

04-02-2002

Docket No.: 458653-11

FORM PTO-1595 (Modified)  
(Rev. 6-93)  
OMB No. 0651-0011 (excl. 4/94)  
Copyright 1994-97 LegalStar  
P08/REV02



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SHEET

U.S. DEPARTMENT OF COMMERCE

Patent and Trademark Office

Tab settings → → → ▼

To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.

## 1. Name of conveying party(ies):

Bank One Colorado, N.A.

12/17/01

Additional names(s) of conveying party(ies) ☐ Yes ☒ No

## 3. Nature of conveyance:

- ☐ Assignment ☐ Merger  
☐ Security Agreement ☐ Change of Name  
☒ Other Termination and Release of Patent Security

Execution Date: November 16, 2001

## 2. Name and address of receiving party(ies):

Name: Vari-L Company, Inc.

Internal Address: \_\_\_\_\_

Street Address: 4895 Peoria StreetCity: Denver State: CO ZIP: 80239Additional name(s) & address(es) attached? ☐ Yes ☒ No

## 4. Application number(s) or registration numbers(s):

If this document is being filed together with a new application, the execution date of the application is: \_\_\_\_\_

## A. Patent Application No.(s)

See Attached  
Exhibit 4A

## B. Patent No.(s)

See Attached  
Exhibit 4BAdditional numbers attached? ☒ Yes ☐ No

## 5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Carolyn PetersInternal Address: Dorsey & Whitney LLPStreet Address: Wells Fargo Plaza170 South Main Street, Suite 900City: Salt Lake City State: UT ZIP: 841016. Total number of applications and patents involved: **14**7. Total fee (37 CFR 3.41):.....\$ 560.00

- ☒ Enclosed - Any excess or insufficiency should be credited or debited to deposit account  
☐ Authorized to be charged to deposit account

## 8. Deposit account number:

04-1415

12/26/2001 LINDALLER 00000016 60170609

DO NOT USE THIS SPACE

01 FC:581

560.00 OP

## 9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Carolyn Peters

Name of Person Signing

Signature

December 17, 2001

Date

Total number of pages including cover sheet, attachments, and document

**8**

REEL: 012559 FRAME: 0392

**EXHIBIT 4A**

*(Continuation of Box 4-A of Recordation Form Coversheet)*

**PATENT APPLICATIONS**

SERIAL NUMBER: 60170609

FILING DATE: 12/14/1999

PATENT NUMBER:

ISSUE DATE:

SERIAL NUMBER:

FILING DATE:

PATENT NUMBER:

ISSUE DATE:

PCT NUMBER: US9916185

INTERNATIONAL PUBLICATION NUMBER: WO 00/04633

INTERNATIONAL PUBLICATION DATE: 01/27/00

**EXHIBIT 4B**

*(Continuation of Box 4-B of Recordation Form Coversheet)*

**PATENT REGISTRATIONS**

SERIAL NUMBER: 09093763  
PATENT NUMBER: 5867069

FILING DATE: 06/09/1998  
ISSUE DATE: 02/02/1999

SERIAL NUMBER: 09094124  
PATENT NUMBER: 5856769

FILING DATE: 06/09/1998  
ISSUE DATE: 01/05/1999

SERIAL NUMBER: 08755705  
PATENT NUMBER: 5742213

FILING DATE: 11/25/1996  
ISSUE DATE: 04/21/1998

SERIAL NUMBER: 08527861  
PATENT NUMBER: 5619172

FILING DATE: 09/14/1995  
ISSUE DATE: 04/08/1997

SERIAL NUMBER: 08757293  
PATENT NUMBER: 5903431

FILING DATE: 11/27/1996  
ISSUE DATE: 05/11/1999

SERIAL NUMBER: 09305190  
PATENT NUMBER: 5982243

FILING DATE: 05/04/1999  
ISSUE DATE: 11/09/1999

SERIAL NUMBER: 09304651  
PATENT NUMBER: 5999061

FILING DATE: 05/04/1999  
ISSUE DATE: 12/07/1999

SERIAL NUMBER: 08680037  
PATENT NUMBER: 5675478

FILING DATE: 07/15/1996  
ISSUE DATE: 10/07/1997

SERIAL NUMBER: 06213204  
PATENT NUMBER: 4355421

FILING DATE: 12/05/1980  
ISSUE DATE: 10/19/1982

SERIAL NUMBER: 06742194  
PATENT NUMBER: 4621241

FILING DATE: 06/07/1985  
ISSUE DATE: 11/04/1986

SERIAL NUMBER:  
PATENT NUMBER: 4125810  
PCT NUMBER: US9916185

FILING DATE:  
ISSUE DATE:

