02-15-2002 Form **PTO-1595** U.S. DEPARTMENT OF COMMERCE (Rev. 03/01) U.S. Patent and Trademark Office OMB No. 0651-0027 (exp. 5/31/2002) 101984179 To the Honorable Commissioner of Patents and Trademarks: Please Record the attached original documents or copy thereof Name of conveying party(ies): 2. Name and address of receiving party(ies) Mark L. Mathis Gregory D. Nieminen Name: Cardiac Dimensions, Inc. David G. Reuter Internal Address: Additional name of conveying party(ies) attached?

Yes

No 3. Nature of conveyance: Street Address: Merger 4030 Lake Washington Blvd. NE, Suite 305 Secuirty Agreement Change of Name City: Kirkland State: WA Zip: 98033 Other _____ Additional Name(s) & address(es) attached? ☐ Yes ⋈ No Execution Date: January 30, 2002 4. Application number(s) or patent number(s): If this document is being filed together with a new application, the execution data of the application is: January 30, 2002 B. Patent No.(s) A. Patent Application No.(s) Additional numbers attached? ☐ Yes ☒ No 6. Total number of applications and patents involved: [1] 5. Name and address of party to whom correspondence concerning this document should be mailed: Name: Graybeal Jackson Haley LLP 7. Total fee (37 CFR 3.41) \$ 40.00 Internal Address: Richard O. Gray, Jr. Authorized to be charged to deposit account 8. Deposit account number: Street Address: 07-1897 (deficiencies) 155 108th Avenue N.E., Suite 350 Zip: 98004-5901 City: Bellevue State: WA (Attach duplicate copy of this page if paying by deposit account) DO NOT USE THIS SPACE

9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy

is a true copy of the original document.

Richard O. Gray, Jr. Name of Person Signing

Signature

January 30, 2002

Total number of pages including cover sheet, attachments, and documents:

Mail documents to be recorded with required cover sheet information to:

Sommissioner of Patents & Trademarks, Box Assignments Washington, D.C. 20231

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PATENT REEL: 012570 FRAME: 0732

ASSIGNMENT OF U.S. AND FOREIGN RIGHTS IN INVENTION

In consideration of the payment by ASSIGNEE to ASSIGNOR of the sum of One United States Dollar (\$1.00) or its equivalent, the receipt of which is hereby acknowledged, and for other good and valuable consideration,

ASSIGNOR <u>Mark L. Mathis</u> residing or having a principal place of business at 10624 NE 60 th Street, Kirkland, Washington 98033 , and a citizen or juristic entity of the United States
ASSIGNOR <u>Gregory D. Nieminen</u> residing or having a principal place of business at 20206 100 th Avenue NE, Bothell, Washington 98011, and a citizen or juristic entity of the United States
ASSIGNOR_ <u>David G. Reuter</u> residing or having a principal place of business at 3225 208 th Place SE, Bothell, Washington 98021, and a citizen or juristic entity of <u>the United States</u>
hereby sell, assign, and transfer to:
ASSIGNEE <u>Cardiac Dimensions</u> , Inc, residing or having a principal place of business at 4030 Lake Washington Blvd. NE, Suite 305, Kirkland, Washington 98033, and a citizen or juristic entity of <u>the state of Washington</u> , and the successors, assigns, and legal representatives of the ASSIGNEE, the entire right, title, and interest in the United States and in all foreign countries, including all rights to claim priority, in and to any and all improvements which are disclosed in the invention entitled <u>FIXED LENGTH ANCHOR AND PULL MITRAL VALVE DEVICE AND METHOD</u> and which is found in United States patent application serial number, filed on, (if not
present when this instrument is executed, applicant's attorney or agent is authorized to
enter the application serial number and filing date upon receipt of the same from the
United States Patent and Trademark Office), and in and to all Letters Patent to be
obtained from said invention by the above application or any continuation, division,
renewal, or substitute thereof, and as to Letters Patent from any reissue or
re-evamination thereof

ASSIGNORS hereby covenant that no assignment, sale, agreement, or encumbrance has been or will be made or entered into which would conflict with this assignment.

ASSIGNORS further covenant that ASSIGNEE will, upon its request, be provided promptly with all pertinent facts, documents relating to said invention and said Letters Patent and legal equivalents as may be known and accessible to ASSIGNORS and will testify as to the same in any interference, litigation, or proceeding related thereto and will promptly execute and deliver to ASSIGNEE or its legal representatives any and all papers, instruments or affidavits required to apply for, obtain, maintain, issue, and enforce said application, said invention, and said Letters Patent and said equivalents thereof which may be necessary or desirable to carry out the purpose thereof.

PATENT REEL: 012570 FRAME: 0733

Name: Mark L. Mathis
h. / & Matter
Signature: / //W// / / // // // // // // // // //
Title (if applicable):
EXECUTED ON, and effective from this 30 th day of annuary, 2002 at Kirkland, WA
I hereby declare that I was personally present and did see the ASSIGNOR, who is personally known to me to be a person named in the assignment, duly sign and execute the same on this
Name: That LATES THE Signature: That LATES THE
Signature: TRACY FATES/NEW.
Name: <u>Gregory D. Nieminen</u>
Signature: Legory D. Nieminen
Title (if applicable):
EXECUTED ON, and effective from this 30 H day of January , 2002 at <u>Kirkland</u> , WA
I hereby declare that I was personally present and did see the ASSIGNOR, who is personally known to me to be a person named in the assignment, duly sign and execute the same on this
WITNESS
Name: They MESTNEY Signature: May Kashor
Signature: Man Karshor

ASSIGNORS:

PATENT REEL: 012570 FRAME: 0734

Name: David G. Reuter
Signature: Canto Centre Control
Title (if applicable):
EXECUTED ON, and effective from this day of January, 2002 at Kirkland, wh
I hereby declare that I was personally present and did see the ASSIGNOR, who is personally known to me to be a person named in the assignment, duly sign and execute the same on this
WITNESS
Name: Nacy Kaestrer
Name: Nacy Kaestver Signature: May Kaestver

RECORDED: 01/30/2002