

02-15-2002



FEB -

Form PTO-1595

101984273

2R SHEET

U.S. Department of Commerce

Docket No.: 18973-49

PATENTS ONLY

To the Hon. Commissioner of Patents & Trademarks: Please record the attached original documents or copy thereof.

1. Name of conveying party(ies): Michael Burrows
Raymond P. Stata
Jeffrey Clifford Mogul

Additional name of conveying party attached? ☐ Yes ☒ No

2. Name and address of receiving party(ies):

Name: Compaq Information Technologies Group, L.P.

Internal Address: _____

Address: P.O. Box 69200020555 SH 249City: Houston State: TX ZIP: 77070-2698Additional name(s) and address(es) attached? ☐ Yes ☒ No

3. Nature of conveyance:

☒ Assignment☐ Merger☐ Security Agreement☐ Change of Name☐ Other: _____Execution Date: November 14, 2001, November 27, 2001,
December 13, 2001

4. Application number(s) or patent numbers:

If this document is being filed together with a new application, the execution date of the application is: _____

A. Patent Application No.(s) 09/991,108

B. Patent No.(s) _____

Additional numbers attached? ☐ Yes ☒ No

5. Name and address party to whom correspondence concerning document should be mailed:

Name: Leah SherryCompany Name: Oppenheimer, Wolff & Donnelly LLPCustomer No.: 25696Street Address: P.O. Box 10356City: Palo Alto State: California ZIP: 943036. Total number of applications and patents involved: 17. Total fee (37 CFR 3.41) \$40.00☒ Enclosed☒ Any discrepancy or overpayment is authorized to be charged to deposit account

8. Deposit Account number:

02-3964

(Attach duplicate copy of this page if paying by deposit account)

DO NOT USE THIS SPACE

9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Leah Sherry, Reg. No. 43,918

Name of Person Signing

Signature

January 4, 2002

Date

Total number of pages including cover sheet, attachments, and document: 14

02/14/2002 10:00:00 AM 01/04/2002

01/04/2002

10:00:00 AM

SV: 241265 v01 01/04/2002

PATENT
REEL: 012567 FRAME: 0364

ASSIGNMENT

WHEREAS, We, Michael Burrows, Raymond P. Stata, and Jeffrey Clifford Mogul, are joint inventors of "**Method and System for Limiting the Impact of Undesirable Behavior of Computers on a Shared Data Network**" application for United States Letters Patent which is executed of even date herewith; and

WHEREAS, COMPAQ INFORMATION TECHNOLOGIES GROUP, L.P., a corporation created and existing under and by virtue of the laws of the State of Texas, is desirous of acquiring the entire right, title and interest in and to the aforesaid invention throughout the world, and all right, title and interest in, to and under any and all Letters Patent of the United States and all other countries throughout the world;

NOW, THEREFORE, for good and valuable consideration, the receipt of which is hereby acknowledged, we hereby sell, assign, transfer and set over to COMPAQ INFORMATION TECHNOLOGIES GROUP, L.P., all right, title and interest in and to the said invention throughout the world, and said application for U.S. Letters Patent, and any and all divisions, continuations, and reissues thereof, and any and all Letters Patent of the United States and foreign countries which may be granted therefor, the same to be held and enjoyed by COMPAQ INFORMATION TECHNOLOGIES GROUP, L.P. for its own use and benefit, and for the use and benefit of its successors, assigns, or other legal representatives to the end of the term or terms for which said Letters Patent of the United States or foreign countries are or may be granted or reissued, as fully and entirely as the same would have been held and enjoyed by us if this assignment and sale had not been made.

And we hereby authorize and request the Commissioner of Patents and Trademarks to issue any and all Letters Patent of the United States on said invention or resulting from said application and from any and all divisions, continuations, and reissues thereof, to COMPAQ INFORMATION TECHNOLOGIES GROUP, L.P., as assignee of our entire interest, and hereby covenant that we have the full right to convey the entire interest herein assigned, and that we have not executed and will not execute any agreement in conflict herewith.

And we further hereby covenant and agree that we will, at any time, upon request, execute and deliver any and all papers that may be necessary or desirable to perfect the title of said invention and to such Letters Patent as may be granted therefor, to COMPAQ INFORMATION TECHNOLOGIES GROUP, L.P., its successors, assigns, or other legal representatives and that if COMPAQ INFORMATION TECHNOLOGIES GROUP, L.P., its successors, assigns or other legal representative shall desire to file any divisional or continuation applications or to secure a reissue of such Letters Patent, or to file a disclaimer relating thereto, will upon request, sign all papers, make all rightful oaths and do all lawful acts requisite for the filing of such divisional or continuation application, or such application for reissue and the procuring thereof, and for the filing of such disclaimer, without further compensation but at the expense of said assignee, its successors, or other legal representatives.

