

02-20-2002

ET 420 19746145

In Place of Form PTO-159.



101986838
PATENTS

No.:AUS920010558US1

01/31/02

J1040 U.S. PTO
10/062347
01/31/02

1. Name of conveying party(ies)

Michael Wayne Brown, Rabindranath Dutta
Michael A. Paolini,
Newton James Smith, Jr.

2. Name and Address of receiving party(ies):

International Business
Machines Corporation
New Orchard Road
Armonk, New York 10504

3. Nature of conveyance:

Assignment

Execution Date: 1/22/02, 1/28/02, 1/30/02, 1/22/02

4. Application number(s) or patent numbers (s):

If this document is being filed together with a new application, the Execution date of the application is 1/22/02, 1/28/02, 1/30/02, 1/22/02

A. Patent Application No. (s) (B) Patent No. (s)

5. Name and address of party to whom Correspondence concerning document should be mailed:

IBM Corporation
Intellectual Property Law
Internal Zip 4054
11400 Burnet Road
Austin, TX 78758
(512) 823-0494

6. Total number of applications and patents involved: 1

7. Total fee (37 CFR 3.41) \$ 40.00
 Authorized to be charged to Deposit Account

10/062347

8. Deposit account number:

09-0447

DO NOT USE THIS SPACE

9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true And correct and any attached copy is a true copy of the original document.

Cynthia S. Byrd
Name of Person Signing

Cynthia S. Byrd
Signature

1/2/02
Date

02/19/2002 DBYRNE 00000155 090447 10062347

01 FC:581 40.00 CH

Atty. Docket No.: IBM/0020

Total Number of pages including cover sheet 7

ASSIGNMENT

Whereas, we,

- (1) Michael Wayne Brown of 529 River Down Road
Georgetown, Texas 78628
Williamson County
- (2) Rabindranath Dutta of 3401 Parmer Lane W. # 835
Austin, Texas 78727
Travis County
- (3) Micahel A. Paolini of 1406 Terra Street
Round Rock, Texas 78664
Williamson County
- (4) Newton James Smith, Jr. of 11002 Bitterroot Circle
Austin, Texas 78726
Travis County

have invented certain improvements in **Security System For Validation Of Credit Card Transactions** and executed a United States patent application therefore on:

(1) 1/24, 2001 and (2) 1/22, 2001
(3) 1/24, 2001 and (4) 1/22, 2002

Whereas, INTERNATIONAL BUSINESS MACHINES CORPORATION, a corporation of New York, having a place of business at Armonk, New York 10504, (hereinafter called IBM), desires to acquire the entire right, title and interest in the said application and invention, and to any United States and foreign patents to be obtained therefore;

Now therefore, for a valuable consideration, receipt whereof is hereby acknowledged, I, the above named, hereby sell, assign, and transfer to IBM, its successors and assigns, the entire right, title and interest in the said application and invention therein disclosed for the United States and foreign countries, and all rights of priority resulting from the filing of said United States application, and I request the Commissioner of Patents to issue any Letters Patent granted upon the invention set forth in said application to IBM, its successors and assigns; and I hereby agree that IBM may apply for foreign Letters Patent on said invention and I will execute all papers necessary in connection with the United States and foreign applications when called upon to do so by IBM.

(1) Signed at Austin on 1/22/2001, 2001. *M*

Michael Wayne Brown
Michael Wayne Brown

(2) Signed at _____ on _____, 2001.

Rabindranath Dutta

(3) Signed at _____ on _____, 2001.

Michael A. Paolini

(4) Signed at Austin, TX on 1/22, 2001.

Newton James Smith, Jr.
Newton James Smith, Jr.

