

02-12-2002



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-PATENTS ONLY-

SHEET

To: Honorable Commissioner of Patents and Trademarks:

Please record the attached original document or copy thereof.

- 1. Name of conveying party:
  - a) Adaptec, Inc.
- 2. Name and address of receiving party: *1.24.02*
  - a) Name: Roxio, Inc.
  - b) Address: 461 S. Milpitas Blvd., Milpitas, CA 95035

- 3. Nature of conveyance
 

<input checked="" type="checkbox"/> Assignment	<input type="checkbox"/> Merger
<input type="checkbox"/> Security Agreement	<input type="checkbox"/> Change of Name
<input type="checkbox"/> Other _____	<input type="checkbox"/> License Agreement

Execution Date: May 5, 2001

- 4. Application Number: 09/841,744

The title of the application is: **METHOD AND SYSTEM FOR AUDIO DATA COLLECTION AND MANAGEMENT**

- 5. Please send all correspondence concerning this document to:

**Rick von Wohld, Esq.**  
 MARTINE & PENILLA, LLP  
 710 Lakeway Drive, Suite 170  
 Sunnyvale, CA 94085  
 Tel. No. (408) 749-6900  
 Fax No.: (408) 749-6901

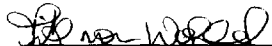
- 6. Total number of applications and patents involved: 1

- 7. Total fee (37 CFR 3.41): \$40.00

- Enclosed
- Any deficiency is authorized to be charged to Deposit Account No. 50-0805 (Order No. ROXIP096A)

- 8. To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Date: December 26, 2001

  
 Rick von Wohld, Esq.  
 Reg. No. 48,018

02/12/2002 AMMED1 00000016 09841744  
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**MASTER PATENT OWNERSHIP AND LICENSE AGREEMENT**

**BETWEEN**  
**ADAPTEC, INC.**  
**AND**  
**ROXIO, INC.**

**Effective as of May 5, 2001**

MASTER PATENT OWNERSHIP AND LICENSE AGREEMENT

TABLE OF CONTENTS

Page

ARTICLE 1 DEFINITIONS..... 1

    1.1 ADAPTEC PATENTS..... 1

    1.2 ANCILLARY AGREEMENTS..... 1

    1.3 ASSIGNED PATENTS ..... 1

    1.4 CONFIDENTIAL INFORMATION ..... 2

    1.5 DISPUTES ..... 2

    1.6 FIRST EFFECTIVE FILING DATE..... 2

    1.7 INVENTION DISCLOSURE..... 2

    1.8 MASTER ASSIGNMENT AND ASSUMPTION AGREEMENT..... 2

    1.9 MASTER CONFIDENTIAL DISCLOSURE AGREEMENT..... 2

    1.10 MASTER SEPARATION AND DISTRIBUTION AGREEMENT ..... 3

    1.11 PATENTS ..... 3

    1.12 PERSON ..... 3

    1.13 RESTRICTED FIELD ..... 3

    1.14 SEPARATION DATE ..... 3

    1.15 SUBSIDIARY..... 3

    1.16 THIRD PARTY ..... 3

    1.17 WILD FILE PATENTS ..... 3

ARTICLE 2 OWNERSHIP ..... 4

    2.1 OWNERSHIP OF PATENTS..... 4

    2.2 PRIOR GRANTS..... 4

    2.3 ASSIGNMENT DISCLAIMER ..... 4

ARTICLE 3 PATENT LICENSES..... 5

    3.1 LICENSE TO WILD FILE PATENTS ..... 5

    3.2 TRANSFER OF PRODUCT BY ADAPTEC ..... 5

    3.3 ADAPTEC LICENSE TO ROXIO FOR CURRENT PRODUCTS ..... 5

    3.4 ROXIO LICENSE TO ADAPTEC FOR CURRENT PRODUCTS ..... 5

    3.5 ADAPTEC LICENSE TO ROXIO FOR SUBSEQUENT PRODUCTS..... 6

    3.6 ROXIO LICENSE TO ADAPTEC FOR SUBSEQUENT PRODUCTS..... 6

    3.7 NO LICENSE GRANTED UNDER EXCLUSIVELY LICENSED PATENTS ..... 6

    3.8 EXTENSION OF LICENSES TO SUBSIDIARIES..... 6

    3.9 LICENSE RIGHTS AS TO CERTAIN OTHER PATENTS..... 6

ARTICLE 4 ADDITIONAL OBLIGATIONS..... 7

    4.1 ADDITIONAL OBLIGATIONS WITH REGARD TO ASSIGNED  
        PATENTS..... 7

    4.2 RECORDATION OF LICENSES ..... 8

TABLE OF CONTENTS  
(continued)