SERIAL NUMBER:  
PATENT NUMBER: 4079415  
PCT NUMBER: US9916185

FILING DATE:  
ISSUE DATE:

**TERMINATION AND RELEASE**  
**OF**  
**PATENT SECURITY**

**VARI-L COMPANY, INC.**

**TERMINATION AND RELEASE OF PATENT SECURITY** dated as of November 16, 2001, by **BANK ONE COLORADO, N.A.**, a national banking association having a place of business at 1125 17<sup>th</sup> Street, 4<sup>th</sup> Floor, Denver, Colorado 80202, as collateral agent (hereinafter, in such capacity, the "Collateral Agent"), pursuant to a General Security Agreement dated as of March 24, 2000 (the "Agreement"), by **VARI-L COMPANY, INC.**, a Colorado corporation (the "Company").

**WHEREAS**, the Company and the Collateral Agent entered into a General Security Agreement dated as of March 24, 2000 which General Security Agreement was recorded with the United States Patent and Trademark Office at Reel 011103, Frame 0195 for the purpose of security payment and performance of Obligations (as defined by the Agreement);

**WHEREAS**, pursuant to the Agreement, the Company granted to the Collateral Agent a security interest in, and granted, assigned and conveyed to the Collateral Agent, by way of collateral security, the Company's entire right, title and interest in and to Patent Collateral (as defined in the Agreement, and collectively know hereinafter as the "Patents," including without limitation the Patents identified on Schedule A attached hereto); and

**WHEREAS**, the Collateral Agent has agreed to terminate and release its security interest in the Patents as herein provided:

**NOW, THEREFORE**, for valuable consideration the Collateral Agent hereby terminates and releases its security interest in the Patents, including without limitation the Patents identified on Schedule A attached hereto, and the Collateral Agent hereby assigns and transfers to the Company, without representations, warranty or recourse, all of the Collateral Agent's right, title and interest in and to the Patents, including without limitation the Patents listed on Schedule A attached hereto, effective as of the date set forth above. Collateral Agent will execute such further documents as deemed reasonably necessary by Company to confirm and effect this termination and release.

**BANK ONE COLORADO, N.A.**  
as Collateral Agent

By: 

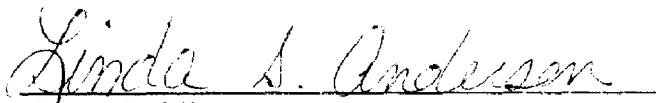
Name: Gregg L. Brown

Title: Vice President

# CERTIFICATE OF ACKNOWLEDGMENT

STATE OF COLORADO                    )  
  ) ss.  
COUNTY OF DENVER                    )

Before me, the undersigned, a Notary Public in and for the county aforesaid, on this 16<sup>th</sup> day of November, 2001, personally appeared Gregg L. Brown, to me known personally, and who, being by me duly sworn, deposes and says that he is Vice President, Western Region Managed Assets, of Bank One Colorado, N.A., and that said instrument was signed and sealed on behalf of said bank by his authority as its Vice President, Western Region Managed Assets, and said instrument to be the free act and deed of said bank.

  
Notary Public  
My Commission Expires: 12-12-2001

# **SCHEDULE A**



UNITED STATES DEPARTMENT OF COMMERCE  
Patent and Trademark Office  
ASSISTANT SECRETARY AND COMMISSIONER  
OF PATENTS AND TRADEMARKS  
Washington, D.C. 20231

NOVEMBER 15, 2000

PTAS



\*101473128A\*

UNITED STATES PATENT AND TRADEMARK OFFICE  
NOTICE OF RECORDATION OF ASSIGNMENT DOCUMENT

THE ENCLOSED DOCUMENT HAS BEEN RECORDED BY THE ASSIGNMENT DIVISION OF THE U.S. PATENT AND TRADEMARK OFFICE. A COMPLETE MICROFILM COPY IS AVAILABLE AT THE ASSIGNMENT SEARCH ROOM ON THE REEL AND FRAME NUMBER REFERENCED BELOW.

