FORM PTO-1595
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02/14/02 RE

02-27-2002

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101995298

U.S. DEPARTMENT OF COMMERCE
Patent and Trademark Office
Docket No. ROC920010318US1

To the Honorable Commissioner of Patents and Tra_____ _____ ._ documents or copy thereof.

1. Name of conveying party(ies):

    (1) Arnold, Jeremy Alan
    (2) Barsness, Eric Lawrence
    (3) Dettinger, Richard Dean
    (4) Santosuosso, John Matthew

Additional names of conveying party(ies) attached?
☐ yes ☒ no

3. Nature of Conveyance

☒ Assignment   ☐ Merger
☐ Security Agreement   ☐ Change of Name
☐ Other _____

Execution Date: (1) 02/12/02; (2) 02/13/02;
(3) 02/07/02; (4) 02/12/02

2. Name and address of receiving
    party(ies):

Name: International Business Machines Corporation

Internal Address:
_____
_____
_____

Street Address:___ New Orchard Road _____
City _____ Armonk _____
State _____ New York _____ Zip __ 10504 __

Additional name(s) & address(es) attached? ☐ yes ☒ no

4. Application number(s) or patent number(s):

    TITLE: DATABASE OPTIMIZATION APPARATUS AND METHOD

If this document is being filed together with a new application, the execution date of the application is __ 02/13/02 __.

A. Patent Application No(s).

    ROC920010318US1

B. Patent No(s).

    10/075651

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence
concerning document should be mailed:

    Derek P. Martin
    Martin & Associates, L.L.C.
    P.O. Box 548
    Carthage, MO 64836-0548
    (417) 358-4700

6. Total number of applications and patents involved:___ 1 ___

7. Total fee (37 CVF 3.41): $40.00

☐ Enclosed

☒ Authorized to be charged to deposit account
    ☐ Total fee due
    ☒ Any deficiencies in the enclosed fees

8. Deposit account number:

    09-0465 for IBM Corporation

(Attach duplicate copy of this page if paying by deposit account)

02/26/2002 TDIAZ1   00000223 090465   10075651
01 FC:581   40.00 CH

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9. Statement and signature

    To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original
    document.

__Derek P. Martin__
Name of Person Signing

_____
Signature

__02/14/02__
Date

Total number of pages comprising cover sheet: ___ 1 ___

PATENT
REEL: 012599 FRAME: 0518

ASSIGNMENT

Whereas, we

(1)	Jeremy Alan Arnold	City of Rochester	and State of Minnesota
(2)	Eric Lawrence Barsness	City of Pine Island	and State of Minnesota
(3)	Richard Dean Dettinger	City of Rochester	and State of Minnesota
(4)	John Matthew Santosuosso	City of Rochester	and State of Minnesota

have invented certain improvements in:

DATABASE OPTIMIZATION APPARATUS AND METHOD

and executed, respectively, a United States patent application therefor on

(1)   February 12,   2002   (2)   February 13,   2002
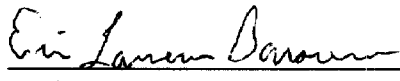(3)   February 7,   2002   (4)   February 12,   2002

And whereas, INTERNATIONAL BUSINESS MACHINES CORPORATION, a corporation of New York, having a place of business at Armonk, New York 10504, (hereinafter called IBM), desires to acquire the entire right, title and interest in the said application and invention, and to any United States and foreign patents to be obtained therefor;

Now therefore, for a valuable consideration, receipt whereof is hereby acknowledged, I, the above named, hereby sell, assign, and transfer to IBM, its successors and assigns, the entire right, title and interest in the said application and invention therein disclosed for the United States application, and we request the Commissioner of Patents to issue any Letters Patent granted upon the inventions set forth in said applications to IBM, its successors and assigns; and we hereby agree that IBM may apply for foreign Letters Patent on said invention and we will execute all papers necessary in connection with the United States and foreign applications when called upon to do so by IBM.

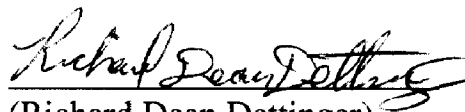(1) at Rochester, Minnesota
on 2/12   2002

Jeremy Alan Arnold Signature

(Jeremy Alan Arnold)   Inventor
First Name   Middle   Last Name

and (2) at Rochester, Minnesota
on 2 - 13   2002

(signature) Signature

(Eric Lawrence Barsness)   Inventor
First Name   Middle   Last Name
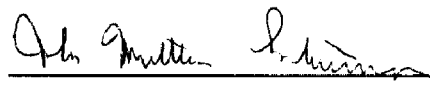
and (3) at Rochester, Minnesota
on 2 - 7   2002

(signature) Signature

(Richard Dean Dettinger)   Inventor
First Name   Middle   Last Name

and (4) at Rochester, Minnesota
on 2 - 12   2002

(signature) Signature

(John Matthew Santosuosso)   Inventor
First Name   Middle   Last Name

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