	<u>Page</u>
ARTICLE 5 CONFIDENTIALITY .....	8
ARTICLE 6 TERM AND TERMINATION .....	8
6.1 TERM OF AGREEMENT .....	8
6.2 VOLUNTARY TERMINATION .....	8
6.3 SURVIVAL .....	9
6.4 NO OTHER TERMINATION .....	9
ARTICLE 7 DISPUTE RESOLUTION .....	9
7.1 NEGOTIATION .....	9
7.2 PROCEEDINGS .....	9
7.3 CONTINUITY OF SERVICE AND PERFORMANCE .....	10
ARTICLE 8 LIMITATION OF LIABILITY .....	10
ARTICLE 9 REPRESENTATIONS AND WARRANTIES .....	10
9.1 FULL POWER AND AUTHORITY .....	10
9.2 EXECUTION DULY AUTHORIZED .....	10
ARTICLE 10 MISCELLANEOUS PROVISIONS .....	10
10.1 DISCLAIMER .....	11
10.2 NO IMPLIED LICENSES .....	11
10.3 INFRINGEMENT SUITS .....	11
10.4 NO OTHER OBLIGATIONS .....	11
10.5 ENTIRE AGREEMENT .....	11
10.6 CONFLICTING AGREEMENTS .....	11
10.7 GOVERNING LAW .....	12
10.8 DESCRIPTIVE HEADINGS .....	12
10.9 NOTICES .....	12
10.10 NONASSIGNABILITY .....	13
10.11 SEVERABILITY .....	13
10.12 WAIVER OF BREACH .....	13
10.13 AMENDMENT AND EXECUTION .....	13

# MASTER PATENT OWNERSHIP AND LICENSE AGREEMENT

This Master Patent Ownership and License Agreement (the "Agreement") is effective as of May 5, 2001, at 12:01 A.M., P.S.T. (the "Effective Date"), between Adaptec, Inc., a Delaware corporation ("Adaptec"), having an office 691 South Milpitas Boulevard, Milpitas, CA 95035, and Roxio, Inc., a Delaware corporation ("Roxio"), having an office at 461 South Milpitas boulevard, Milpitas, Boulevard, Milpitas, CA 95035.

## RECITALS

WHEREAS, the Boards of Directors of each of Adaptec and Roxio have determined that it is appropriate and desirable for Adaptec to contribute and transfer to Roxio, and for Roxio to receive and assume, directly or indirectly, substantially all of the assets and liabilities currently associated with the Roxio Business and the stock, investments or similar interests currently held by Adaptec in subsidiaries and other entities that conduct such business (the "Separation");

WHEREAS, Adaptec has caused Roxio to be incorporated in order to effect the Separation and Adaptec currently owns all of the issued and outstanding capital stock of Roxio; and

WHEREAS, the parties intend in this Agreement, including the Exhibits and Schedules hereto, to set forth the principal arrangements between them regarding patent ownership and licenses upon the Separation.

NOW, THEREFORE, in consideration of the foregoing and the covenants and agreements set forth below, the parties hereto agree as follows:

## ARTICLE 1

### DEFINITIONS

For the purpose of this Agreement the following capitalized terms are defined in this Article 1 and shall have the meaning specified herein:

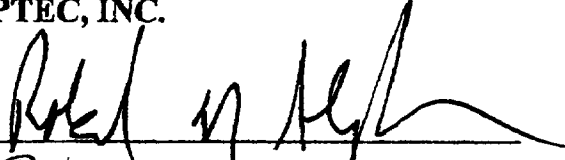
1.1 ADAPTEC PATENTS. "Adaptec Patents" means all Patents, other than the Assigned Patents and Wild File Patents, having the benefit of a First Effective Filing Date before the Separation Date which are owned or licensable (without payment of royalties for the granting of licenses thereunder) by Adaptec as of the Separation Date.