PLEASE REVIEW ALL INFORMATION CONTAINED ON THIS NOTICE. THE INFORMATION CONTAINED ON THIS RECORDATION NOTICE REFLECTS THE DATA PRESENT IN THE PATENT AND TRADEMARK ASSIGNMENT SYSTEM. IF YOU SHOULD FIND ANY ERRORS OR HAVE QUESTIONS CONCERNING THIS NOTICE, YOU MAY CONTACT THE EMPLOYEE WHOSE NAME APPEARS ON THIS NOTICE AT 703-308-9723. PLEASE SEND REQUEST FOR CORRECTION TO: U.S. PATENT AND TRADEMARK OFFICE, ASSIGNMENT DIVISION, BOX ASSIGNMENTS, CG-4, 1213 JEFFERSON DAVIS HWY, SUITE 320, WASHINGTON, D.C. 20231.

RECORDATION DATE: 09/14/2000

REEL/FRAME: 011103/0195  
NUMBER OF PAGES: 7

BRIEF: SECURITY AGREEMENT

ASSIGNOR:  
VARI-L COMPANY, INC.

DOC DATE: 03/24/2000

ASSIGNEE:  
BANK ONE, COLORADO, N.A.  
1125 17TH STREET, 4TH FLOOR  
DENVER, COLORADO 80202

SERIAL NUMBER: 60170609  
PATENT NUMBER:

FILING DATE: 12/14/1999  
ISSUE DATE:

SERIAL NUMBER: 09093763  
PATENT NUMBER: 5867069

FILING DATE: 06/09/1998  
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SERIAL NUMBER: 09094124  
PATENT NUMBER: 5856769

FILING DATE: 06/09/1998  
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SERIAL NUMBER: 08755705  
PATENT NUMBER: 5742213

FILING DATE: 11/25/1996  
ISSUE DATE: 04/21/1998

SCHEDULE A

PATENT  
REEL: 012559 FRAME: 0398

011103/0195 PAGE 2

SERIAL NUMBER: 08527861  
PATENT NUMBER: 5619172

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PATENT NUMBER: 5903431

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PATENT NUMBER: 5675478

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SERIAL NUMBER: 06213204  
PATENT NUMBER: 4355421

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ISSUE DATE: 10/19/1982

SERIAL NUMBER: 06742194  
PATENT NUMBER: 4621241

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ISSUE DATE: 11/04/1986

SERIAL NUMBER:  
PATENT NUMBER: 4125810  
PCT NUMBER: US9916185

FILING DATE:  
ISSUE DATE:

SERIAL NUMBER:  
PATENT NUMBER: 4079415  
PCT NUMBER: US9916185

FILING DATE:  
ISSUE DATE:

SERIAL NUMBER:  
PATENT NUMBER:  
PCT NUMBER: US9916185

FILING DATE:  
ISSUE DATE:

ALLYSON PURNELL, EXAMINER  
ASSIGNMENT DIVISION  
OFFICE OF PUBLIC RECORDS



2002 MAR 15 AM 11:19

Attorney Docket No.  
458653-11 TERM

## FINANCE SECTION

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re: Termination and Release of Patent Security

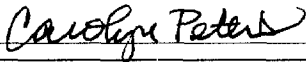
Reg. Nos.: see attachments

Reg. Date.: see attachments

Serial Nos.: see attachments

Filing Dates: see attachments

Examiner: Sharon Brooks

<p>U.S. Patent and Trademark Office Assignment Division Box Assignments, CG-4 1213 Jefferson Davis Hwy, Suite 320 Washington, D.C. 20231</p>	<p>Certificate of Mailing by First Class Mail</p> <p>Date of Deposit: <u>March 6, 2002</u></p> <p>I hereby certify that this paper or fee is being deposited with the United States Postal Service as First Class Mail service under 37 CFR 1.8 on the date indicated above and is addressed to the Commissioner for Patents, Washington, D.C. 20231.</p> <p>Name: <u>Carolyn Peters</u></p> <p>Signature: <u></u></p>
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**REQUEST FOR CORRECTION OF  
NOTICE OF RECORDATION OF ASSIGNMENT DOCUMENT**

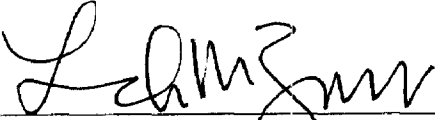
To Whom It May Concern:

The Notice of Recordation of Assignment Document dated February 23, 2002, contains the following error:

- The number of pages should be 8 rather than 5.

A copy of the Notice with the correction noted thereon is attached for your convenience. Correction of the error as well as a corrected Notice of Recordation are respectfully requested.

Dated this 6<sup>th</sup> day of March, 2002.

  
Linda M. Zimmermann, Esq.

cc: Greg H. Jordan, Esq.