME: 0737 that the expense incurred by said Inventors in providing such cooperation shall be paid for by said Genencor International, Inc.

The terms and covenants of this Assignment shall inure to the benefit of said Genencor International, Inc., its successors, assigns and other legal representatives, and shall be binding upon said Inventors, their/his/her respective heirs, legal representatives and assigns.

Said Inventors hereby warrant and represent that they/he/she have not entered and will not enter into any assignment, contract or understanding in conflict herewith.

IN WITNESS WHEREOF I undersign as follows:

	Nigel Dunn-Coleman
STATE OF CALIFORNIA COUNTY OF SANTA CLARA)
evidence to be the person whose na	, Notary Public, personally appeared, on to me or proven to me on the basis of satisfactory ame is subscribed to the foregoing instrument, and ecuted the same in his/her authorized capacity for the
Witness my hand and official seal.	
IN WITNESS WHEREOF I u	Indersign as follows: this <u>20</u> day of <u>NOVEMBER</u> , 2001.
	By: Frits Goedegebuur
WITNESSED BY:	Signature: Printed Name of Witness: Printed Name of Witness:

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IN WITNESS WHEREOF I undersign as follows:

	-	Michael Ward
STATE OF CALIFORNIA COUNTY OF SANTA CLARA)	
evidence to be the person whose n	ame is subscribe	, Notary Public, personally appeared, n to me on the basis of satisfactory d to the foregoing instrument, and in his/her authorized capacity for the
Witness my hand and official seal.		
IN WITNESS WHEREOF I	undersign as follo	ws:
	-	Jian Yao
STATE OF CALIFORNIA COUNTY OF SANTA CLARA)	
evidence to be the person whose n	vn to me or prove ame is subscribed	, Notary Public, personally appeared, n to me on the basis of satisfactory d to the foregoing instrument, and in his/her authorized capacity for the

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RECORDED: 02/07/2002