1.2 ANCILLARY AGREEMENTS. "Ancillary Agreements" has the meaning set forth in the Master Separation and Distribution Agreement.

1.3 ASSIGNED PATENTS. "Assigned Patents" means only those

WHEREFORE, the parties have signed this Master Patent Ownership and License Agreement effective as of the date first set forth above.

ADAPTEC, INC.

By:   
Name: Robert N. Stephens  
Title: President, Chief Executive Officer

ROXIO, INC.

By: \_\_\_\_\_  
Name: \_\_\_\_\_  
Title: \_\_\_\_\_

[SIGNATURE PAGE TO MASTER PATENT OWNERSHIP AND LICENSE AGREEMENT]

WHEREFORE, the parties have signed this Master Patent Ownership and License Agreement effective as of the date first set forth above.

ADAPTEC, INC.

ROXIO, INC.

By: \_\_\_\_\_

By:  \_\_\_\_\_

Name: \_\_\_\_\_

Name: *Wm Christopher Govey* \_\_\_\_\_

Title: \_\_\_\_\_

Title: *President and CEO* \_\_\_\_\_

[SIGNATURE PAGE TO MASTER PATENT OWNERSHIP AND LICENSE AGREEMENT]

SCHEDULE A

ASSIGNED PATENTS

TITLE	FILE DATE	Serial Number	PATENT NO.	ISSUE DATE	OUTSIDE LAW FIRM	ADAPTEC #
Compact Disc Recording System and Method	02/28/1995	09/710,072	6,091,686 PO 69	07/18/2000	Martine, Penilla & Kim	ISS-001/A
Compact Disc Recording System and Method	05/16/2000	09/573,082	PO 69A		Martine, Penilla & Kim	ISS-001/A-1C
Australia: Compact Disc Recording System and Method	02/27/1996	45773/96	707161	10/14/1999	Martine, Penilla & Kim	ISS-001/C(AU)
Canada: Compact Disc Recording System and Method	02/08/1996	2169131			Martine, Penilla & Kim	ISS-001/C(CA)
EPO: Compact Disc Recording System and Method	02/14/1996	96300998.0			Martine, Penilla & Kim	ISS-001/C(EP)
Japan: Compact Disc Recording System and Method	02/28/1996	8-41790			Martine, Penilla & Kim	ISS-001/C(JP)
A Process for Balancing the Loudness of Digitally Sampled Audio Waveforms	04/06/1995	08/417,754	5,812,969	09/22/1998	Gunnison, McKay & Hodgson, LLP	PI-002/A
Cluster Buster	07/17/1998	09/118,567			Skjerven Morrill Macpherson Franklin & Friel	PI-010/A
System & Method for Incremental Packet Recording of Recordable Compact Discs	07/24/1995	08/505,586	6,073,189 PO 72	06/06/2000	Martine, Penilla & Kim	PI-011/A
Method and Apparatus for Improved Peripheral Bus Utilization	09/26/1997	08/938,110	5,968,147 PO 22	10/19/1999	Martine, Penilla & Kim	PI-019/A
Improved Image Backup Method for Backing Up Disk Partitions of a Storage Device	06/10/1999	09/329,495	PO 3A		Martine, Penilla & Kim	PI-025/A
Method and System for Backing Up Data over a Plurality of Volumes	06/10/1999	09/329,516	PO 4A		Martine, Penilla & Kim	PI-026/A

