

02-27-2002



101994862

To the Honorable Commissioner of Patents and Trademarks

Attached original documents or copy thereof.

1. Name of conveying party(ies):  
Noel Mayo, Jason O. Adams, Carl W. Gomes, Elliot G. Jacoby,  
Roland L. Ledyard, Michael W. Pessina and Joel S. Spira.

Additional name(s) of conveying party(ies) attached ☐ Yes ☒ No

3. Nature of Conveyance:

- ☒ Assignment ☐ Merger  
☐ Security Agreement ☐ Change of Name  
☐ Other: \_\_\_\_\_

Execution Date: Jan. 18, 2002, Jan. 22, 2002, Jan. 21, 2002,  
Jan. 18, 2002, Jan. 22, 2002, Jan. 21, 2002, and Jan. 24, 2002,  
respectively.

2. Name and address of receiving party(ies):

Lutron Electronics Co., Inc.  
7200 Suter Road  
Coopersburg, Pennsylvania 18036-1299

Additional name(s) & addresses attached? ☐ Yes ☒ No

4. Application number Serial No 29/151,499 filed on November 13, 2001

If this document is being filed together with a new application, the execution date of the application is

A. Patent Application No.(s)

B. Patent No.(s)

Additional numbers attached ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Gregory J. Lavorgna, Esq.  
Drinker Biddle & Reath LLP  
One Logan Square, 18<sup>th</sup> and Cherry Sts.  
Philadelphia, PA 19103-6996

Attorney Docket No. 6232-227 (158123)

6. Total number of applications and patents involved: 1

7. Total fee (37 CFR 3.41) \$40.00

- ☒ Enclosed  
☐ authorized to be charged to deposit account

8. Deposit Account Number: 50-0573

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9. To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

GREGORY J. LAVORGNA

Name of Person Signing

[Signature]  
Signature

January 29, 2002

Date

Total number of pages including cover sheet, attachments, and document: 6

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OMB No. 0651-0011 (exp. 4/94)

02/26/2002 6TON11 00000231 29151499

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PATENT  
REEL: 012602 FRAME: 0400

## **ASSIGNMENT**

WHEREAS, We, Noel Mayo, Jason O. Adams, Carl W. Gomes, Elliot G. Jacoby, Roland L. Ledyard, Michael W. Pessina and Joel S. Spira, respectively citizens of the United States of America, having respective post office addresses of 2000 South College Avenue, Philadelphia, PA 19121, 4287 Shimerville Road, Emmaus, PA 18049, 42 Lambert Johnson Drive, Ocean, NJ 07712, 513 Curtis Road, Glenside, PA 19038, 303 Kevin Drive, Bethlehem, PA 18017, 1392 Devon Circle, Allentown, PA 18104 and 1506 Pleasant View Road, Coopersburg, PA 18036, hereinafter generally referred to as "ASSIGNORS", are the joint inventors of a certain new and useful

### **DIMMER SWITCH**

for which we have on even date executed an application for Letters Patent of the United States that was filed on November 13, 2001 as Application Serial No. 29/151,499, and

WHEREAS, Lutron Electronics Co., Inc., a Pennsylvania corporation having a place of business at 7200 Suter Road, Coopersburg, Pennsylvania 18036-1299, hereinafter generally referred to as "ASSIGNEE", is desirous of acquiring said invention and said Letters Patent, and

WHEREAS, now and at the time the invention was made, said invention was subject to an obligation by ASSIGNORS to assign their entire right, title and interest therein to ASSIGNEE.

NOW, THEREFORE, in consideration of the sum of One Dollar and other good and valuable executed consideration, the full receipt and sufficiency of all of which are hereby acknowledged, and intending to be legally bound hereby, I, the undersigned ASSIGNORS, hereby agree to sell, assign, transfer and convey and by these presents do sell, assign, transfer and convey unto the above-named ASSIGNEE, the whole and entire right, title and interest


in and to said invention as described in the above Letters Patent, for the territory of the United States and its possessions and territories and all foreign countries, and

in and to the above Letters Patent and any and all foreign Letters Patent which may be granted on said invention including divisions, reissues and continuations;

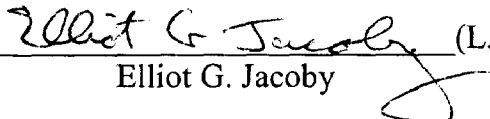
said invention and Letters Patent to be held and enjoyed by the above-named ASSIGNEE, for ASSIGNEE's own use and behoof, and for ASSIGNEE's legal representatives and assigns to the full end of the term or terms for which said Letters Patent may be granted, as fully and entirely as the same would have been held by the undersigned ASSIGNORS had this assignment and sale not been made; and for the aforesaid consideration ASSIGNORS hereby covenants, agrees and

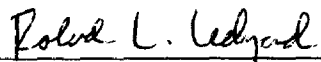
undertakes to execute, whenever requested by the above-named ASSIGNEE, all patent applications, assignments, lawful oaths and any other papers which ASSIGNEE may deem necessary or desirable for securing to ASSIGNEE or for maintaining for ASSIGNEE all the Letters Patent hereby assigned or agreed to be assigned; all without further compensation to the undersigned ASSIGNORS.


  
\_\_\_\_\_  
Noel Mayo (L.S.)

