



02-19-2002

Attorney Docket No. 2245-500037

Form PTO-1395
(Rev. 03/01)
OMB No. 0651-0047 (exp. 5/31/2002)

EET U.S. DEPARTMENT OF COMMERCE
U.S. Patent and Trademark Office



101985943

To the Honorable Commissioner of Patents and Trademarks, please attach the attached original documents or copy thereof.

1. Name of conveying party(ies):
KFx Inc.

2.11.02

Additional name of conveying party(ies) attached? ☐ Yes ☒ No

2. Name and address of receiving party(ies)

Name: K-FUEL L.L.C.

Internal Address: Suite 290

Street Address: 3300 East 1st Avenue

City: Denver State: CO Zip: 80206

Additional Name(s) & address(es) attached? ☐ Yes ☒ No

3. Nature of conveyance:

☒ Assignment ☐ Merger

☐ Security Agreement ☐ Change of Name

☐ Other _____

Execution Date(s): January 24, 2002

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is: _____

A. Patent Application No.(s)
09/341,406
09/446,447
09/463,605 09/485,528

B. Patent No.(s)
6,266,894
6,249,989
6,185,841

Additional numbers attached? ☐ Yes ☐ No

5. Name and address of party to whom correspondence concerning this document should be mailed:

Name: Gordon K. Harris, Jr.

Internal Address: Harness, Dickey & Pierce, P.L.C.

Street Address: P.O. Box 828

City: Bloomfield Hills State: MI Zip: 48303

6. Total number of applications and/or patents involved: 7

7. Total fee (37 CFR 3.41) \$ 280.00

☒ Enclosed

☐ Authorized to be charged to deposit account

8. The Commissioner is hereby authorized to charge any additional fees that may be required or credit any overpayments to Deposit Account No. 08-0750. A duplicate copy of this sheet is enclosed.

DO NOT USE THIS SPACE

9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Gordon K. Harris, Jr. January 29, 2002
Name of Person Signing Signature Date

Total number of pages including cover sheet, attachments, and documents: ☐

Mail documents to be recorded with required cover sheet information to:
Commissioner of Patents and Trademarks, Box Assignments
Washington, D.C. 20231

02/15/2002 AMWED1 00000098 09341406
01 FC:381 280.00 DP

PATENT
REEL: 012569 FRAME: 0437

U. S. PATENT RIGHTS ASSIGNMENT

WHEREAS, KFx Inc., a Delaware corporation, having offices at 3300 East 1st Avenue, Suite 290, Denver, Colorado 80206, is the owner of the entire right, title and interest in and to the United States patents and patent applications listed on Attachment A attached hereto ("Patents").

WHEREAS, K-FUEL L.L.C., a Delaware limited liability company, having offices at 3300 East 1st Avenue, Suite 290, Denver, Colorado 80206, is desirous of acquiring said right, title and interest in and to the Patents.

NOW, THEREFORE, for good and valuable consideration, the receipt and adequacy of which is hereby acknowledged, KFx Inc. hereby sells, assigns, transfers and conveys unto K-FUEL L.L.C., its successors and assigns, all right, title and interest in and to the Patents and all foreign counterparts thereof, together with all claims, demands, or causes of action that KFx Inc. has or might have by reason of any infringement of the Patents prior to the effective date of this assignment, including the right to collect damages for such past infringement in the name of K-FUEL L.L.C.

KFx Inc.

Date January 24, 2002

By Rudolph G. Swenson
Rudolph G. Swenson
Its Vice President and Secretary

State of Colorado :
County of Denver : ss.

Sworn to and subscribed before me this 24 day of January 2002.

Barbara Smith
Notary Public

my commission expires 1/4/2003

ATTACHMENT A

| <u>Serial No.</u> | <u>Filing Date</u> | <u>U.S. Patent No.</u> | <u>Date of Issue</u> | <u>Title</u> |
|-------------------|--------------------|------------------------|----------------------|--|
| 09/341,406 | 09/13/99 | | | Reactor |
| 09/367,083 | 11/08/99 | 6,266,894 | 07/31/01 | Liquid/Gas/Solid Separation |
| 09/380,787 | 11/29/99 | 6,249,989 | 06/26/01 | Process Vessel and Method of Treating a Charge of Material |
| 09/403,679 | 02/08/00 | 6,185,841 | 02/13/01 | Enhanced Heat Transfer System |
| 09/446,447 | 04/13/00 | | | Stabilising Thermally Beneficiated Carbonaceous Material |
| 09/463,605 | 05/09/00 | | | Heating with Steam |
| 09/485,528 | 05/12/00 | | | Method and an Apparatus for Upgrading a Solid material |