

02-21-2002



COVER SHEET
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TO THE HONORABLE DIRECTOR OF THE UNITED STATES PATENT AND TRADEMARK OFFICE. PLEASE RECORD THE ATTACHED ORIGINAL DOCUMENTS OR COPY THEREOF.

<p>1. Name of conveying party(ies):</p> <p>(a) Werner K. Goetz (b) Michael D. Camras (c) Nathan F. Gardner (d) R. Scott Kern (e) Andrew Y. Kim (f) Stephen A. Stockman</p> <p>Additional name(s) of conveying party(ies) attached? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No</p>	<p>2. Name and address of receiving party(ies):</p> <p>Name: LumiLeds Lighting, U.S., LLC</p> <p>Street Address: 370 W. Trimble Road</p> <p>City: San Jose State: California Zip: 95131</p> <p>Country: USA</p>
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1-28-02

<p>3. Nature of Conveyance:</p> <p><input checked="" type="checkbox"/> Assignment <input type="checkbox"/> Merger <input type="checkbox"/> Security Agreement <input type="checkbox"/> Change of Name <input type="checkbox"/> Other _____</p> <p>Execution Date: (a)11/20/01; (b)11/21/01; (c)12/3/01; (d)11/28/01; (e)12/11/01; (f) 11/28/01</p>	<p>Name and address of receiving party(ies):</p> <p>Name: _____</p> <p>Street Address: _____</p> <p>City: _____ State: _____ Zip: _____</p> <p>Country: _____</p> <p>Additional name(s) & address(es) attached? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No</p>
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4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is: n/a

<p>A. Patent Application No.(s) - 09/823,732</p> <p>Title: Indium Gallium Nitride Smoothing Structures For III-Nitride Devices</p>	<p>B. Patent No.(s)</p> <p>N/A</p>
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Additional numbers attached? Yes No

<p>5. Name and address of party to whom correspondence concerning document should be mailed:</p> <p>Name: Rachel V. Leiterman</p> <p>Internal Address: SKJERVEN MORRILL MacPHERSON LLP</p> <p>Street Address: 25 METRO DRIVE, SUITE 700</p> <p>City SAN JOSE State CA Zip 95110</p>	<p>6. Total number of applications and patents involved: <u>1</u></p> <p>7. Total fee (37 CFR 3.41): \$40.00</p> <p><input checked="" type="checkbox"/> Authorized to be charged to Deposit Account 19-2386</p> <p><input checked="" type="checkbox"/> Charge Deposit Account 19-2386 for any additional fees required for this conveyance and credit deposit account 19-2386 any amounts overpaid</p>
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8. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Mark E. Schmidt 47,063 Mark E. Schmidt January 7, 2002
Name of Person Signing Signature Date

Total number of pages including cover sheet, attachments, and documents: 5

ASSIGNMENT

For good and valuable consideration, receipt of which is hereby acknowledged, we

<u>Werner K. Goetz</u>	of <u>Palo Alto, California</u>
<u>Michael D. Camras</u>	of <u>Sunnyvale, California</u>
<u>Nathan F. Gardner</u>	of <u>Mountain View, California</u>
<u>R. Scott Kern</u>	of <u>San Jose, California</u>
<u>Andrew Y. Kim</u>	of <u>Hayward, California</u>
<u>Stephen A. Stockman</u>	of <u>Morgan Hill, California</u>

hereby sell, assign and transfer to **LumiLeds Lighting, U.S., LLC**, a Delaware corporation, having a place of business at **370 W. Trimble Road, San Jose, California 95131**, its successors and assigns, the entire right, title and interest throughout the world in our invention in

Indium Gallium Nitride Smoothing Structures For III-Nitride Devices

for which we filed a United States Patent Application on **March 29, 2001**, which now has Serial No. **09/823,732**, and all patent applications and patents of every country for said invention, including divisions, reissues, continuations and extensions thereof, and all rights of priority resulting from the filing of said applications; we authorize the above-named assignee to apply for patents of foreign countries for said invention, and to claim all rights of priority without further authorization from us; we agree to execute all papers useful in connection with said United States and foreign applications, and generally to do everything possible to aid said assignee, their successors, assigns and nominees, at their request and expense, in obtaining and enforcing patents for said invention in all countries; and we request that the United States Patent and Trademark Office issue all patents granted for said invention to the above-named assignee, its successors and assigns.

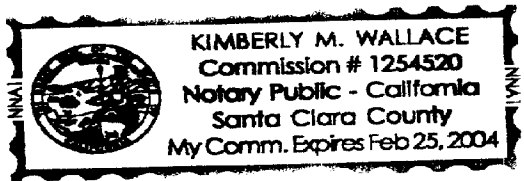
Executed this 20th day of November, 2001.

Werner K. Goetz
Werner K. Goetz

State of California)
County of Santa Clara) ss.

On November 20, 2001 before me, Kimberly M. Wallace, Notary Public personally appeared Werner K. Goetz personally known to me ~~or proved to me on the basis of satisfactory evidence~~ to be the person whose name is subscribed to the within instrument and acknowledged to me that he /she /they executed the same in his /her /their authorized capacity , and that by his /her /their signature on the instrument the person , or the entity upon behalf of which the person acted, executed the instrument.

WITNESS my hand and official seal.
Kimberly M. Wallace
SIGNATURE OF NOTARY



Executed this 21ST day of November, 2001.