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TITLE	FILE DATE		Serial Number	PATENT NO.	ISSUE DATE	OUTSIDE LAW FIRM	ADAPTEC #
File Format for Efficient Media Backup History Function on Sequentially Recorded Optical Media	Inactive Disclosure 9/26/98					Martine, Penilla & Kim	PI-027/A
Method and System for Audio Data Collection and Management	09/03/1999		09/389,966	ROX1P0A6		Martine, Penilla & Kim	SPG-001/A
Audio Data Management and Control Software	Inactive					Martine, Penilla & Kim	SPG-002/A
Audio System for Recording and Managing Data from Multiple Audio Compact Disc	Inactive					Martine, Penilla & Kim	SPG-003/A
Audio Data Collection and Management Compressed Audio Data Format and File System Structures	10/07/1999		09/414,379			Martine, Penilla & Kim	SPG-004/A
Japan: Compressed Audio Data Format and File System Structures	06/16/2000		2000-180927	ROX1P100A		Martine, Penilla & Kim	SPG-004/C(JP)
Method for Writing and Reading Data to and From a Compact Disc Media	09/03/1999		09/389,829			Martine, Penilla & Kim	SPG-005/A
EPO: Method for Writing and Reading Data to and from a Compact Disc Media	08/15/2000		00306968.9			Martine, Penilla & Kim	SPG-005/C(EP)
Japan: Method for Writing and Reading Data to and from a Compact Disc Media	09/04/2000		2000-267114			Martine, Penilla & Kim	SPG-005/C(JP)
Methods for Writing and Reading Compressed Audio Data	10/07/1999		09/414,378			Martine, Penilla & Kim	SPG-006/A
Bootable Incremental Packet Optical Media	12/15/1999		09/464,325	ROX1P100B		Martine, Penilla & Kim	SPG-007/A
EPO: Bootable Incremental Packet Optical Media	Request filed 12/06/2000		Not yet received	POX1P117		Martine, Penilla & Kim	SPG-007/C(EP)
Japan: Bootable Incremental Packet Optical Media	12/13/2000		Not yet received			Martine, Penilla & Kim	SPG-007/C(JP)
Bootable Fixed Packet Re-Writable Optical Media	12/15/1999		09/464,332			Martine, Penilla & Kim	SPG-008/A
EPO: Bootable Fixed Packet Re-Writable Optical Media	Request filed 11/13/2000		Not yet received	POX1P118		Martine, Penilla & Kim	SPG-008/C(EP)

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-2-

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TITLE	FILE DATE	Serial Number	PATENT NO.	ISSUE DATE	OUTSIDE LAW FIRM	ADAPTEC #
Japan: Bootable Fixed Packet Re-Writable Optical Media	12/13/2000	2000-378403			Martine, Penilla & Kim	SPG-008/C(JP)
Methods for Increasing File Storage Capacity of Optical Discs	03/13/2000	09/524,050	POX1P119		Martine, Penilla & Kim	SPG-009/A
Methods For Efficient Host Processing Of Files Selected For Recording To An Optical Disc Media	03/30/2000	09/539,482	POX1P120		Martine, Penilla & Kim	SPG-010/A
EPO: Methods For Efficient Host Processing Of Data Files Selected For Recording To An Optical Disc Media	Request filed 02/27/2001	Not yet received			Martine, Penilla & Kim	SPG-010/C(EP)
Japan: Methods For Efficient Host Processing Of Data Files Selected For Recording To An Optical Disc Media	Request filed 02/27/2001	Not yet received			Martine, Penilla & Kim	SPG-010/C(JP)
Methods for Processing Data Transferred to System Memory in Preparation for Recording to an Optical Disc	03/30/2000	09/539,481			Martine, Penilla & Kim	SPG-011/A
EPO: Methods for Processing Data Transferred to System Memory in Preparation for Recording to an Optical Disc	Request filed 02/27/2001	Not yet received	POX1P121		Martine, Penilla & Kim	SPG-011/C(EP)
Japan: Methods for Processing Data Transferred to System Memory in Preparation for Recording to an Optical Disc	Request filed 02/27/2001	Not yet received			Martine, Penilla & Kim	SPG-011/C(JP)
Method for Handling Buffer Under-Run during Disc Recording	11/23/1999	09/448,030			Martine, Penilla & Kim	SPG-012/A
Optical Disc Buffer Under-Run Handling Method	11/23/1999	09/447,964	POX1P122A		Martine, Penilla & Kim	SPG-013/A
EPO: Optical Disc Buffer Under-Run Handling Method	11/06/2000	00309815.9	POX1P122B		Martine, Penilla & Kim	SPG-013/C(EP)
Japan: Optical Disc Buffer Under-Run Handling Method	11/21/2000	2000-353939			Martine, Penilla & Kim	SPG-013/C(JP)
Image Mastering API (IMAPI)	10/30/2000	09/703,167			Martine, Penilla & Kim	SPG-014/A
EPO: Image Mastering API (IMAPI)	10/13/2000	00309161.1	POX1P123		Martine, Penilla & Kim	SPG-014/C(EP)