And we do further covenant and agree that we will, at any time upon request, communicate to COMPAQ INFORMATION TECHNOLOGIES GROUP, L.P., its successors,

assigns or other legal representatives, such facts relating to said invention and Letters Patent or the file history thereof as may be known to us, and testify as to the same in any interference or other litigation when requested so to do, without further compensation but at the expense of said assignee, its successors, or other legal representatives.

Inventor's Signature: _____ Date: _____

Typed Name: Michael Burrows

Home Address: 3143 David Court, Palo Alto, CA 94303

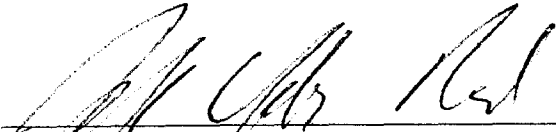
Citizenship: UNITED KINGDOM

Inventor's Signature: _____ Date: _____

Typed Name: Raymond P. Stata

Home Address: 3478 Rambow Drive, Palo Alto, CA 94306

Citizenship: U.S.A.

Inventor's Signature:  _____ Date: 11/14/2001

Typed Name: Jeffrey Clifford Mogul

Home Address: 320 O'Connor Street, Menlo Park, CA 94025

Citizenship: U.S.A.

NOTARIAL CERTIFICATE

STATE OF CALIFORNIA)
) ss.
COUNTY OF SANTA CLARA)

On _____ before me, _____, a notary public, personally appeared _____ personally known to me (or proved to me on the basis of satisfactory evidence) to be the person(s) whose name(s) is/are subscribed to the within instrument and acknowledged to me that he/she/they executed the same in his/her/their authorized capacity(ies), and that by his/her/their signature(s) on the instrument the person(s), or the entity upon behalf of which the person(s) acted, executed the instrument.

WITNESS my hand and official seal.

Notary Public

SEAL

NOTARIAL CERTIFICATE

STATE OF CALIFORNIA)
) ss.
COUNTY OF SANTA CLARA)

On _____ before me, _____, a notary public, personally appeared _____ personally known to me (or proved to me on the basis of satisfactory evidence) to be the person(s) whose name(s) is/are subscribed to the within instrument and acknowledged to me that he/she/they executed the same in his/her/their authorized capacity(ies), and that by his/her/their signature(s) on the instrument the person(s), or the entity upon behalf of which the person(s) acted, executed the instrument.

WITNESS my hand and official seal.

Notary Public

SEAL

NOTARIAL CERTIFICATE

STATE OF CALIFORNIA)
) ss.
COUNTY OF SANTA CLARA)

On November 14, 2001 before me, Christine Price, a notary public, personally appeared Jeffrey C. Mogai personally known to me (or proved to me on the basis of satisfactory evidence) to be the person(s) whose name(s) is/are subscribed to the within instrument and acknowledged to me that he/she/they executed the same in his/her/their authorized capacity(ies), and that by his/her/their signature(s) on the instrument the person(s), or the entity upon behalf of which the person(s) acted, executed the instrument.

WITNESS my hand and official seal.

SEAL



Christine Price
Notary Public

ASSIGNMENT

WHEREAS, We, Michael Burrows, Raymond P. Stata, and Jeffrey Clifford Mogul, are joint inventors of "**Method and System for Limiting the Impact of Undesirable Behavior of Computers on a Shared Data Network**" application for United States Letters Patent which is executed of even date herewith; and

WHEREAS, COMPAQ INFORMATION TECHNOLOGIES GROUP, L.P., a corporation created and existing under and by virtue of the laws of the State of Texas, is desirous of acquiring the entire right, title and interest in and to the aforesaid invention throughout the world, and all right, title and interest in, to and under any and all Letters Patent of the United States and all other countries throughout the world;

NOW, THEREFORE, for good and valuable consideration, the receipt of which is hereby acknowledged, we hereby sell, assign, transfer and set over to COMPAQ INFORMATION TECHNOLOGIES GROUP, L.P., all right, title and interest in and to the said invention throughout the world, and said application for U.S. Letters Patent, and any and all divisions, continuations, and reissues thereof, and any and all Letters Patent of the United States and foreign countries which may be granted therefor, the same to be held and enjoyed by COMPAQ INFORMATION TECHNOLOGIES GROUP, L.P. for its own use and benefit, and for the use and benefit of its successors, assigns, or other legal representatives to the end of the term or terms for which said Letters Patent of the United States or foreign countries are or may be granted or reissued, as fully and entirely as the same would have been held and enjoyed by us if this assignment and sale had not been made.

