

RECOR

02-20-2002



101987493

Application Number	09/566,660
Confirmation Number	To Be Assigned
Filing Date	May 8, 2000
First Named Inventor	YEE
Examiner	S. Kaushal
Group Art	1633
Attorney Docket No.	UCAL055CON

ADDRESS TO: Commissioner of Patents and Trademarks  
 Box Assignment  
 Washington, D.C. 20231

To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):  
 Theodore Friedmann and Shin-Tai Chen  
 1-30-02

2. Name and address of receiving party(ies):  
 Name: The Regents of the University of California  
 Internal Address:  
 Street Address: 1111 Franklin Street, 5th Floor  
 City: Oakland State: CA Zip: 94607-5200  
 Additional name(s) & address(es) attached?  No

Additional name(s) of conveying party(ies) attached?  Yes  No

3. Nature of conveyance:  
 Assignment  Merger  
 Security Agreement  Change of Name  
 Other: \_\_\_\_\_

Execution Date(s): 11-08-1996

4. Application number(s) or patent number(s):  
 If this document is being filed together with a new application, the execution date of the application is:

A. Patent Application Number(s):  
 09/566,660

B. Patent Number(s):  
 JAN 30 2002

5. Name and address of party to whom correspondence concerning document should be mailed:  
 Name: Kathleen S. Hall (Reg. No. 44,143)  
 Street Address: BOZICEVIC, FIELD & FRANCIS, LLP  
 200 Middlefield Road, Suite 200  
 Menlo Park, CA 94025  
 Telephone: (650) 327-3400  
 Facsimile: (650) 327-3231

6. Total number of applications and patents involved: 1

7. Total fee (37 CFR 3.41): \$40.00  
 Enclosed  
 Authorized to be charged to deposit account  
*A Duplicate Copy of this Sheet is Attached*

8. The Commissioner is authorized to charge any fees which may be required, or credit any overpayment to Deposit Account 50-0815. If additional fees are required, including extensions of time, please consider this a petition therefore.

02/19/2002 OWNED 00009103 500815 09566660  
 01 FC:581 40.00 CH

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9. Statement and Signature.  
 To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Kathleen S. Hall (Reg. No. 44,143)  01-04-2001  
 Name of Person Signing Signature Date

ASSIGNMENT

U.C. Case No. 96-142-1

For good and valuable consideration, the receipt of which is hereby acknowledged, ASSIGNOR(S),

1. Theodore Friedmann
2. Shin-Tai Chen
3. \_\_\_\_\_

hereby sells, assigns, and transfers to ASSIGNEE, The Regents of the University of California, a California Corporation, having its statewide administrative offices located at 300 Lakeside Drive, 22nd Fl., Oakland, CA 94612-3550, and the successors, assigns and legal representatives of the ASSIGNEE all of its right, title and interest for the United States and its territorial possessions and in all foreign countries in and to, any and all improvements in the invention entitled:

INDUCIBLE EXPRESSION SYSTEM USEFUL IN THE GENERATION OF PACKAGING CELL LINES FOR PSEUDOTYPED RETROVIRAL VECTORS

and which is found in

- (a) \_\_\_\_\_ U.S. patent application executed on \_\_\_\_\_, entitled as above and listing the above named persons as inventors
- (b) XX U.S. patent application serial no. 08/693,940, filed on August 7, 1996
- (c) \_\_\_\_\_ U.S. Patent No.: \_\_\_\_\_, issued \_\_\_\_\_

and any legal equivalent thereof in a foreign country, including the right to claim priority and, in and to, all Letters Patent to be obtained for said invention by the above application or in any continuation, division, continuation-in-part, extension or substitute thereof, and any reissue, reexamination or extension of said Letters Patent and all rights under all International Conventions for the Protection of Industrial Property;

ASSIGNOR(S) hereby covenants that no assignment, sale, agreement or encumbrance has been or will be made or entered into which would conflict with this assignment;

ASSIGNOR(S) further covenants that ASSIGNEE will, upon its request, be provided promptly with all pertinent facts and documents relating to said invention and said Letters Patent and legal equivalents as may be known and accessible to ASSIGNOR and will testify as to the same in any interference, litigation, or proceeding relating thereto and will promptly execute and deliver to ASSIGNEE or its legal representative any and all papers, instruments or affidavits required to apply for, obtain, maintain, issue or enforce said application, said invention and said Letters Patent and said equivalents thereof which may be necessary or desirable to carry out the purposes thereof. An attorney of record is authorized and requested by the execution of this assignment to insert into this assignment the filing date and serial number of said application when officially known.

AND the ASSIGNOR(S) requests the Commissioner of Patents and Trademarks to issue said Letters Patent of the United States and any reissue or extension thereof to the ASSIGNEE, The Regents of the University of California.

executed this

Signature of Inventor(s)

8<sup>th</sup> day of November, 1996

Theodore Friedmann  
Theodore Friedmann

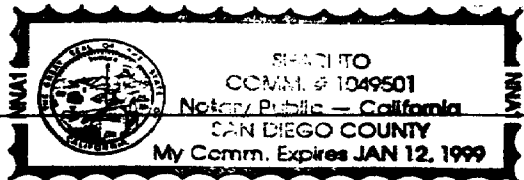
STATE OF California )

County of San Diego : ss.

On November 8, 1996, 1996, before me, Shari Ito, a Notary Public for the State of California, personally appeared Theodore Friedmann, personally known to me or proved to me on the basis of satisfactory evidence to be the person whose name is subscribed to the within instrument, and acknowledged to me that he/she executed the same in his/hers authorized capacity, and that by his/hers signature on the instrument the person, or the entity on behalf of which the person acted, executed the instrument.

Witness my hand and official seal

Shari Ito



Revised: May 21, 1993 (391asnuc.mrg)

executed this

Signature of Inventor(s)

8th day of November, 1996

Shin-Tai Chen  
Shin-Tai Chen

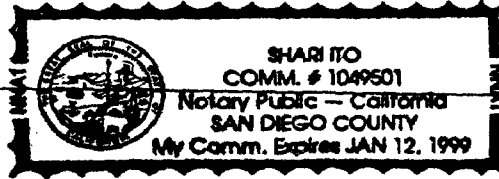
STATE OF California )

County of San Diego : ss.

On November 8, 1996, 1996, before me, Shari Ito, a Notary Public for the State of California, personally appeared Shin-Tai Chen, ~~personally known to me or~~ proved to me on the basis of satisfactory evidence to be the person whose name is subscribed to the within instrument, and acknowledged to me that he/~~she~~ executed the same in his/~~her~~ authorized capacity, and that by his/~~her~~ signature on the instrument the person, or the entity on behalf of which the person acted, executed the instrument.

Witness my hand and official seal

Shari Ito



46653 P11

Revised: May 21, 1993 (391asnuc.mrg)

RECORDED: 01/30/2002

PATENT  
REEL: 012575 FRAME: 0426