

FORM PTO-1595
(Rev. 6-93)

RECOF

02-21-2002

U.S. DEPARTMENT OF COMMERCE
Patent and Trademark Office

2/7/02



101988529

To the Honorable Commissioner of Patents and Trademarks: Please record the attached original document or copy thereof.

1. Names of conveying parties:

1) ANDREW D. FIRLIK
 2) ALAN J. LEVY
 3) BRADFORD EVAN GLINER
 4)
 5)
 6)

Additional names of conveying parties attached? Yes No

2. Name and address of receiving party:

Name: VERTIS NEUROSCIENCE, INC.

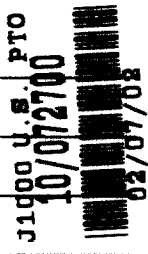
Internal Address: _____

Street Address: 2101 FOURTH AVENUE
SUITE 200

City SEATTLE State WA

Zip: 98121-2329

Additional names & addresses attached? Yes No



3. Nature of conveyance:

Assignment Merger

Security Agreement Change of Name

Other _____

Execution Dates:

1) FEBRUARY 5, 2002 4) _____
 2) FEBRUARY 5, 2002 5) _____
 3) FEBRUARY 5, 2002 6) _____

4. Application number(s) or registration number(s):

If this document is being filed together with a new application, the execution date of the application is February 7, 2002.

A. Patent Application No(s). B. Patent No(s).

Additional numbers attached? Yes No

10/072700

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: PERKINS COIE LLP

Internal Address: PATENT-SEA
PAUL T. PARKER

Street Address: P.O. Box 1247

City: SEATTLE State: WA ZIP: 98111-1247

6. Total number of applications and patents involved 1

7. Total Fee (37 CFR 3.41): \$40

Enclosed

Authorized to be charged to deposit account

8. Deposit account number: 50-0665

DO NOT USE THIS SPACE

9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

PAUL T. PARKER [Signature] FEBRUARY 7, 2002
 Name of Person Signing Signature Date

Total number of pages including cover sheet, attachments, and document: 5

ASSIGNMENT

WHEREAS, we, Andrew D. Firlik; Alan J. Levy; and Bradford Evan Gliner ("ASSIGNORS"), having post office addresses of 44 Still Road, Ridgefield, Connecticut 06877; 5435 154th Avenue SE, Bellevue, Washington 98006; and 4368 230th Way SE, Sammamish, Washington 98121; respectively, are the joint inventors of an invention entitled "METHODS AND APPARATUS FOR EFFECTUATING A CHANGE IN A NEURAL-FUNCTION OF A PATIENT," as described and claimed in the specification for which a continuation-in-part application for United States patent is executed concurrently herewith. This application is a continuation-in-part of U.S. Application No. 09/802,808, filed March 8, 2001, entitled "Methods and Apparatus for Effectuating a Lasting Change in a Neural-Function of a Patient," which application is pending and which claims priority to U.S. Application No. 60/217,981 filed July 13, 2000.

WHEREAS, Vertis Neuroscience, Inc. ("ASSIGNEE"), a corporation of the State of Washington having a place of business at 2101 Fourth Avenue, Suite 200, Seattle, Washington 98121, is desirous of acquiring the entire right, title, and interest in and to the invention and in and to any patents that may be granted therefor in the United States and in any and all foreign countries;

NOW, THEREFORE, in exchange for good and valuable consideration, the receipt and sufficiency of which is hereby acknowledged, ASSIGNORS hereby sell, assign, and transfer unto ASSIGNEE, its legal representatives, successors, and assigns, the entire right, title and interest in and to the invention as set forth in the above-mentioned application, including any continuations, continuations-in-part, divisions, reissues, re-examinations, or extensions thereof, any other inventions described in the application, and any and all patents of the United States of America and all foreign countries that may be issued for the invention, including the right to file foreign applications directly in the name of ASSIGNEE and to claim priority rights deriving from the United States application to which foreign applications are entitled by virtue of international convention, treaty or otherwise, the invention, application and all patents on

the invention to be held and enjoyed by ASSIGNEE and its successors and assigns for their use and benefit and of their successors and assigns as fully and entirely as the same would have been held and enjoyed by ASSIGNORS had this assignment, transfer, and sale not been made.

UPON THE ABOVE-STATED CONSIDERATIONS, ASSIGNORS agree to not execute any writing or do any act whatsoever conflicting with this assignment, and at any time upon request, without further or additional consideration but at the expense of ASSIGNEE, execute all instruments and documents and do such additional acts as ASSIGNEE may deem necessary or desirable to perfect ASSIGNEE's enjoyment of this grant, and render all necessary assistance required for the making and prosecution of applications for United States and foreign patents on the invention, for litigation regarding the patents, or for the purpose of protecting title to the invention or patents therefor.

ASSIGNORS authorize and request the Commissioner of Patents and Trademarks to issue any Patent of the United States that may be issued for the invention to ASSIGNEE.

2-5-02
Date

Andrew D. Firlik
Andrew D. Firlik

State of Washington)

County of King)

ss.

I certify that I know or have satisfactory evidence that Andrew D. Firlik is the person who appeared before me, and said person acknowledged that he signed this instrument and acknowledged it to be his free and voluntary act for the uses and purposes mentioned in the instrument.

Dated 2-5-02

Signature of Notary Public Charlene M. Anderson

Printed Name CHARLENE M. ANDERSON

My appointment expires 7-15-03

2/5/02
Date

Alan Levy
Alan J. Levy

State of Washington)

County of King)

ss.

I certify that I know or have satisfactory evidence that Alan J. Levy is the person who appeared before me, and said person acknowledged that he signed this instrument and acknowledged it to be his free and voluntary act for the uses and purposes mentioned in the instrument.

Dated 2-5-02

Signature of Notary Public Charlene M. Anderson

Printed Name CHARLENE ANDERSON

My appointment expires 7-15-03

2/5/02
Date

Bradford E Gliner
Bradford Evan Gliner

State of King WA)

County of King)

ss.

I certify that I know or have satisfactory evidence that Bradford Evan Gliner is the person who appeared before me, and said person acknowledged that he signed this instrument and acknowledged it to be his free and voluntary act for the uses and purposes mentioned in the instrument.

Dated 2-5-02

Signature of Notary Public Charlene M. Anderson

Printed Name CHARLENE M. ANDERSON

My appointment expires 7-15-03