FORM PTO-1619AO 1 Expires 06/30/99 OMB 0651-0027

02-27-2002



101995514

**U.S. Department of Commerce** Patent and Trademark Office **PATENT** 

## RECORDATION FORM COVER SHEET **PATENTS ONLY**

TO: The Commissioner of Patents and Trademark	s: Please record the attached o	riginal document(s) o	or copy(ies).		
Submission Type	Conveyance Type	-			
<b>✓</b> New	Assignment	Security Agreement			
Resubmission (Non-Recordation) Document ID#	License	Change of Name			
Correction of PTO Error Reel # Frame #		Other Confirmatory  Government	License		
Corrective Document Reel # Frame #		U.S. Government Agencies	s) cret File		
Conveying Party(ies)	Mark if additional names	of conveying parties atta	ached Execution Date Month Day Year		
Name (line 1) California, University of			10/5/01		
Name (line 2) Second Party Name (line 1)			Execution Date Month Day Year		
Name (line 2)					
Receiving Party	Mark if add	litional names of receivi	ng parties attached		
Name (line 1) U.S. Department of Energy			If document to be recorded is an assignment and the receiving party is not		
Name (line 2)			domiciled in the United States, an appointment of a domestic		
Address (line 1) P. O. Box 808 (L-376)			representative is attached. (Designation must be a separate document from		
Address (line 2)	4 1000 7500		Assignment.)		
Address (line 3) Livermore	CA State/Country	94550			
Domestic Representative Name and Address  Enter for the first Receiving Party only.					
Name	- WARDS				
Address (line 1)					
Address (line 2)					
Address (line 3)					
Address (line 4)					
FOR OFFICE USE ONLY					

Public burden reporting for this collection of information is estimated to average approximately 30 minutes per Cover Sheet to be recorded, including time for reviewing the document and gathering the data needed to complete the Cover Sheet. Send comments regarding this burden estimate to the U.S. Patent and Trademark Office, Chief Information Officer. Washington,
D.C. 20231 and to the Office of Information and Regulatory Affairs, Office of Management and Budget, Paperwork Reduction Project (0651-0027), Washington, D.C. 20503. See OMB

Mail documents to be recorded with required cover sheet(s) information to:

Commissioner of Patents and Trademarks, Box Assignments, Washington, D.C. 20231

Lear

REEL: 012598 FRAME: 0503

FORM	PTO-1619B			
Expires 06/30/99				
OMB 0651-0027				

## Page 2

U.S. Department of Commerce Patent and Trademark Office

OMB 0651-0027		<del>g</del>		PATENT
Correspondent l	Name and Address	Area Code and Telepho	ne Number	(925) 422-4367
Name Willia	m C. Daubenspeck			
ddress (line 1) U.S. D	epartment of Energy			
ddress (line 2) Lawre	ence Livermore National Labo	ratory		
ddress (line 3) P. O. 1	Box 808 (MS L-376)			
ddress (line 4) Liveri	nore, CA 94550			
	r the total number of pay uding any attachments.	ges of the attached convey	ance document	# 1
Application Num	ber(s) or Patent Nur	nber(s)	Mark if additi	ional numbers attached
Patent A  this document is being  as  atent Cooperat  Enter PC  only if a L	Application Number(s)  Giled together with a new Pate  ion Treaty (PCT)  Tapplication number  J.S. Application Number een assigned.		Patent Nu 6 CT CT	
ee Amount  Method of Pa  Deposit Acco	yment: Enclo	for Properties Listed (37 Cosed Deposit Acco		0.00
(Enter for payme		ditional fees can be charged to th Deposit Account Number:	#	
		Authorization to charge additi	ional fees: Yes	No 🗸
tatement and S	ignature			
attached co indicated he	py is a true copy of the cerein.	elief, the foregoing informatoriginal document. Charge	s to deposit acco	ount are authorized, as
	t L. Rego	Janet X. Key	<u> </u>	1/22/2002 Date
maine of P	erson Signing	/ Signature		Valt

## **CONFIRMATORY LICENSE**

Title: Cooled Window for X-Rays or Charged

**Particles** 

Inventor(s): Clinton M. Logan Contract Number: W-7405-ENG-48

Contractor: Regents of the University of

California

**DOE Docket No.: S-80,328 (RL-12551)** 

Docket No.: IL-9410

U.S. Patent Application S.N.: 08/300,772

Filing Date: September 6, 1994 U.S. Patent No.: 5,509,046 Issue Date: April 16, 1996

Waiver No.: W(C) 92-002-139

Foreign patent applications filed or intended to be filed at contractor's expense in (countries):

The Contractor certifies that a true copy of the provisions which govern patent rights in "subject inventions" under the above-identified contract is herewith submitted or has been submitted to the U.S. Department of Energy by certification dated <u>February 29, 1996</u>.

WHEREAS, the above-identified invention is a subject invention under the above-identified contract;

WHEREAS, the above-identified Class Waiver of Government rights in certain identified inventions and the above-identified contract provides the Contractor with the right to elect to retain title in certain subject inventions and the Contractor has elected to retain title in the above-identified subject invention, EXCEPT FOR a paid-up license under the above-identified contract and the above-identified waiver.

ACCORDINGLY, the Contractor hereby confirms that under the provisions of the above-identified contract governing patent rights, it has granted to the Government a nonexclusive, nontransferable, irrevocable, paid-up license to practice or have practiced for or on behalf of the United States the subject invention throughout the world. This license applies to the above-identified invention, the above-identified patent application(s), and any and all divisions or continuations thereof and any resulting patent or reissue patent which may be granted thereon.

The Government reserves for itself, and is hereby granted by the Contractor, the irrevocable power to inspect and make copies of the file wrapper(s) of the above-identified U.S. patent application and of any related or continuation patent application(s), whether domestic or foreign, for the above-identified invention.

It is understood and agreed that this instrument does not preclude the Government from asserting rights under the provisions of the above-identified contract or any other agreement between the Government and the Contractor, or any other rights of the Government with respect to the above-identified invention.

defitified invention.	
Signed on behalf of: THE REGENTS OF THE UNIVERSE (Contract	
Witness: Affin Signature By:	(Contractor Official's Signature) (Date)
Wendy R. Pebler, Paralegal (Name and Title)	Alan H. Thompson, Deputy Laboratory (Print/Type Contractor Official's Name and Title)
	Counsel for Intellectual Property
	Lawrence Livermore National Laboratory (Print/Type Contractor's Address)
	P. O. Box 808, L-701
	Livermore, CA 94551-0808 PATENT

RECORDED: 02/08/2002 REEL: 012598 FRAME: 0505