And we hereby authorize and request the Commissioner of Patents and Trademarks to issue any and all Letters Patent of the United States on said invention or resulting from said application and from any and all divisions, continuations, and reissues thereof, to COMPAQ INFORMATION TECHNOLOGIES GROUP, L.P., as assignee of our entire interest, and hereby covenant that we have the full right to convey the entire interest herein assigned, and that we have not executed and will not execute any agreement in conflict herewith.

And we further hereby covenant and agree that we will, at any time, upon request, execute and deliver any and all papers that may be necessary or desirable to perfect the title of said invention and to such Letters Patent as may be granted therefor, to COMPAQ INFORMATION TECHNOLOGIES GROUP, L.P., its successors, assigns, or other legal representatives and that if COMPAQ INFORMATION TECHNOLOGIES GROUP, L.P., its successors, assigns or other legal representative shall desire to file any divisional or continuation applications or to secure a reissue of such Letters Patent, or to file a disclaimer relating thereto, will upon request, sign all papers, make all rightful oaths and do all lawful acts requisite for the filing of such divisional or continuation application, or such application for reissue and the procuring thereof, and for the filing of such disclaimer, without further compensation but at the expense of said assignee, its successors, or other legal representatives.

And we do further covenant and agree that we will, at any time upon request, communicate to COMPAQ INFORMATION TECHNOLOGIES GROUP, L.P., its successors,

assigns or other legal representatives, such facts relating to said invention and Letters Patent or the file history thereof as may be known to us, and testify as to the same in any interference or other litigation when requested so to do, without further compensation but at the expense of said assignee, its successors, or other legal representatives.

Inventor's Signature: M. Burrows Date: 27th November 2001

Typed Name: Michael Burrows

Home Address: 3143 David Court, Palo Alto, CA 94303

Citizenship: UNITED KINGDOM

Inventor's Signature: _____ Date: _____

Typed Name: Raymond P. Stata

Home Address: 3478 Rambow Drive, Palo Alto, CA 94306

Citizenship: U.S.A.

Inventor's Signature: _____ Date: _____

Typed Name: Jeffrey Clifford Mogul

Home Address: 320 O'Connor Street, Menlo Park, CA 94025

Citizenship: U.S.A.

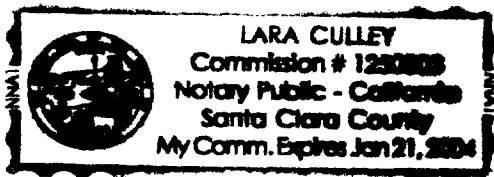
NOTARIAL CERTIFICATE

STATE OF CALIFORNIA)
) ss.
COUNTY OF SANTA CLARA)

On Nov. 27, 2001 before me, Lara Culley, a notary public, personally appeared Michael Burrows personally known to me (or proved to me on the basis of satisfactory evidence) to be the person(s) whose name(s) is/~~are~~ subscribed to the within instrument and acknowledged to me that he/~~she~~/they executed the same in his/her/their authorized capacity(ies), and that by his/her/~~their~~ signature(s) on the instrument the person(s), or the entity upon behalf of which the person(s) acted, executed the instrument.

WITNESS my hand and official seal.

SEAL



Lara Culley
Notary Public

ASSIGNMENT

WHEREAS, We, Michael Burrows, Raymond P. Stata, and Jeffrey Clifford Mogul, are joint inventors of "Method and System for Limiting the Impact of Undesirable Behavior of Computers on a Shared Data Network" application for United States Letters Patent which is executed of even date herewith; and

WHEREAS, COMPAQ INFORMATION TECHNOLOGIES GROUP, L.P., a corporation created and existing under and by virtue of the laws of the State of Texas, is desirous of acquiring the entire right, title and interest in and to the aforesaid invention throughout the world, and all right, title and interest in, to and under any and all Letters Patent of the United States and all other countries throughout the world;

NOW, THEREFORE, for good and valuable consideration, the receipt of which is hereby acknowledged, we hereby sell, assign, transfer and set over to COMPAQ INFORMATION TECHNOLOGIES GROUP, L.P., all right, title and interest in and to the said invention throughout the world, and said application for U.S. Letters Patent, and any and all divisions, continuations, and reissues thereof, and any and all Letters Patent of the United States and foreign countries which may be granted therefor, the same to be held and enjoyed by COMPAQ INFORMATION TECHNOLOGIES GROUP, L.P. for its own use and benefit, and for the use and benefit of its successors, assigns, or other legal representatives to the end of the term or terms for which said Letters Patent of the United States or foreign countries are or may be granted or reissued, as fully and entirely as the same would have been held and enjoyed by us if this assignment and sale had not been made.

