

FORM PTO-1695 (Rev. 6-93) OMB No. 0851-0011 (exp. 4/04)

**RECORDATION FORM COVER SHEET**  
**PATENTS ONLY**

U.S. Department of Commerce  
Patent and Trademark Office

To the Honorable Commissioner of Patents and Trademarks: Please record the attached original document or copy thereof.

1. Name of conveying party(ies):

<b>David Mai</b>	<u>Date</u> <b>04/12/02</b>
<b>Tony S. Kaushal</b>	<b>02/18/02</b>
<b>Jui-Lung Li</b>	<b>04/04/02</b>

Additional name(s) of conveying party(ies) attached?  Yes  No

3. Nature of conveyance:

Assignment  Merger

Security Agreement  Change of Name

Other \_\_\_\_\_

Execution Date: See Above and the Attached

2. Name and address of receiving party(ies):

Name: Applied Materials, Inc.

Internal Address: P.O. Box 450-A

Street Address: \_\_\_\_\_

City: Santa Clara State: CA Zip: 95052

Additional name(s) & address(es) attached?  Yes  No

4. Application number(s) or registration number(s):

If this document is being filed together with a new application, the execution date of the application is: \_\_\_\_\_ (Date of Filing)

A. Patent Application No.(s) **10/025,144 FILED ON 12/18/2001**

B. Patent No.(s)

Additional numbers attached?  Yes  No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Patent Counsel

Internal Address: APPLIED MATERIALS, INC.

Street Address: P.O. Box 450-A

City: Santa Clara State: CA Zip: 95052

6. Total number of applications and patents involved: 1

7. Total fee (37 C.F.R. 3.41)----- \$ 40.00

Enclosed

Authorized to be charged to deposit account

50-1074/AMAT/5803/CMP/CMP/RKK

8. Deposit account number:

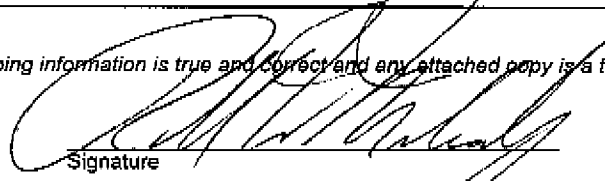
50-1074/AMAT/5803/CMP/CMP/RKK

**DO NOT USE THIS SPACE**

9. Statement and signature.

*To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.*

Robert W. Mulcahy  
Name of Person Signing

  
Signature

4/15/02  
Date

Total number of pages including cover sheet, attachments and document: 10

Mail documents to be recorded with required cover sheet information to:  
Commissioner of Patents & Trademarks, Box Assignments  
Washington, D.C. 20231

Case #AMAT/5803/CMP/CMP/RKK

**ASSIGNMENT FOR APPLICATION FOR PATENT**

WHEREAS:

Names and Addresses  
of Inventors:

1)	<b>YONGSIK MOON</b> 135 West 36th Avenue San Mateo, California 94403	2)	<b>DAVID MAI</b> 3763 Rigor Drive San Jose, California 95148
3)	<b>KAPILA WIJEKON</b> 438 East Charleston Road Palo Alto, California 94306	4)	<b>RAJEEV BAJAJ</b> 43651 Skye Road Fremont, California 94539
5)	<b>RAHUL SURANA</b> 47112 Warm Springs Blvd., Apt. No. 139 Fremont, California 94539	6)	<b>YONGQI HU</b> 444 Saratoga Avenue Santa Clara, California 95050
7)	<b>TONY S. KAUSHAL</b> 10416 Prune Tree Lane Cupertino, California 95014	8)	<b>SHIJIAN LI</b> 1202 Donington Drive San Jose, California 95129
9)	<b>JUI-LUNG LI</b> 944 Yarmouth Way San Jose, California 95120	10)	<b>SHI-PING WANG</b> 42749 Baron Street Fremont, California 94539
11)	<b>GARY LAM</b> 3707 Poinciana Drive, Apt. No. 12 Santa Clara, California 95051	12)	<b>FRED C. REDEKER</b> 1801 Sioux Drive Fremont, California 94539

(hereinafter referred to as Assignors), have invented a certain invention entitled:

**METHOD AND APPARATUS FOR POLISHING METAL AND DIELECTRIC SUBSTRATES**for which application for Letters Patent in the United States was filed on 12/18/2001 ,  
under Serial No. 10/025,144 , executed on even date herewith; and

WHEREAS, Applied Materials, Inc., a corporation of the State of Delaware, having a place of business at 3050 Bowers Avenue, Santa Clara, California 95054 (hereinafter referred to as Assignee), is desirous of acquiring the entire right, title and interest in and to said application (hereinafter referred to as Application), and the invention disclosed therein (hereinafter referred to as Invention), and in and to all embodiments of the Invention, heretofore conceived, made or discovered by said Assignors, and in and to any and all patents, inventor's certificates and other forms of protection (hereinafter referred to as Patents) thereon granted in any and all countries and groups of countries.

NOW, THEREFORE, in consideration of good and valuable consideration acknowledged by said Assignors to have been received in full from said Assignee:

1. Said Assignors hereby sell, assign, transfer and convey to Assignee the full and exclusive right, title and interest (a) in and to said Application and said Invention; (b) in and to all rights to apply for patents on said Invention in any and all countries pursuant to the International Convention for the Protection of Industrial Property or otherwise; (c) in and to any and all Applications

filed and any and all Patents granted on said Invention in any and all countries and groups of countries, including each and every Application filed and each and every Patent granted on any application which is a division, substitution, or continuation of said Application; and (d) in and to each and every reissue or extension of any of said Patents.