IBM DOCKET NO. AUS920010558US1

ASSIGNMENT

Whereas, we,

- (1) Michael Wayne Brown of 529 River Down Road
Georgetown, Texas 78628
Williamson County
- (2) Rabindranath Dutta of 3401 Parmer Lane W. # 835
Austin, Texas 78727
Travis County
- (3) Micahel A. Paolini of ~~1406 Terra Street~~ ^{P 6407 Waldsee}
~~Round Rock, Texas 78664~~ ^{Cove} ~~Williamson County~~ ^{Austin } 78750}
- (4) Newton James Smith, Jr. of 11002 Bitterroot Circle
Austin, Texas 78726
Travis County

have invented certain improvements in **Security System For Validation Of Credit Card Transactions** and executed a United States patent application therefore on:

- (1) _____, 2001 and (2) _____, 2001.
- (3) ^{Jan 30, 2002}

Whereas, INTERNATIONAL BUSINESS MACHINES CORPORATION, a corporation of New York, having a place of business at Armonk, New York 10504, (hereinafter called IBM), desires to acquire the entire right, title and interest in the said application and invention, and to any United States and foreign patents to be obtained therefore;

Now therefore, for a valuable consideration, receipt whereof is hereby acknowledged, I, the above named, hereby sell, assign, and transfer to IBM, its successors and assigns, the entire right, title and interest in the said application and invention therein disclosed for the United States and foreign countries, and all rights of priority resulting from the filing of said United States application, and I request the Commissioner of Patents to issue any Letters Patent granted upon the invention set forth in said application to IBM, its successors and assigns; and I hereby agree that IBM may apply for foreign Letters Patent on said invention and I will execute all papers necessary in connection with the United States and foreign applications when called upon to do so by IBM.

IBM DOCKET NO. AUS920010558US1

(1) Signed at _____ on _____, 2001.

Michael Wayne Brown

(2) Signed at _____ on _____, 2001.

Rabindranath Dutta

(3) Signed at Aust. on Jan 30, 2001.


Michael A. Paolini

(4) Signed at _____ on _____, 2001.

Newton James Smith, Jr.

IBM DOCKET NO. AUS920010558US1

ASSIGNMENT

Whereas, we,

- (1) Michael Wayne Brown of 529 River Down Road
Georgetown, Texas 78628
Williamson County
- (2) Rabindranath Dutta of 1927 Malcolm Ave., Apt. 4
Los Angeles, Ca 90025
Los Angeles County
- (3) Micahel A. Paolini of 1406 Terra Street
Round Rock, Texas 78664
Williamson County
- (4) Newton James Smith, Jr. of 11002 Bitterroot Circle
Austin, Texas 78726
Travis County

have invented certain improvements in **Security System For Validation Of Credit Card Transactions** and executed a United States patent application therefore on:

- (1) _____, 2001 and (2) 1/28, ²⁰⁰² ~~2001~~ *RD*
- (3) _____, 2002 and (4) _____, 2002

Whereas, INTERNATIONAL BUSINESS MACHINES CORPORATION, a corporation of New York, having a place of business at Armonk, New York 10504, (hereinafter called IBM), desires to acquire the entire right, title and interest in the said application and invention, and to any United States and foreign patents to be obtained therefore;

Now therefore, for a valuable consideration, receipt whereof is hereby acknowledged, I, the above named, hereby sell, assign, and transfer to IBM, its successors and assigns, the entire right, title and interest in the said application and invention therein disclosed for the United States and foreign countries, and all rights of priority resulting from the filing of said United States application, and I request the Commissioner of Patents to issue any Letters Patent granted upon the invention set forth in said application to IBM, its successors and assigns; and I hereby agree that IBM may apply for foreign Letters Patent on said invention and I will execute all papers necessary in connection with the United States and foreign applications when called upon to do so by IBM.

IBM DOCKET NO. AUS920010558US1

(1) Signed at _____ on _____, 2002.

Michael Wayne Brown

(2) Signed at LOS ANGELES on 1/28, 2002.

Rabindranath Dutta
Rabindranath Dutta

(3) Signed at _____ on _____, 2002.

Michael A. Paolini

(4) Signed at _____ on _____, 2002.

Newton James Smith, Jr.