

02-20-2002



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ET U.S. DEPARTMENT OF COMMERCE

U.S. PTO
1017
10/066856



02/04/02

To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereon.

1. Name of conveying party(ies):

Gordon James Smith
Donald Earl Vosberg

02/04/02

Additional name(s) of conveying party(ies) attached?

☐ Yes

☒ No

2. Name and address of receiving party(ies):

Name: **International Business Machines Corporation**

Internal Address:

Street Address: **New Orchard Road**

City: **Armonk** State: **New York** ZIP: **10504**

Additional name(s) & address(es) attached: ☐ Yes ☒ No

3. Nature of conveyance:

☒ Assignment

☐ Merger

☐ Security Agreement

☐ Change of Name

☐ Other

Execution Date: January 31 and February 1, 2002

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is: January 31 and February 1, 2002

a. Patent Application No.(s)

ROC920010329US1

Additional numbers attached? Yes ☐ No ☒

b. Patent No.(s)

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: **Joan Pennington**

Internal Address: **Unit 1804**

Street Address:

535 North Michigan Avenue

City: **Chicago** State: **IL** ZIP: **60611**

6. Total number of applications and patents involved: **1**

7. Total fee (37 CFR 3.41)..... **\$ 40.00**

☐ Enclosed

☒ Authorized to be charged to deposit account

8. Deposit account number:

09-0465 of IBM Corporation

(Attach duplicate copy of this page if paying by deposit account)

9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Joan Pennington

Name of Person Signing

Signature

Date

Total number of pages: 2

OMB No. 0651-0011 (exp. 4/94)

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ASSIGNMENT

Whereas, we

- | | | |
|-----|--|---|
| (1) | Gordon James Smith
County of Olmsted | City of Rochester,
and State of Minnesota, |
| (2) | Donald Earl Vosberg
County of Olmsted | City of Rochester,
and State of Minnesota, |

have invented certain improvements in

**METHOD AND APPARATUS FOR IMPLEMENTING ADAPTIVE REORDERING OF DATA
RECOVERY PROCEDURE STEPS IN A DISK DRIVE**

and have executed, respectively, a United States patent application therefor on

Dates

Inventors Signed

Declaration (1) January 31, 2002 (2) February 1, 2002

And whereas, INTERNATIONAL BUSINESS MACHINES CORPORATION, a corporation of New York, having a place of business at Armonk, New York 10504, (hereinafter called IBM), desires to acquire the entire right, title and interest in the said application and invention, and to any United States and foreign patents to be obtained therefor;

Now therefore, for a valuable consideration, receipt whereof is hereby acknowledged, we the above named hereby sell, assign, and transfer to IBM, its successors and assigns, the entire right, title and interest in the said application and invention therein disclosed for the United States and foreign countries, and all rights of priority resulting from the filing of said United States application, and we request the Commissioner of Patents to issue any Letters Patent granted upon the invention set forth in said application to IBM, its successors and assigns; and we hereby agree that IBM may apply for foreign Letters Patent on said invention and we will execute all papers necessary in connection with the United States and foreign applications when called upon to do so by IBM.

Signed

Inventor (1) at Rochester, MN
on Jan. 31, 2002.

Gordon James Smith Inventor
(Gordon James Smith) Signature
First Name Initial Last Name

Inventor (2) at Rochester, MN
on 2 - 1, 2002.

Donald Earl Vosberg Inventor
(Donald Earl Vosberg) Signature
First Name Initial Last Name