

02-20-2002

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NOTE: The following appendix did not appear in the Code of Federal Regulations

APPENDIX B

FORM PTO-1595

1-31-92

**RECORDATION FORM COVER SHEET
PATENTS ONLY**

U.S. DEPARTMENT OF COMMERCE

Patent and Trademark Office

To the Honorable Commissioner of Patents and Trademarks. Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):

Mike Ming Yu Chen
Thomas Edward Dinan
Paul Phong Nguyen
Neil Leslie Robertson

2. Name and address of receiving party(ies):

Name: *INTERNATIONAL BUSINESS
MACHINES CORPORATION*

Internal Address: Armonk, New York 10504

Street Address: SAME AS ABOVE

Additional name(s) & address(es) attached?
____ yes X noAdditional name(s) of conveying party(ies) attached? ____ yes X no

3. Nature of Conveyance

X Assignment _____ Merger
____ Security Agreement _____ Change of Name
____ Other _____Execution Date: 11/30/01, 12/04/01, 11/27/01, 11/27/01

4. Application number(s) or patent number(s):

10,054,554If this document is being filed together with a new application, the execution date of the application is: 11/30/01, 12/04/01, 11/27/01, 11/27/01

A. Patent Application No.(s)

B. Patent No.(s)

Additional numbers attached? ____ Yes X No

5. Name and address of party to whom correspondence concerning documents should be mailed:

Name: Ron Feece
Address: INTERNATIONAL BUSINESS MACHINES CORPORATION
5600 Cottle Road, L2PA/014
San Jose, California 951936. Total number of applications and patents involved: 17. Total Fee (37 CFR 3.41): \$ 40.00____ Enclosed
X Authorized to be charged to
deposit account8. Deposit Account Number: 09-0442

02/19/2002 AMMED1 00000068 090442 10054554

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9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

RON FEECE

Name of Person Signing

Signature

Date

Total Number of pages including cover sheet, attachments and document: 4

OMB NO. 0651-0011 (EXP. 4/94)

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COMMISSIONER OF PATENTS AND TRADEMARKS
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WASHINGTON, D.C. 20231

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**PATENT
REEL: 012577 FRAME: 0833**

ASSIGNMENT

Whereas, I

INVENTOR
AND CITY

- | | |
|--|--|
| (1) Mike Ming Yu Chen
City of San Mateo ,
County of Santa Mateo
State of California , | (2) Thomas Edward Dinan
City of San Jose ,
County of Santa Clara
State of California , |
| (3) Paul Phong Nguyen
City of San Jose ,
County of Santa Clara
State of California , | (4) Neil Leslie Robertson
City of Palo Alto ,
County of Santa Clara
State of California . |

have invented certain improvements in

TITLE

A HIGH SATURATION THIN-FILM WRITE HEAD FOR HIGH-COERCIVITY MAGNETIC DATA STORAGE MEDIA

DATE THAT
INVENTOR
SIGNED THE
DECLARATION

and executed a Declaration and Power of Attorney for a United States patent application therefor on

- | | |
|-------------------------|-----------------|
| (1) <u>11/30</u> , 2001 | (2) _____, 2001 |
| (3) _____, 2001 | (4) _____, 2001 |

Whereas, INTERNATIONAL BUSINESS MACHINES CORPORATION, a corporation of New York, having a place of business at Armonk, New York 10504, (hereinafter called IBM), desires to acquire the entire right, title and interest in the said application and invention, and to any United States and foreign patents to be obtained therefor;

Now therefore, for a valuable consideration, receipt whereof is hereby acknowledged, I, the above named, hereby sell, assign, and transfer to IBM, its successors and assigns, the entire right, title and interest in the said application and invention therein disclosed for the United States and foreign countries, and all rights of priority resulting from the filing of said United States application, and I request the Commissioner of Patents to issue any Letters Patent granted upon the inventions set forth in said application to IBM, its successors and assigns; and I hereby agree that IBM may apply for foreign Letters Patent on said invention and I will execute all papers necessary in connection with the United States and foreign applications when called upon to do so by IBM.

Signed

CITY
DATE

(1) at
on 11/30, 2001,


Mike Ming Yu Chen

SIGNATURE
INVENTOR

CITY
DATE

(2) at
on _____, 2001,

Thomas Edward Dinan

SIGNATURE
INVENTOR

CITY
DATE

(3) at
on _____, 2001,

Paul Phong Nguyen

SIGNATURE
INVENTOR

CITY
DATE

(4) at
on _____, 2001,

Neil Leslie Robertson

SIGNATURE
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|-----------------|--------------------------|
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| (3) _____, 2001 | (4) _____, 2001 |

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Signed

CITY
DATE

(1) at
on _____, 2001,

Mike Ming Yu Chen SIGNATURE
INVENTOR

CITY Frem ^{TED}
DATE

(2) at FREMONT, CA
on 12/4, 2001,

Thomas E. Dinan
Thomas Edward Dinan SIGNATURE
INVENTOR

CITY
DATE

(3) at
on _____, 2001,

Paul Phong Nguyen SIGNATURE
INVENTOR

CITY
DATE

(4) at
on _____, 2001,

Neil Leslie Robertson SIGNATURE
INVENTOR

ASSIGNMENT

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NLR | (4) <u>11/27</u> , 2001 |

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Signed

CITY
DATE

(1) at _____	_____	SIGNATURE
on _____, 2001,	Mike Ming Yu Chen	INVENTOR

CITY
DATE

(2) at _____	_____	SIGNATURE
on _____, 2001,	Thomas Edward Dinan	INVENTOR

CITY
DATE

(3) at <u>San Jose, CA</u>	<u>Paul Nguyen</u>	SIGNATURE
on <u>11/27</u> , 2001,	Paul Phong Nguyen	INVENTOR

CITY
DATE

(4) at <u>San Jose, CA</u>	<u>Neil Leslie Robertson</u>	SIGNATURE
on <u>11/27</u> , 2001,	Neil Leslie Robertson	INVENTOR