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-3-

TITLE	FILE DATE	Serial Number	PATENT NO.	ISSUE DATE	OUTSIDE LAW FIRM	ADAPTEC #
Japan: Image Mastering API (IMAPI)	11/01/2000	2000-334167			Martine, Penilla & Kim	SPG-014/C(JP)
Methods of and Computer Readable Media for Automatically Setting Up Page Files for Labels for Compact Disc Media	12/28/1999	09/473,916	POX1P128		Martine, Penilla & Kim	SPG-015/A
EPO: Methods of and Computer Readable Media for Automatically Setting Up Page Files for Labels for Compact Disc Media	11/30/2000	00310621.8			Martine, Penilla & Kim	SPG-015/C(EP)
Japan: Methods of and Computer Readable Media for Automatically Setting Up Page Files for Labels for Compact Disc Media	12/26/2000	2000-394019			Martine, Penilla & Kim	SPG-015/C(JP)
Method for Protecting Data of a Computer System	05/09/2000	09/556,910			Martine, Penilla & Kim	SPG-016/A
Method for Creating a Partition On the Fly	05/09/2000	09/568,564			Martine, Penilla & Kim	SPG-017/A
Methods for Selecting a Boot Partition and Hiding a Non-Selected Partition	05/09/2000	09/568,087			Martine, Penilla & Kim	SPG-018/A
Internet Backup Systems	Inactive				Martine, Penilla & Kim	SPG-019/A
Optical Disc Incremental Backup	Inactive				Martine, Penilla & Kim	(No file)
Provisional: Removable Media Delete Manager and Methods for Managing the Same	12/22/2000	Not yet received	POX1P197+		Martine, Penilla & Kim	SPG-020/A
Method for Optical Disc Sector Verification	07/11/2000	09/614,065	POX1P136		Martine, Penilla & Kim	SPG-022/A
Method of Easily Creating CD's of MP3 Files that Are Easy to Select and Play	Disclosure				Martine, Penilla & Kim	SPG-030/A
Methods for Storing Audio Data Beyond Conventional Capacity of Compact Discs	08/29/2000	09/650,777			Martine, Penilla & Kim	SPG-031/A
Methods for Accessing Remotely Located Devices	09/07/2000	09/656,533	POX1P144		Martine, Penilla & Kim	SPG-034/A
Methods for Establishing Recording Rates of Optical Media Recorders	10/10/2000	09/686,213	POX1P142		Martine, Penilla & Kim	SPG-035/A
			POX1P165		Martine, Penilla & Kim	SPG-039/A

TITLE	FILE DATE	Serial Number	PATENT NO.	ISSUE DATE	OUTSIDE LAW FIRM	ADAPTEC #
Provisional: Methods and Apparatus for Generating Store Quality Compact Disc Albums	10/30/2000	Not yet received	6,017,176 +		Martine, Penilla & Kim	SPG-040/A
Methods for Optimizing Recording Order of Files on Recordable Media	Disclosure				Martine, Penilla & Kim	SPG-041/A
Dynamic Detect Write Speed Algorithm	02/09/2001	Not yet received			Martine, Penilla & Kim	SPG-042/A
Measure Audio Tracks DAX Speed Algorithm	Disclosure				Martine, Penilla & Kim	SPG-043/A
Rapid Processing of Files to Burn onto CD	Disclosure				Martine, Penilla & Kim	SPG-044/A
Methods for Protecting Optical Disc Media	11/30/2000	09/728,734			Martine, Penilla & Kim	SPG-045/A
Method for Optical Disc Recording	Disclosure		ROXIP 1-3		Martine, Penilla & Kim	SPG-046/A
Method for Selecting Booting from a Desired Peripheral Device	12/23/1997	09/016,764	6,105,130	08/15/2000	Martine, Penilla & Kim	SSG-005/A
An Intelligent Backup and Restoring System and Method for Implementing the Same	07/06/1998	09/110,783	Allowed		Martine, Penilla & Kim	SSG-008/A
An Intelligent Backup and Restoring System and Method for Implementing the Same	11/17/2000	Not yet received			Martine, Penilla & Kim	SSG-008/A-1C
Method and Apparatus of Boot Device Switching by a Floppy Disk	08/14/1998	09/134,760	Allowed		Martine, Penilla & Kim	SSG-016/A
Method for finding Application Components in an Intelligent Backup and Restoring System	02/23/1999	09/256,680			Martine, Penilla & Kim	SSG-019/A
Method of Generating a Database in an Intelligent Backup and Restoring System	02/23/1999	09/256,676			Martine, Penilla & Kim	SSG-020/A
Method for Managing Primary and Secondary Storage Devices in an Intelligent Backup and Restoring System	02/23/1999	09/256,687			Martine, Penilla & Kim	SSG-021/A
Automated Drive Repair Systems and Methods	02/23/1999	09/256,682			Martine, Penilla & Kim	SSG-022/A
Method for Generating a Footprint Image File for an Intelligent Backup and Restoring System	02/23/1999	09/256,686			Martine, Penilla & Kim	SSG-023/A

