



Substitute Form PTO-1595 Attorney Docket No.: 12406-014001 Client's Ref. No.: 1999P8116US E/GS

**:R SHEET** 



Commissioner for Patents: Please record the attached original docume	ent(s) or copy(ies).		
1. Name of conveying party(ies): 2-8-02	Name and address of receiving party(ies):		
Ewald Karl Michael Guenther, Brian Cotterell and Zhong Chen Additional name(s) attached? □ Yes ☒ No	Osram Opto Semiconductors GmbH & Co. OHG Wernerwerkstrasse 2 93049 Regensburg Germany		
Nature of conveyance:			
☑ Assignment ☐ Merger ☐ Security Agreement ☐ Change of Name ☐ Other:			
Execution Dates: 09/18/2001; 08/22/2001; 08/23/2001	Additional names/addresses attached? 口 Yes 国 No		
4. Application number(s) or patent number(s): 09/786,832			
If this document is being filed with a new application, the execution	date of the application is:		
A. Patent Application No(s).:	B: Patent No(s).:		
Additional numbers a	ttached? ☐ Yes ☒ No		
Name/address of party to whom correspondence concerning document should be mailed:	6. Total number of applications/patents involved: 1		
David J. Goren	7. Total fee (37 CFR §3.41): \$40		
Fish & Richardson P.C. 2200 Sand Hill Road, Suite 100	☑ Enclosed ☐ Authorized to charge Deposit Account.		
Menlo Park, California 94025	8. Deposit Account No.: 06-1050		
	Please apply any additional charges, or any credits, to our Deposit Account No. 06-1050.		
DO NOT USI	E THIS SPACE		
9. Statement and Signature: To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.			
David J. Goren  Reg. No. 34,609  Name of Person Signing  Signature	7		
Total number of pages including coversheet, attachments and document: 4			
Total number of pages including weetsneet, attachments and decoments.			

50070295.doc

02/	14/2002	DBYRME
01	FC:581	

00000204 09786832 RTIFICATE OF MAILING BY FIRST CLASS MAIL

140-169, OPrinty under 37 CFR §1.8(a) that this correspondence is being deposited with the United States Postal Service as first class mail with sufficient postage on the date indicated below and is addressed to the Commissioner of Patents, Washington, D.C. 20231.

Date of Deposit

Carlos A. Brasil
Typed Name of Person Signing Certificate

Attorney's Docket No.: 12406-014001

## **ASSIGNMENT**

For valuable consideration, we, EWALD KARL MICHAEL GUENTHER of # 03-11

Maplewoods, 991 Bukit Timah Road, Singapore 589630, BRIAN COTTERELL of 55 Loring BALGOWLAH, NSW 2093 AUSTRALIA Sarhad, Singapore 119166 and ZHONG CHEN of Blk 233 Bukit Batok East Avenue 5, # 11-39, 18 Singapore 650233, hereby assign to Osram Opto Semiconductors GmbH & Co. OHG, a German corporation, having a place of business at Wernerwerkstrasse 2, 93049 Regensburg, Germany; and its successors and assigns (collectively hereinafter called "the Assignee"), the entire right, title and interest throughout the world in the inventions and improvements which are subject of an application for International Patent signed by us and filed July 9, 1999, entitled MECHANICAL PATTERNING OF A DEVICE LAYER, and assigned PCT Serial Number PCT/SG 99/00074, and its United States Patent counterpart, signed by us and filed March 9. 2001 and assigned U.S. Serial Number 09/786,832, and we authorize and request the attorneys appointed in said application to hereafter complete this assignment by inserting above the filing date and serial number of said application when known; this assignment including said application, any and all United States and foreign patents, utility models, and design registrations granted for any of said inventions or improvements, and the right to claim priority based on the filing date of said application under the International Convention for the Protection of Industrial Property, the Patent Cooperation Treaty, the European Patent Convention, and all other treaties of like purposes; and we authorize the Assignee to apply in all countries in our name or in its own name for patents, utility models, design registrations and like rights of exclusion and for inventors' certificates for said inventions and improvements; and we agree for ourselves and our respective heirs, legal representatives and assigns, without further compensation to perform such lawful acts and to sign such further applications, assignments, Preliminary Statements and other lawful documents as the Assignee may reasonably request to effectuate fully this assignment.