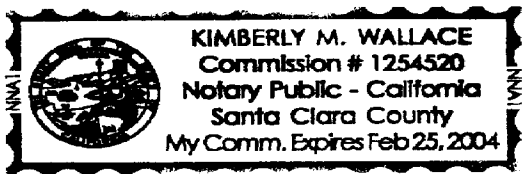
Michael D. Camras
Michael D. Camras

State of California)
) ss.
County of Santa Clara)

On November 21, 2001 before me, Kimberly M. Wallace, Notary Public personally appeared Michael D. Camras personally known to me ~~or proved to me on the basis of satisfactory evidence~~ to be the person ~~(s)~~ whose name ~~(s)~~ is ~~(are)~~ subscribed to the within instrument and acknowledged to me that he ~~/she/they~~ executed the same in his ~~/her/their~~ authorized capacity ~~(ies)~~, and that by his ~~/her/their~~ signature ~~(s)~~ on the instrument the person ~~(s)~~, or the entity upon behalf of which the person ~~(s)~~ acted, executed the instrument.

WITNESS my hand and official seal.

Kimberly M. Wallace
SIGNATURE OF NOTARY



Executed this 3rd day of December, 2001.

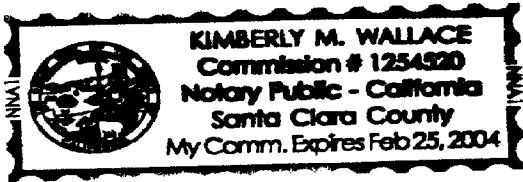
Nathan F. Gardner
Nathan F. Gardner

State of California)
) ss.
County of Santa Clara)

On December 3, 2001 before me, Kimberly M. Wallace, Notary Public personally appeared Nathan F. Gardner personally known to me ~~or proved to me on the basis of satisfactory evidence~~ to be the person ~~(s)~~ whose name ~~(s)~~ is ~~(are)~~ subscribed to the within instrument and acknowledged to me that he ~~/she/they~~ executed the same in his ~~/her/their~~ authorized capacity ~~(ies)~~, and that by his ~~/her/their~~ signature ~~(s)~~ on the instrument the person ~~(s)~~, or the entity upon behalf of which the person ~~(s)~~ acted, executed the instrument.

WITNESS my hand and official seal.

Kimberly M. Wallace
SIGNATURE OF NOTARY



Executed this 28 day of November, 2001.

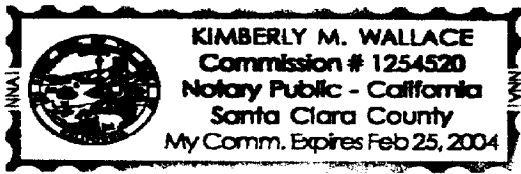
R. Scott Kern
R. Scott Kern

State of California)
) ss.
County of Santa Clara)

On November 28, 2001 before me, Kimberly M. Wallace, Notary Public personally appeared R. Scott Kern personally known to me or ~~proved to me on the basis of satisfactory evidence~~ to be the person(x) whose name(x) is(~~are~~) subscribed to the within instrument and acknowledged to me that he/~~she~~/~~they~~ executed the same in his/~~her~~/~~their~~ authorized capacity(~~ies~~), and that by his/~~her~~/~~their~~ signature(~~s~~) on the instrument the person(x), or the entity upon behalf of which the person(x) acted, executed the instrument.

WITNESS my hand and official seal.

Kimberly M. Wallace
SIGNATURE OF NOTARY



Executed this 11 day of December, 2001.

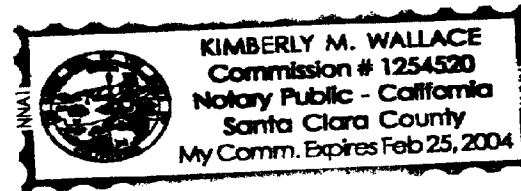
Andrew Y. Kim
Andrew Y. Kim

State of California)
) ss.
County of Santa Clara)

On December 11, 2001 before me, Kimberly M. Wallace, Notary Public personally appeared Andrew Y. Kim personally known to me or ~~proved to me on the basis of satisfactory evidence~~ to be the person(x) whose name(x) is(~~are~~) subscribed to the within instrument and acknowledged to me that he/~~she~~/~~they~~ executed the same in his/~~her~~/~~their~~ authorized capacity(~~ies~~), and that by his/~~her~~/~~their~~ signature(~~s~~) on the instrument the person(x), or the entity upon behalf of which the person(x) acted, executed the instrument.

WITNESS my hand and official seal.

Kimberly M. Wallace
SIGNATURE OF NOTARY



Executed this 28th day of November, 2001.

Stephen A. Stockman

Stephen A. Stockman

State of California)

County of Santa Clara)

ss.

On November 28, 2001 before me, Kimberly M. Wallace, Notary Public personally appeared Stephen A. Stockman personally known to me or ~~proved to me on the basis of satisfactory evidence~~ to be the person ~~(s)~~ whose name ~~(s)~~ is ~~(a)~~ subscribed to the within instrument and acknowledged to me that he ~~/she/they~~ executed the same in his ~~/her/their~~ authorized capacity ~~(ies)~~, and that by his ~~/her/their~~ signature ~~(s)~~ on the instrument the person ~~(s)~~, or the entity upon behalf of which the person ~~(s)~~ acted, executed the instrument.

WITNESS my hand and official seal.

Kimberly M. Wallace

SIGNATURE OF NOTARY