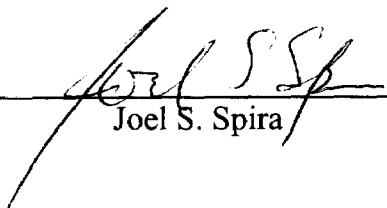
  
\_\_\_\_\_  
Jason O. Adams (L.S.)

  
\_\_\_\_\_  
Carl W. Gomes (L.S.)

  
\_\_\_\_\_  
Elliot G. Jacoby (L.S.)

  
\_\_\_\_\_  
Roland L. Ledyard (L.S.)

  
\_\_\_\_\_  
Michael W. Pessina (L.S.)

  
\_\_\_\_\_  
Joel S. Spira (L.S.)

Commonwealth of Pennsylvania

SS

County of Lehigh :

18<sup>th</sup> day of January, 2002 Before me, a notary public, in and for the State and County aforesaid, on this personally appeared **Noel Mayo** who being to me personally known, and who having first executed the foregoing instrument in my presence and having been by me first duly sworn, did acknowledge the foregoing instrument as his free deed and act, signed, sealed and delivered by him for the purpose therein stated and intending to be legally bound thereby and intending that said instrument be recorded.

Rita M. Shovlin

Notary Public

Notarial Seal  
Rita M. Shovlin, Notary Public  
Coopersburg Boro, Lehigh County  
My Commission Expires Dec. 6, 2004

Commonwealth of Pennsylvania

SS

County of Lehigh :

22<sup>nd</sup> day of January, 2002 Before me, a notary public, in and for the State and County aforesaid, on this personally appeared **Jason O. Adams** who being to me personally known, and who having first executed the foregoing instrument in my presence and having been by me first duly sworn, did acknowledge the foregoing instrument as his free deed and act, signed, sealed and delivered by him for the purpose therein stated and intending to be legally bound thereby and intending that said instrument be recorded.

Rita M. Shovlin

Notary Public

Notarial Seal  
Rita M. Shovlin, Notary Public  
Coopersburg Boro, Lehigh County  
My Commission Expires Dec. 6, 2004

Commonwealth of Pennsylvania

SS

County of Lehigh :

21<sup>st</sup> day of January, 2002 Before me, a notary public, in and for the State and County aforesaid, on this personally appeared **Carl W. Gomes** who being to me personally known, and who having first executed the foregoing instrument in my presence and having been by me first duly sworn, did acknowledge the foregoing instrument as his free deed and act, signed, sealed and delivered by him for the purpose therein stated and intending to be legally bound thereby and intending that said instrument be recorded.

Rita M. Shovlin

Notary Public

Notarial Seal  
Rita M. Shovlin, Notary Public  
Coopersburg Boro, Lehigh County  
My Commission Expires Dec. 6, 2004

Commonwealth of Pennsylvania

SS

County of Lehigh :

18<sup>th</sup> day of January, 2002 personally appeared **Elliot G. Jacoby** who being to me personally known, and who having first executed the foregoing instrument in my presence and having been by me first duly sworn, did acknowledge the foregoing instrument as his free deed and act, signed, sealed and delivered by him for the purpose therein stated and intending to be legally bound thereby and intending that said instrument be recorded.

Rita M. Shovlin

Notary Public

Notarial Seal  
Rita M. Shovlin, Notary Public  
Coopersburg Boro, Lehigh County  
My Commission Expires Dec. 6, 2004

Commonwealth of Pennsylvania

SS

County of Lehigh :

22<sup>nd</sup> day of January, 2002 personally appeared **Roland L. Ledyard** who being to me personally known, and who having first executed the foregoing instrument in my presence and having been by me first duly sworn, did acknowledge the foregoing instrument as his free deed and act, signed, sealed and delivered by him for the purpose therein stated and intending to be legally bound thereby and intending that said instrument be recorded.

Rita M. Shovlin

Notary Public

Notarial Seal  
Rita M. Shovlin, Notary Public  
Coopersburg Boro, Lehigh County  
My Commission Expires Dec. 6, 2004

Commonwealth of Pennsylvania

SS

County of Lehigh :

21<sup>st</sup> day of January, 2002 personally appeared **Michael W. Pessina** who being to me personally known, and who having first executed the foregoing instrument in my presence and having been by me first duly sworn, did acknowledge the foregoing instrument as his free deed and act, signed, sealed and delivered by him for the purpose therein stated and intending to be legally bound thereby and intending that said instrument be recorded.

Rita M. Shovlin

Notary Public

Notarial Seal  
Rita M. Shovlin, Notary Public  
Coopersburg Boro, Lehigh County  
My Commission Expires Dec. 6, 2004

Commonwealth of Pennsylvania

SS

County of Lehigh:

Before me, a notary public, in and for the State and County aforesaid, on this 24<sup>th</sup> day of January, 2002 personally appeared **Joel S. Spira** who being to me personally known, and who having first executed the foregoing instrument in my presence and having been by me first duly sworn, did acknowledge the foregoing instrument as his free deed and act, signed, sealed and delivered by him for the purpose therein stated and intending to be legally bound thereby and intending that said instrument be recorded.

Susan V. Javier

Notarial Seal  
Susan V. Javier, Notary Public  
Coopersburg Boro, Lehigh County  
My Commission Expires Dec. 29, 2003