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TITLE	FILE DATE	Serial Number	PATENT NO.	ISSUE DATE	OUTSIDE LAW FIRM	ADAPTEC #
Drive Preparation Methods for Intelligent backup Systems	02/23/1999	09/256,601			Martine, Penilla & Kim	SSG-024/A
Hard Disk Bootstrap Redirection	04/30/1999	09/302,921			Martine, Penilla & Kim	SSG-025/A
Method and Apparatus for Creating Logical DCB In-The-Fly	03/30/1999	09/281,596			Martine, Penilla & Kim	SSG-029/A
A Method and System for Copying Files between Drives	02/23/1999	09/256,681			Martine, Penilla & Kim	SSG-030/A

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**SCHEDULE B**

**WILD FILE PATENTS**

TITLE	FILE DATE	Serial Number	PATENT NO.	ISSUE DATE	OUTSIDE LAW FIRM	ADAPTEC #
Method, Software and Apparatus for Saving, Using and Recovering Data	06/28/1998	09/105,733	6,016,553	01/18/2000	Schwegman, Lundberg, Woessner & Kluth	SPG-024/A
Germany: Method, Software and Apparatus for Saving, Using and Recovering Data	09/04/2000	19882659.1	PX 11180		Martine, Penilla & Kim	SPG-024C(DE)
Japan: Method, Software and Apparatus for Saving, Using and Recovering Data	03/06/2000	2000.509037			Martine, Penilla & Kim	SPG-024C(JP)
PCT: Method, Software and Apparatus for Saving, Using and Recovering Data	09/04/1998	PCT/US88/18863	PX 11180.P		Martine, Penilla & Kim	SPG-024C(PCT)
Method, Software and Apparatus for Saving, Using and Recovering Data	07/15/1999	09/354,250	PX 11180C	Allowed	Martine, Penilla & Kim	SPG-024/A-1C
Method, Software and Apparatus for Saving, Using and Recovering Data	11/29/1999	09/450,266	PX 11180A	Allowed	Martine, Penilla & Kim	SPG-024/A-2C
Method, Software and Apparatus for Saving, Using and Recovering Data	TBD		PX 11180A.2		Martine, Penilla & Kim	SPG-024/A-3C
PCT: Method, Software and Apparatus for Locally or Remotely Repairing or Enhancing Computer Data	02/25/2000	PCT/US00/04758	PX 11181.P		Martine, Penilla & Kim	SPG-025/C(PCT)
Method, Software and Apparatus for Dealing with Data Corruption and Shared Disks in the Context of Saving, Using and Recovering Data	04/24/2000	Not yet received			Martine, Penilla & Kim	SPG-026/A-1D
Two Tiered Error Recovery Mechanism for Reducing Data Corruption	04/24/2000	Not yet received	PX 11182A		Martine, Penilla & Kim	SPG-026/A-2D
			PX 11182B			