Date	Ewald Karl Michael Guenther
Date	Zhong Chen
18 September 2001	Brian Cotterell .
50050070.doc 1816 Septembero	Brian Cotterell  Brian Cotterell  ARY PATRICIA HARKIN.  SUMMEN 18/9/01
: 6 RATHMELL	JUMMAN 18/9/01

PATENT REEL: 012567 FRAME: 0577

Attorney's Docket No.: 12406-014001

## **ASSIGNMENT**

For valuable consideration, we, EWALD KARL MICHAEL GUENTHER of # 03-11 Maplewoods, 991 Bukit Timah Road, Singapore 589630, BRIAN COTTERELL of 55 Loring Sarhad, Singapore 119166 and ZHONG CHEN of Blk 233 Bukit Batok East Avenue 5, #11-39, Singapore 650233, hereby assign to Osram Opto Semiconductors GmbH & Co. OHG, a German corporation, having a place of business at Wernerwerkstrasse 2, 93049 Regensburg, Germany; and its successors and assigns (collectively hereinafter called "the Assignee"), the entire right, title and interest throughout the world in the inventions and improvements which are subject of an application for International Patent signed by us and filed July 9, 1999, entitled MECHANICAL PATTERNING OF A DEVICE LAYER, and assigned PCT Serial Number PCT/SG 99/00074, and its United States Patent counterpart, signed by us and filed March 9, 2001 and assigned U.S. Serial Number 09/786,832, and we authorize and request the attorneys appointed in said application to hereafter complete this assignment by inserting above the filing date and serial number of said application when known; this assignment including said application, any and all United States and foreign patents, utility models, and design registrations granted for any of said inventions or improvements, and the right to claim priority based on the filing date of said application under the International Convention for the Protection of Industrial Property, the Patent Cooperation Treaty, the European Patent Convention, and all other treaties of like purposes; and we authorize the Assignee to apply in all countries in our name or in its own name for patents, utility models, design registrations and like rights of exclusion and for inventors' certificates for said inventions and improvements; and we agree for ourselves and our respective heirs, legal representatives and assigns, without further compensation to perform such lawful acts and to sign such further applications, assignments, Preliminary Statements and other lawful documents as the Assignee may reasonably request to effectuate fully this assignment.

Date  22-August-200  Date	Ewald Karl Michael Guenther  Witnesser  Zhong Chen Kill?	by & G. Wei Xu Dad=
Date	Brian Cotterell	

50050070.doc

PATENT REEL: 012567 FRAME: 0578

## Attorney's Docket No.: 12406-014001

## <u>ASSIGNMENT</u>

E 6 23 August 2001

For valuable consideration, we, <u>EWALD KARL MICHAEL GUENTHER</u> of # 03-11-210 Mt. Singifica Singapore 276 938.

Maplewoods, 991 Bukit Timah Road, Singapore 589630, <u>BRIAN COTTERELL</u> of 55 Loring Sarhad, Singapore 119166 and ZHONG CHEN of Blk 233 Bukit Batok East Avenue 5, # 11-39, Singapore 650233, hereby assign to Osram Opto Semiconductors GmbH & Co. OHG, a German corporation, having a place of business at Wernerwerkstrasse 2, 93049 Regensburg, Germany; and its successors and assigns (collectively hereinafter called "the Assignee"), the entire right, title and interest throughout the world in the inventions and improvements which are subject of an application for International Patent signed by us and filed July 9, 1999, entitled MECHANICAL PATTERNING OF A DEVICE LAYER, and assigned PCT Serial Number PCT/SG 99/00074, and its United States Patent counterpart, signed by us and filed March 9, 2001 and assigned U.S. Serial Number 09/786,832, and we authorize and request the attorneys appointed in said application to hereafter complete this assignment by inserting above the filing date and serial number of said application when known; this assignment including said application, any and all United States and foreign patents, utility models, and design registrations granted for any of said inventions or improvements, and the right to claim priority based on the filing date of said application under the International Convention for the Protection of Industrial Property, the Patent Cooperation Treaty, the European Patent Convention, and all other treaties of like purposes; and we authorize the Assignee to apply in all countries in our name or in its own name for patents, utility models, design registrations and like rights of exclusion and for inventors' certificates for said inventions and improvements; and we agree for ourselves and our respective heirs, legal representatives and assigns, without further compensation to perform such lawful acts and to sign such further applications, assignments, Preliminary Statements and other lawful documents as the Assignee may reasonably request to effectuate fully this assignment.

23 August 2001	Froll Guest Ca 2
23 Augus + 2001 Date	Ewald Karl Michael Guenther
Date	Zhong Chen
Date	Brian Cotterell
50050070 doc	

RECORDED: 02/08/2002

PATENT REEL: 012567 FRAME: 0579