

02-28-2002



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PATENT
REEL: 012609 FRAME: 0078

Correspondent Name and Address

Area Code and Telephone Number (309) 675-5753

Name J. W. Keen

Address (line 1) Caterpillar Inc.

Address (line 2) Intellectual Property Department

Address (line 3) 100 N. E. Adams Street

Address (line 4) Peoria, IL 61629-6490

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2

Application Number(s) or Patent Number(s)

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Enter either the Patent Application Number or the Patent Number (DO NOT ENTER BOTH numbers for the same property).

Patent Application Number(s)

Patent Number(s)

09/943,615

If this document is being filed together with a new Patent Application, enter the date the patent application was signed by the first named executing inventor.

Month Day Year

Patent Cooperation Treaty (PCT)

Enter PCT application number
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has not been assigned.

PCT

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Number of Properties

Enter the total number of properties involved.

#

1

Fee Amount

Fee Amount for Properties Listed (37 CFR 3.41): \$ 40.00

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Enclosed ☐

Deposit Account ☒

(Enter for payment by deposit account or if additional fees can be charged to the account.)

Deposit Account Number:

03-1129

Docket No. 00-665

Authorization to charge additional fees:

Yes

☒

No

☐

Statement and Signature

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document. Charges to deposit account are authorized, as indicated herein.

J. W. Keen

Name of Person Signing

Signature

02/04/2002

Date

ASSIGNMENT

WHEREAS, Graham P. Batchelor and William M. Crozier, residing respectively at Peterborough, Great Britain and Peterborough, Great Britain, hereinafter referred to as ASSIGNORS, have invented a new and useful

METHOD AND APPARATUS FOR AUTOMATICALLY SETTING ROCKER ARM CLEARANCES IN AN INTERNAL COMBUSTION ENGINE

for which ASSIGNORS have made application for Letters Patent in the United States of America, such invention being described and identified by said application identified as Application No. 09/943,615, filed August 30, 2001; and

WHEREAS, Perkins Engines Company Limited, a corporation organized and existing under and by virtue of the laws of the United Kingdom, and having a place of business at Peterborough, United Kingdom, hereinafter referred to as ASSIGNEE, is desirous of acquiring the entire right, title and interest in and to said invention and any Letters Patent granted thereon by the United States of America and any foreign country;

NOW, THEREFORE, in consideration of the sum of One Dollar (\$1.00) and for other good and valuable consideration received by ASSIGNORS from ASSIGNEE, the receipt of which is hereby acknowledged by ASSIGNORS;

1. ASSIGNORS hereby sell, assign, transfer and convey unto ASSIGNEE the entire right, title and interest in and to said invention, any application therefor, and any Letters Patent granted thereon by the United States of America and any foreign country, including any continuing application, reissue or extension thereof, together with the right to file applications and obtain patents for said invention in any foreign country, and covenant that ASSIGNORS have the full right to do so.

2. At the request and expense of ASSIGNEE, ASSIGNORS agree to communicate to ASSIGNEE any facts known respecting said invention, to execute all papers necessary to file any application on said invention necessary or desirable to aid ASSIGNEE in obtaining and enforcing proper protection for said invention in the United States of America and any foreign country.

3. ASSIGNORS hereby authorize and request the COMMISSIONER OF PATENTS AND TRADEMARKS to issue the United States Letters Patent on said invention, when granted, to Perkins Engines Company Limited

4. This assignment shall inure to the benefit of ASSIGNEE, its successors, assigns and other legal representatives, and shall be binding upon ASSIGNORS, ASSIGNORS' heirs and legal representatives.

IN TESTIMONY WHEREOF, ASSIGNORS have executed this instrument.



GRAHAM P. BATCHELOR

4-02-02
Date

IN WITNESS OF the execution hereof by GRAHAM P. BATCHELOR we have hereunto set our hands.

W.M. Crozier
Witness (1) _____ Date

Address: 200 CASTLE RD
PETERBOROUGH
PE1 4BE.

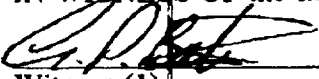
PEL 4/2/02
Witness (2) _____ Date

Address: 12 SWALLOW WALK
DEEPING ST JAMES
PETERBOROUGH
PE6 8RZ

W.M. Crozier
WILLIAM M. CROZIER

4-2-02.
Date

IN WITNESS OF the execution hereof by WILLIAM M. CROZIER we have hereunto set our hands.


Witness (1) _____ 4-02-02
Date

Address: 15 BURNT HOUSE RD
TURVES, PETERBOROUGH
CAMBS PE7 2DP

PEL 4/2/02
Witness (2) _____ Date

Address: 12 SWALLOW WALK
DEEPING ST JAMES
PETERBOROUGH
PE6 8RZ