

02-15-2002

Docket No. 4366-38

FORM PTO-1596

R



U.S. DEPARTMENT OF COMMERCE

(Rev. 6-23)

Patent and Trademark Office

Tab settings

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To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.

## 1. Name of conveying party(ies):

Roy A. Jensen  
William H. WidenerAdditional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

## 2. Name and address of receiving party(ies):

Name: Avaya Technology Corp.

Internal Address: \_\_\_\_\_

Street Address: 211 Mount Airy RoadCity: Basking Ridge State: NJ Zip: 07920Additional name(s) & Address(es) attached? ☐ Yes ☒ No

## 3. Nature of conveyance:

- ☒ Assignment ☐ Merger  
☐ Security Agreement ☐ Change of Name  
☐ Other \_\_\_\_\_

Execution Date: November 8, 2001 and  
January 5, 2002

## 4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is:

A. Patent Application No.(s) 09/947,790

B. Patent No.(s)

Additional numbers attached? ☐ Yes ☐ No

## 5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Douglas W. Swartz  
Sheridan Ross P.C.  
Street Address: 1560 Broadway  
Suite 1200  
City: Denver State: CO Zip: 80202

## 6. Total number of applications and patents involved: 1

7. Total fee (37 CFR 3.41).....\$ 40.00

- ☐ Enclosed  
☒ Authorized to be charged to deposit account

8. Deposit account number: 50-1602

(Attach duplicate copy of this page if paying by deposit account)

DO NOT USE THIS SPACE

## 9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Douglas W. Swartz, Reg. No. 37,739  
Name of Person SigningDouglas Swartz  
SignatureJan 14 2002  
Date

Total number of pages including cover sheet, attachments, and document: 7

Mail documents to be recorded with required cover sheet information to:

Commissioner of Patents &amp; Trademarks, Box Assignments

Washington, D.C. 20231

02/15/2002 LUELLER 0000001 501602 09947790

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PATENT  
REEL: 012568 FRAME: 0649

## ASSIGNMENT AND AGREEMENT

For value received, we, Roy A. Jensen of 2760 West 86th Avenue, Apt. 149, Westminster, Colorado 80031 and William H. Widener of 3340 Sentinel Drive, Boulder, Colorado 80301, hereby sell, assign and transfer to Avaya Technology Corp., a corporation of the State of Delaware, having an office at 211 Mount Airy Road, Basking Ridge, New Jersey 07920, U.S.A., and its successors, assigns and legal representatives, the entire right, title and interest, for the United States of America, in and to certain inventions related to **CALL MANAGEMENT SYSTEM USING FAST RESPONSE DYNAMIC THRESHOLD ADJUSTMENT** described in an application for Letters Patent of the United States, executed by us of even date herewith, and all the rights and privileges in said application and under any and all Letters Patent that may be granted in the United States for said inventions; and we also concurrently hereby sell, assign and transfer to Avaya Technology Corp. the entire right, title and interest in and to said inventions for all countries foreign to the United States, including all rights of priority arising from the application aforesaid, and all the rights and privileges under any and all forms of protection, including Letters Patent, that may be granted in said countries foreign to the United States for said inventions.

We authorize Avaya Technology Corp. to make application for such protection in its own name and maintain such protection in any and all countries foreign to the United States, and to invoke and claim for any application for patent or other form of protection for said inventions, without further authorization from us, any and all benefits, including the right of priority provided by any and all treaties, conventions, or agreements.

We hereby consent that a copy of this assignment shall be deemed a full legal and formal equivalent of any document which may be required in any country in proof of the right of Avaya Technology Corp. to apply for patent or other form of protection for said inventions and to claim the aforesaid benefit of the right of priority.

We request that any and all patents for said inventions be issued to Avaya Technology Corp. in the United States and in all countries foreign to the United States, or to such nominees as Avaya Technology Corp. may designate.

We agree that, when requested, we shall, without charge to Avaya Technology Corp. but at its expense, sign all papers, and do all acts which may be necessary, desirable or convenient in connection with said applications, patents, or other forms of protection.

