

02-25-2002



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Attorney Docket No. 8327-000024

IEET

U.S. DEPARTMENT OF COMMERCE
U.S. Patent and Trademark Office

To the Honorable Commissioner of Patents and Trademarks

attached original documents or copy thereof.

1. Name of conveying party(ies):

Mark Missey
Bart Fondeur
Anca Sala

2-13-02

2. Name and address of receiving party(ies)

Name: JDS Uniphase Corporation

Internal Address:

Additional name of conveying party(ies) attached? ☐ Yes ☐ No

3. Nature of conveyance:

- ☒ Assignment ☐ Merger
☐ Security Agreement ☐ Change of Name
☐ Other _____

Execution Date(s): December 5, 2001; December 21, 2001

Street Address: 80 Rose Orchard Way

City: San Jose State: CA Zip: 95134

Additional Name(s) & address(es) attached? ☐ Yes ☒ No

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is: _____

A. Patent Application No.(s)
09/965,677

B. Patent No.(s)

Additional numbers attached? ☐ Yes ☐ No

5. Name and address of party to whom correspondence concerning this document should be mailed:

Name: G. Gregory Schivley

Internal Address: Harness, Dickey & Pierce, P.L.C.

Street Address: P.O. Box 828

City: Bloomfield Hills State: MI Zip: 48303

6. Total number of applications and/or patents involved: 1

7. Total fee (37 CFR 3.41) \$ 40.00

- ☒ Enclosed
☐ Authorized to be charged to deposit account

8. The Commissioner is hereby authorized to charge any additional fees that may be required or credit any overpayments to Deposit Account No. 08-0750. A duplicate copy of this sheet is enclosed.

DO NOT USE THIS SPACE

9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

G. Gregory Schivley
Name of Person Signing

Signature

Date

Total number of pages including cover sheet, attachments, and documents: 5

Mail documents to be recorded with required cover sheet information to:

Commissioner of Patents & Trademarks, Box Assignments
Washington, D.C. 20231

02/22/2002 T01A21

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PATENT
REEL: 012588 FRAME: 0885

ASSIGNMENT

Atty. Docket No. 8327-000024

WHEREAS, the undersigned, hereinafter referred to collectively as Assignor, has invented:

**CONTROLLING THE DISPERSION AND PASSBAND
CHARACTERISTICS OF AN ARRAYED WAVEGUIDE GRATING**

for which Assignor is about to make or has made United States or International application for patent

- (a) ☐ executed on even date preparatory to filing (each inventor should sign this Assignment on the same day as he/she signs the Declaration and Power of Attorney);
- (b) ☐ executed on _____, _____, _____; or
- (c) ☒ filed on September 27, 2001, and assigned Serial No. 09/965,677 or PCT International Application No. _____;
- (d) ☐ U.S. Patent No. _____, issued _____; and

WHEREAS, JDS Uniphase Corporation, having a place of business located at 80 Rose Orchard Way, San Jose, California 95134, hereinafter referred to as Assignee, is desirous of acquiring an interest therein:

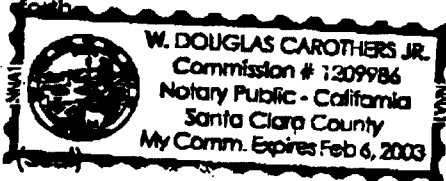
NOW, THEREFORE, for good and valuable consideration, the receipt and adequacy whereof is hereby acknowledged, Assignor by these presents does sell, assign and transfer unto Assignee and its successors in interest, the full and exclusive right, title and interest in the United States of America and all foreign countries, including the right to claim priority under the laws of the United States, the Paris Convention, and any foreign countries, to the said invention as described in the aforesaid application, said application for patent and all Letters Patent therefor to be held and enjoyed by Assignee to the full end of the term for which said Letters Patent are granted and any extensions thereof as fully and entirely as the same would have been held by Assignor had this assignment and sale not been made, and the right to recover for past infringements of, or liabilities for, any of the rights relating to any of said applications or patents resulting therefrom;

Assignor hereby covenants and agrees to execute all instruments or documents required or requested for the making and prosecution of any applications of any type for patent in the United States and in all foreign countries including, but not limited to, any provisional, continuation, continuation-in-part, divisional, renewal or substitute thereof, and as to letters patent any reissue, re-examination, or extension thereof, and for litigation regarding, or for the purpose of protecting title and to the said invention, the United States application for patent, or Letters Patent therefor, and to testify in support thereof, for the benefit of Assignee without further or other compensation than that above set forth;

Assignor hereby covenants that no assignment, sale or agreement or encumbrance has been or will be entered into which would conflict with this Assignment; and

Assignor hereby requests the Commissioner of Patents and Trademarks to issue said Letters Patent of the United States of America to Assignee, and requests that any official of any country or countries foreign to the United States, whose duty it is to issue or grant patents and applications as aforesaid, to issue said Letters Patent, Utility Model Registration or Inventor's Certificate to Assignee.

Assignor hereby authorizes and requests my attorney to insert here in parentheses (Application No. _____, filed _____) the filing date and application number of said application when known.

ASSIGNMENTM. J. Missey
Mark MisseyState of CALIFORNIA)
County of SANTA CLARA) ss.On this 5TH day of DECEMBER, 2001, before me personally appeared the foregoing individual, who executed the foregoing instrument and who acknowledged to me that he executed the same of his own free will for the purpose therein set forth.W. Douglas Carothers Jr.
Notary Public,_____
County, State of _____

My Commission Expires: _____

Bart FondeurState of _____)
County of _____) ss.

On this _____ day of _____, 2001, before me personally appeared the foregoing individual, who executed the foregoing instrument and who acknowledged to me that he executed the same of his own free will for the purpose therein set forth.

Notary Public,

(seal)

County, State of _____

My Commission Expires: _____

ASSIGNMENTMark Missey

State of _____)
 County of _____) ss.

On this _____ day of _____, 2001, before me personally appeared the foregoing individual, who executed the foregoing instrument and who acknowledged to me that he executed the same of his own free will for the purpose therein set forth.

Notary Public,

(seal)

County, State of _____

My Commission Expires: _____

Bert Fondeur

State of Ohio)
 County of Franklin) ss.

On this 21st day of December, 2001, before me personally appeared the foregoing individual, who executed the foregoing instrument and who acknowledged to me that he executed the same of his own free will for the purpose therein set forth.


Mollasa E. Mock
Notary Public,

(seal)

Franklin County, State of OhioMy Commission Expires: March 30, 2004

Mollasa E. Mock
 Notary Public, State of Ohio
 My Commission Expires March 30, 2004


ASSIGNMENT



Anca Sala

State of Ohio)
County of Franklin) ss.

On this 21st day of December, 2001, before me personally appeared the foregoing individual, who executed the foregoing instrument and who acknowledged to me that he executed the same of hisr own free will for the purpose therein set forth.


Notary Public,Franklin County, State of OhioMy Commission Expires: March 30, 2004

(seal)

Melissa E. Mock
Notary Public, State of Ohio
My Commission Expires March 30, 2004