

02-21-2002

Attorney Docket No. 83214-4 and -5

FORM PTO-1595
(Rev. 6-93)U.S. Department of Commerce
Patent and Trademark Office

101988969

To the Honorable Asst. Commissioner for Patents. Please record the attached original documents or copy thereof

1. Name of conveying party(ies):

Sulzer Turbo AG

1-28-02

2. Name and address of receiving party(ies)

Name: MAN Turbomaschinen AG GHH BORSIG

Internal Address:

Street Address: Steinbrinkstrasse 1

City: Oberhausen Country: Germany ZIP: D-46145

Additional names and addresses attached? ☐ Yes ☒ NoAdditional name(s) of conveying parties attached? ☐ Yes
☒ No

3. Nature of conveyance:

☒ Assignment ☐ Merger☐ Security Agreement ☐ Change of Name☐ Other:

Execution Date: May 17, 2001

4. Application Number(s) or Patent Numbers.

If this document is being filed together with a new application, the execution date of the application is:

A. Patent Application No(s): 09/597,938 and 09/599,098

B. Patent No(s):

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: J. Georg Seka
TOWNSEND AND TOWNSEND AND CREW LLP
Two Embarcadero Center, 8th Floor
San Francisco, California 94111-3834
(415) 576-0200

6. Total number of applications and patents involved: 2

7. Total fee (37 CFR 3.41): -----\$80.00

☐ Enclosed ☒ Charge Fees to Deposit Account☒ Charge any additional fees associated with this paper or during the pendency of this application, or credit any overpayment, to deposit account.

8. Deposit account number: 20-1430

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9. Statement and signature.

*To the best of my knowledge and belief, the foregoing is true and correct and any attached copy is a true of copy of the original document.*J. Georg Seka
Name of Person Signing

Atty. Reg. No. 24,491

Signature

December 27, 2001
Date

Total number of pages including cover sheet, attachments and document: 3

10. Change Correspondence Address to that of Part 5? ☐ Yes ☒ No

OMB No. 0651-0011 (exp. 4/94)

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Mail documents to be recorded with required cover to:

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SF 1304000 v1

PATENT
REEL: 012582 FRAME: 0267

ASSIGNMENT OF PATENT APPLICATIONS

WHEREAS, **Sulzer Turbo AG**, of Zurich, Switzerland, hereinafter referred to as "Assignor", is the owner of all rights in certain new and useful improvements as described and set forth in the below-identified applications for United States Letters Patent:

Application No. 09/597,938

Application No. 09/599,098

WHEREAS, **MAN Turbomaschinen AG GHH BORSIG**, located at Steinbrinkstrasse 1, D-46145 Oberhausen, Germany, hereinafter referred to as "Assignee", is desirous of acquiring Assignor's interest in the said applications and in any U.S. Letters Patent which may be granted on the same;


NOW, THEREFORE, TO ALL WHOM IT MAY CONCERN: Be it known that, for good and valuable consideration, receipt of which is hereby acknowledged by Assignor, Assignor has sold, assigned and transferred, and by these presents does sell, assign and transfer unto the said Assignee, and Assignee's successors and assigns, all its right, title and interest in and to the said applications, and in and to any Letters Patent which may hereafter be granted on the same in the United States, the said interest to be held and enjoyed by said Assignee as fully and exclusively as it would have been held and enjoyed by said Assignor had this Assignment and transfer not been made, to the full end and term of any Letters Patent which may be granted thereon, or of any divisions, renewals, continuations in whole or in part, substitutions, conversions, reissues, prolongations or extensions thereof.

Assignor further agrees that it will, without charge to said Assignee, but at Assignee's expense, (a) cooperate with Assignee in the prosecution of said applications, (b) execute, verify, acknowledge and deliver all such further papers, including applications for Letters Patent and for the reissues thereof, and instruments of assignment and transfer thereof, and (c) perform such other acts as Assignee lawfully may request, to obtain or maintain Letters Patent for said inventions and improvements, and to vest title thereto in said Assignee, or Assignee's successors and assigns.

IN TESTIMONY WHEREOF, Assignor has executed this Assignment on the date indicated below:

Sulzer Turbo AG

Date: 17 May 2001


By: Werner Bloch Johannes Beckers
Title: President Manager Bus. Development

SF 1241148 v1