2. Said Assignors hereby covenant and agree to cooperate with said Assignee to enable said Assignee to enjoy to the fullest extent the right, title and interest to said Invention herein conveyed in any and all countries and groups of countries. Such cooperation by said Assignors shall include prompt production of pertinent facts and documents, giving testimony, execution of petitions, oaths, specifications, declarations or other papers, and other assistance all to the extent deemed necessary or desirable by said Assignee (a) for perfecting in said Assignee the right, title and interest herein conveyed; (b) for prosecuting any of said applications; (c) for filing and prosecuting substitute, divisional, continuing or additional applications covering said Invention; (d) for filing and prosecuting applications for reissuance of any of said Patents; (e) for interference or other priority proceedings involving said Invention; and (f) for legal proceedings involving said Invention and any application therefor and any Patents granted thereon, including without limitation opposition proceedings, cancellation proceedings, priority contests, public use proceedings, infringement actions and court actions; provided, however, that the expense incurred by said Assignors in providing such cooperation shall be paid for by said Assignee.

3. The term and covenants of this agreement shall inure to the benefit of said Assignee, its successors, assigns and other legal representatives, and shall be binding upon said Assignors, their respective heirs, legal representatives and assigns.

4. Said Assignors hereby warrant and represent that they have not entered and will not enter into any assignment, contract, or understanding in conflict herewith.

IN WITNESS WHEREOF, the said Assignors have executed and delivered this instrument to said Assignee on the dates indicated below.

1) \_\_\_\_\_, 2002 \_\_\_\_\_  
YONGSIK MOON

2) 4/12 \_\_\_\_\_, 2002 \_\_\_\_\_  
DAVID MAI

3) \_\_\_\_\_, 2002 \_\_\_\_\_  
KAPILA WIJEKON

4) \_\_\_\_\_, 2002 \_\_\_\_\_  
RAJEEV BAJAJ

5) \_\_\_\_\_, 2002 \_\_\_\_\_  
RAHUL SURANA

6) \_\_\_\_\_, 2002 \_\_\_\_\_  
YONGQI HU

7) \_\_\_\_\_, 2002 \_\_\_\_\_  
TONY S. KAUSHAL

- 8) \_\_\_\_\_, 2002 \_\_\_\_\_  
SHIJIAN LI
- 9) \_\_\_\_\_, 2002 \_\_\_\_\_  
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- 10) \_\_\_\_\_, 2002 \_\_\_\_\_  
SHI-PING WANG
- 11) \_\_\_\_\_, 2002 \_\_\_\_\_  
GARY LAM
- 12) \_\_\_\_\_, 2002 \_\_\_\_\_  
FRED C. REDEKER

Case #AMAT/5803/CMP/CMP/RKK

**ASSIGNMENT FOR APPLICATION FOR PATENT**

WHEREAS:

Names and Addresses  
of Inventors:

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9)	<b>JUI-LUNG LI</b> 944 Yarmouth Way San Jose, California 95120	10)	<b>SHI-PING WANG</b> 42749 Baron Street Fremont, California 94539
11)	<b>GARY LAM</b> 3707 Poinciana Drive, Apt. No. 12 Santa Clara, California 95051	12)	<b>FRED C. REDEKER</b> 1801 Sioux Drive Fremont, California 94539

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filed and any and all Patents granted on said Invention in any and all countries and groups of countries, including each and every Application filed and each and every Patent granted on any application which is a division, substitution, or continuation of said Application; and (d) in and to each and every reissue or extension of any of said Patents.

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1) \_\_\_\_\_, 2002 \_\_\_\_\_  
YONGSIK MOON

2) \_\_\_\_\_, 2002 \_\_\_\_\_  
DAVID MAI

3) \_\_\_\_\_, 2002 \_\_\_\_\_  
KAPILA WIJEKON

4) \_\_\_\_\_, 2002 \_\_\_\_\_  
RAJEEV BAJAJ

5) \_\_\_\_\_, 2002 \_\_\_\_\_  
RAHUL SURANA

6) \_\_\_\_\_, 2002 \_\_\_\_\_  
YONGQI HU

7) Feb 18, 2002   
TONY S. KAUSHAL

- 8) \_\_\_\_\_, 2002 \_\_\_\_\_  
SHIJIAN LI
- 9) \_\_\_\_\_, 2002 \_\_\_\_\_  
JUI-LUNG LI
- 10) \_\_\_\_\_, 2002 \_\_\_\_\_  
SHI-PING WANG
- 11) \_\_\_\_\_, 2002 \_\_\_\_\_  
GARY LAM
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RAJEEV BAJAJ

5) \_\_\_\_\_, 2002 \_\_\_\_\_  
RAHUL SURANA

6) \_\_\_\_\_, 2002 \_\_\_\_\_  
YONGQI HU

7) \_\_\_\_\_, 2002 \_\_\_\_\_  
TONY S. KAUSHAL

8) \_\_\_\_\_, 2002 \_\_\_\_\_  
SHIJIAN LI

9) April 4, 2002 \_\_\_\_\_  
*Jui-Lung Li*  
JUI-LUNG LI

10) \_\_\_\_\_, 2002 \_\_\_\_\_  
SHI-PING WANG

11) \_\_\_\_\_, 2002 \_\_\_\_\_  
GARY LAM

12) \_\_\_\_\_, 2002 \_\_\_\_\_  
FRED C. REDEKER