TITLE	FILE DATE	Serial Number	PATENT NO.	ISSUE DATE	OUTSIDE LAW FIRM	ADAPTEC #
Disguised Disk Data Protection Method for Reducing Data Corruption	04/24/2000	Not yet received	POX1P102C		Martine, Penilla & Kim	SPG-026/A-3D
Write Cache Flushing Method for Reducing Data Corruption	04/24/2000	Not yet received	POX1P102D		Martine, Penilla & Kim	SPG-026/A-4D
PCT: Method, Software and Apparatus for Dealing with Data Corruption and Shared Disks in the Context of Saving, Using and Recovering Data	04/24/2000	PCT/US00/10999			Martine, Penilla & Kim	SPG-026/C(PCT)
EPO: Method, Software and Apparatus for Dealing with Data Corruption and Shared Disks in the Context of Saving, Using and Recovering Data	10/06/2000	00926314.6			Martine, Penilla & Kim	SPG-026/C(EP)
Japan: Method, Software and Apparatus for Dealing with Data Corruption and Shared Disks in the Context of Saving, Using and Recovering Data	12/22/2000	2000-614125			Martine, Penilla & Kim	SPG-026/C(JP)
Method, Software and Apparatus for Defragmenting Disk Storage On Which a History of Changes is being Tracked	07/10/2000	09/612,662			Martine, Penilla & Kim	SPG-027/A
PCT: Disk Storage Defragmentation	07/10/2000	PCT/US00/18732	POX1P103.P		Martine, Penilla & Kim	SPG-027/C(PCT)
Method, Software and Apparatus for Recycling over Written Disk Storage in Conjunction with an Operating System on which a History of Changes are being Tracked	10/06/2000	09/684,384	POX1P103		Martine, Penilla & Kim	SPG-028/A
EPO: Method, Software and Apparatus for Recycling over Written Disk Storage in Conjunction with an Operating System on which a History of Changes are being Tracked	10/06/2000	03308805.1	POX1P104		Martine, Penilla & Kim	SPG-028/C(EP)

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TITLE	FILE DATE	Serial Number	PATENT NO.	ISSUE DATE	OUTSIDE LAW FIRM	ADAPTEC #
Japan: Method, Software and Apparatus for Recycling over Written Disk Storage in Conjunction with an Operating System on which a History of Changes are being Tracked	10/06/2000	2000-308367			Martine, Penilla & Kim	SPG-028/C(JP)
Apparatus and Method of Creating a Firewall Data Protection	10/26/2000	09/698,542			Martine, Penilla & Kim	SPG-029/A
Apparatus and Method of Creating a Firewall Data Protection	10/26/2000	Not yet received	P0X1P105		Martine, Penilla & Kim	SPG-029/A-1(CIP)
PCT: Apparatus and Method of Creating a Firewall Data Protection	10/26/2000	PCT/US00/29626			Martine, Penilla & Kim	SPG-029/C(PCT)
Filing Folder Tab having Readable Information	08/21/1995	08/517,078	P0X1P105-P 5,513,459	05/07/1996	Vidas, Arrett & Steinkraus	SPG-032/A (No file)
Filing Folder Tab having Readable Information	06/20/1997	Reissue of Patent 5,513,459	RE 36,596	03/07/2000	Vidas, Arrett & Steinkraus	SPG-032/A- (RE)
Filing System Scanner and Improved Bar Code	05/03/1994	08/237,266	5,455,410	10/03/1995	Vidas, Arrett & Steinkraus	SPG-033/A
EPO: Filing System Scanner and Improved Bar Code	12/02/1996	95917642.1			Vidas, Arrett & Steinkraus	SPG-033/C(EP)
Hong Kong: Filing System Scanner and Improved Bar Code	04/16/1998	98103142.3			Vidas, Arrett & Steinkraus	SPG-033/C(HK) (No file)
Filing System Scanner and Bar Code with Identification Marker Bars	04/18/1995	08/423,901	5,485,000	01/16/1996	Vidas, Arrett & Steinkraus	SPG-036/A
Apparatus for Building a Database of the Location of Files Stored in File Holders	10/26/1995	08/548,561	5,552,588	09/03/1996	Vidas, Arrett & Steinkraus	SPG-037/A