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U.S. DEPARTMENT OF COMMERCE  
U.S. Patent and Trademark Office

To the honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):

GEC Alsthom Diesels Limited

2.1.08

2. Name and address of receiving party(ies)

Name: Alstom Engines Ltd

Internal Address: \_\_\_\_\_

FEB - 1 2002

Additional name(s) of conveying party(ies) attached?  Yes  No

3. Nature of conveyance:

- Assignment  Merger
- Security Agreement  Change of Name
- Other \_\_\_\_\_

Street Address: Standard Works, Hythe Hill,

Colchester

City: Essex CO1 2HW Country: United Kingdom

Execution Date: June 22, 1998

Additional Name(s) & address(es) attached?  Yes  No

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is: \_\_\_\_\_

A. Patent Application No.(s) \_\_\_\_\_

B. Patent No. (s) \_\_\_\_\_

U.S. 5,692,378

Additional numbers attached?  Yes  No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Alan Israel

Kirschstein, Ottinger, Israel & Schiffmiller, P.C.

Internal Address: \_\_\_\_\_

Street Address: 489 Fifth Avenue

City: New York State: NY Zip: 10017-6105

6. Total number of applications and patents involved:

7. Total fee (37 CFR 3.41).....\$ 40.00

Enclosed

Authorized to be charged to deposit account

8. Deposit account number:

11-1145

(Attach duplicate copy of this page if paying by deposit account)

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9. Statement and signature.

*To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.*

Alan Israel

Name of Person Signing

Signature

January 14, 2002

Date

Total number of pages including cover sheet, attachments, and documents:

Mail documents to be recorded with required cover sheet information to:  
Commissioner of Patents & Trademarks, Box Assignments  
Washington, D.C. 20231

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40.00 DP

PATENT  
REEL: 012559 FRAME: 0888



# THE COMPANIES ACT 1985

Company No. 759517

The Registrar of Companies for England and Wales hereby certifies that  
MAN B&W DIESEL LTD (originally called ENGLISH ELECTRIC DIESEL ENGINES LIMITED changed its name on 3<sup>rd</sup> January 1968 to ENGLISH ELECTRIC DIESELS LIMITED which was changed on 19<sup>th</sup> April 1972 to GEC DIESELS LIMITED which was changed on 8<sup>th</sup> August 1991 to GEC ALSTHOM DIESELS LIMITED which was changed on 22<sup>nd</sup> June 1998 to ALSTOM ENGINES LTD which was changed on 13<sup>th</sup> June 2000 to MAN B&W DIESEL LTD each change having been made by special resolution and to which approval has been given in accordance with the provisions of the relevant Companies Acts) was incorporated under the Companies Act 1948 as a limited company on 1<sup>st</sup> May 1963.\*\*\*\*\*

Given at Companies House, Cardiff the 24th July 2001

**MRS J. BURTON**  
for the Registrar of Companies



*Companies House*  
— for the record —

**PATENT**  
**REEL: 012559 FRAME: 0889**

CERTIFICATION

I, Christopher Stephen Gibbs, of Haseltine Lake, West Riding House, 67 Albion Street, Leeds LS1 5AA, hereby certify that the attached is a true copy of a signed Certificate issued on 24<sup>th</sup> July 2001 by Companies House, Cardiff under the Companies Act 1985 concerning MAN B&W Diesel Ltd., Company registration no. 759517

Dated: 9 August 2001



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Christopher Stephen Gibbs  
Registered Patent Agent