And we hereby authorize and request the Commissioner of Patents and Trademarks to issue any and all Letters Patent of the United States on said invention or resulting from said application and from any and all divisions, continuations, and reissues thereof, to COMPAQ INFORMATION TECHNOLOGIES GROUP, L.P., as assignee of our entire interest, and hereby covenant that we have the full right to convey the entire interest herein assigned, and that we have not executed and will not execute any agreement in conflict herewith.

And we further hereby covenant and agree that we will, at any time, upon request, execute and deliver any and all papers that may be necessary or desirable to perfect the title of said invention and to such Letters Patent as may be granted therefor, to COMPAQ INFORMATION TECHNOLOGIES GROUP, L.P., its successors, assigns, or other legal representatives and that if COMPAQ INFORMATION TECHNOLOGIES GROUP, L.P., its successors, assigns or other legal representative shall desire to file any divisional or continuation applications or to secure a reissue of such Letters Patent, or to file a disclaimer relating thereto, will upon request, sign all papers, make all rightful oaths and do all lawful acts requisite for the filing of such divisional or continuation application, or such application for reissue and the procuring thereof, and for the filing of such disclaimer, without further compensation but at the expense of said assignee, its successors, or other legal representatives.

And we do further covenant and agree that we will, at any time upon request, communicate to COMPAQ INFORMATION TECHNOLOGIES GROUP, L.P., its successors,

assigns or other legal representatives, such facts relating to said invention and Letters Patent or the file history thereof as may be known to us, and testify as to the same in any interference or other litigation when requested so to do, without further compensation but at the expense of said assignee, its successors, or other legal representatives.

Inventor's Signature: _____ Date: _____

Typed Name: Michael Burrows

Home Address: 3143 David Court, Palo Alto, CA 94303

Citizenship: UNITED KINGDOM

Inventor's Signature: Raymond P. Stata Date: 12/13/2001

Typed Name: Raymond P. Stata

Home Address: 3478 Rambow Drive, Palo Alto, CA 94306

Citizenship: U.S.A.

Inventor's Signature: _____ Date: _____

Typed Name: Jeffrey Clifford Mogul

Home Address: 320 O'Connor Street, Menlo Park, CA 94025

Citizenship: U.S.A.

NOTARIAL CERTIFICATE

STATE OF CALIFORNIA)
) ss.
COUNTY OF SANTA CLARA)

On _____ before me, _____, a notary public, personally appeared _____ personally known to me (or proved to me on the basis of satisfactory evidence) to be the person(s) whose name(s) is/are subscribed to the within instrument and acknowledged to me that he/she/they executed the same in his/her/their authorized capacity(ies), and that by his/her/their signature(s) on the instrument the person(s), or the entity upon behalf of which the person(s) acted, executed the instrument.

WITNESS my hand and official seal.

Notary Public

SEAL

NOTARIAL CERTIFICATE

STATE OF CALIFORNIA)
) ss.
COUNTY OF SANTA CLARA)

On _____ before me, _____, a notary public, personally appeared _____ personally known to me (or proved to me on the basis of satisfactory evidence) to be the person(s) whose name(s) is/are subscribed to the within instrument and acknowledged to me that he/she/they executed the same in his/her/their authorized capacity(ies), and that by his/her/their signature(s) on the instrument the person(s), or the entity upon behalf of which the person(s) acted, executed the instrument.

WITNESS my hand and official seal.

Notary Public

SEAL

NOTARIAL CERTIFICATE

STATE OF CALIFORNIA)
) ss.
COUNTY OF SANTA CLARA)

On 13 Decemberth before me, DOUG M. SHON, a notary public, personally appeared RAYMOND P. STATA personally known to me (or proved to me on the basis of satisfactory evidence) to be the person(s) whose name(s) is/are subscribed to the within instrument and acknowledged to me that he/~~she/they~~ executed the same in his/~~her/their~~ authorized capacity(ies), and that by his/~~her/their~~ signature(s) on the instrument the person(s), or the entity upon behalf of which the person(s) acted, executed the instrument.

WITNESS my hand and official seal.



Notary Public

SEAL