\_\_\_\_\_  
Roy A. Jensen

Date: \_\_\_\_\_

United States of America     )  
State of Colorado            )ss.:  
County of \_\_\_\_\_        )

On this \_\_\_\_ day of \_\_\_\_\_, 2001, before me personally came Roy A. Jensen, to me known to be the individual described in and who executed the foregoing instrument, and acknowledged execution of the same.

\_\_\_\_\_  
Notary Public

William H. Widener

William H. Widener

Date: January 5, 2002

United States of America     )  
State of Colorado            )ss.:  
County of Boulder         )

On this 5<sup>th</sup> day of January, <sup>2002</sup>~~2001~~, before me personally came William H. Widener, to me known to be the individual described in and who executed the foregoing instrument, and acknowledged execution of the same.

My Commission Expires Jan. 4, 2005  
2960 Diagonal Hwy. Boulder, CO 80301

Erica L. Nelson

Notary Public

## ASSIGNMENT AND AGREEMENT

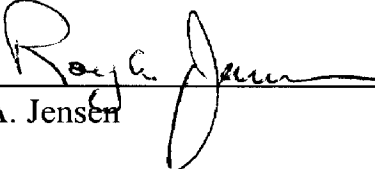
For value received, we, Roy A. Jensen of 2760 West 86th Avenue, Apt. 149, Westminster, Colorado 80031 and William H. Widener of 3340 Sentinel Drive, Boulder, Colorado 80301, hereby sell, assign and transfer to Avaya Technology Corp., a corporation of the State of Delaware, having an office at 211 Mount Airy Road, Basking Ridge, New Jersey 07920, U.S.A., and its successors, assigns and legal representatives, the entire right, title and interest, for the United States of America, in and to certain inventions related to **CALL MANAGEMENT SYSTEM USING FAST RESPONSE DYNAMIC THRESHOLD ADJUSTMENT** described in an application for Letters Patent of the United States, executed by us of even date herewith, and all the rights and privileges in said application and under any and all Letters Patent that may be granted in the United States for said inventions; and we also concurrently hereby sell, assign and transfer to Avaya Technology Corp. the entire right, title and interest in and to said inventions for all countries foreign to the United States, including all rights of priority arising from the application aforesaid, and all the rights and privileges under any and all forms of protection, including Letters Patent, that may be granted in said countries foreign to the United States for said inventions.

We authorize Avaya Technology Corp. to make application for such protection in its own name and maintain such protection in any and all countries foreign to the United States, and to invoke and claim for any application for patent or other form of protection for said inventions, without further authorization from us, any and all benefits, including the right of priority provided by any and all treaties, conventions, or agreements.

We hereby consent that a copy of this assignment shall be deemed a full legal and formal equivalent of any document which may be required in any country in proof of the right of Avaya Technology Corp. to apply for patent or other form of protection for said inventions and to claim the aforesaid benefit of the right of priority.

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We agree that, when requested, we shall, without charge to Avaya Technology Corp. but at its expense, sign all papers, and do all acts which may be necessary, desirable or convenient in connection with said applications, patents, or other forms of protection.

  
\_\_\_\_\_  
Roy A. Jensen

Date: 11/8/01

United States of America     )  
State of Colorado            )ss.:  
County of \_\_\_\_\_        )

On this \_\_\_\_ day of \_\_\_\_\_, 2001, before me personally came Roy A. Jensen, to me known to be the individual described in and who executed the foregoing instrument, and acknowledged execution of the same.

\_\_\_\_\_  
Notary Public

\_\_\_\_\_  
William H. Widener

Date: \_\_\_\_\_

United States of America     )  
State of Colorado            )ss.:  
County of \_\_\_\_\_        )

On this \_\_\_\_ day of \_\_\_\_\_, 2001, before me personally came William H. Widener, to me known to be the individual described in and who executed the foregoing instrument, and acknowledged execution of the same.

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Notary Public