

03-01-2002

HEET

U.S. DEPARTMENT OF COMMERCE
Patent and Trademark Office



Tab settings

101997516

To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):
**Dr. Axel HAUCK, Martin MAYER, Nikolaus PFEIFFER,
Manfred SCHNEIDER, Helmut SIEGERITZ**

2/2/02

Additional names(s) of conveying party(ies) Yes No

2. Name and address of receiving party(ies):

Name: **Heidelberger Druckmaschinen AG**

Address: **Kurfuersten-Anlage 52-60**

3. Nature of conveyance:

- Assignment Merger
- Security Agreement Change of Name
- Other

Execution Date: **November 13, 14, 15, 28, 2001 respectively**

City: **Heidelberg** State/Prov.: _____
Country: **Germany** ZIP: **D-69115**

Additional name(s) & address(es) Yes No

4. Application number(s) or patent numbers(s):

If this document is being filed together with a new application, the execution date of the application is: _____

| Patent Application No. | Filing date | B. Patent No.(s) |
|------------------------|--------------------------|------------------|
| 09/992,520 | November 14, 2001 | |

Additional numbers Yes No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: **William C. Gehris**

Registration No. **38,156**

Address: **Davidson, Davidson & Kappel, LLC**

485 Seventh Avenue, 14th Floor

City: **New York** State/Prov.: **NY**

Country: **USA** ZIP: **10018**

6. Total number of applications and patents involved: **1**

7. Total fee (37 CFR 3.41):.....\$ **40.00**

Enclosed - Any excess or insufficiency should be credited or debited to deposit account

Authorized to be charged to deposit account

8. Deposit account number:

50-0552

(Attach duplicate copy of this page if paying by deposit account)

DO NOT USE THIS SPACE

9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

William C. Gehris, Reg. No. 38,156

Name of Person Signing

William C. Gehris

Signature

January 17, 2002

Date

6

Total number of pages including cover sheet, attachments, and

Mail documents to be recorded with required cover sheet information to:
Commissioner of Patents & Trademarks, Box Assignments
Washington, D.C. 20231

PATENT

REEL: 012610 FRAME: 0676

02/25/2002 LUMPELLER 00000146 0999520 40.00 DP 01 FI:561

ASSIGNMENT

WHEREAS, I (We), Dr. Axel HAUCK, residing at Funkerstr. 21a, D-76227 Karlsruhe, Germany; Martin MAYER, residing at Lopodunumstr. 29, D-68526 Ladenburg, Germany; Nikolaus PFEIFFER, residing at 12 Floral Avenue, Dover, NH 03820; Manfred SCHNEIDER, residing at Schronnenaeckerstr. 16, D-74906 Bad Rappenau, Germany and Helmut SIEGERITZ, residing at Kopperpahler Allee 18c, D-24119 Kronshagen, Germany ASSIGNOR(S), have/have invented certain new and useful improvements in **METHOD AND DEVICE FOR DETERMINING COLOR PROFILES**, an application for a Patent of the United States for which:

I (we) am (are) about to execute;
 was executed on _____ (date(s));
 is identified by Davidson, Davidson & Kappel, LLC, Docket No. 600.1187;
 was filed on _____ Serial No. _____
 I (we) hereby authorize and request our attorney, Davidson, Davidson & Kappel, LLC of 485 Seventh Avenue, 14th Floor, New York, New York 10018 to insert here in parentheses (Application number 09/992,520, filed 11/14/2001) the filing date and application number of said application when known; and

WHEREAS, Heidelberger Druckmaschinen AG, Kurfuersten-Anlage 52-60, D-69115 Heidelberg, ASSIGNEE, is desirous of obtaining the entire right, title and interest in, to and under the said invention and the said application:

NOW, THEREFORE, in consideration of the sum of One Dollar (\$1.00) to me (us) in hand paid, and other good and valuable consideration, the receipt of which is hereby acknowledged, I (we), the said ASSIGNOR(S), have sold, assigned, transferred and set over, and by these presents do hereby sell, assign, transfer and set over, unto the said ASSIGNEE, its successors, legal representatives and assigns, the entire right, title and interest in, to and under the said invention, and the said United States application and all divisions, renewals and continuations thereof, and all Patents of the United States which may be granted thereon and all reissues and extensions thereof; and all applications for industrial property protection, including, without limitation, all applications for patents, utility models, and designs which may hereafter be filed for said invention in any country or countries foreign to the United States, together with the right to file such applications and the right to claim for the same the priority rights derived from said United States application under the Patent Laws of the United States, the International Convention for the Protection of Industrial Property, or any other international agreement or the domestic laws of the country in which any such application is filed, as may be applicable; and all forms of industrial property protection, including, without limitation, patents, utility models, inventors' certificates and designs which may be granted for said invention in any country or countries foreign to the United States and all extensions, renewals and reissues thereof; and

I (WE) HEREBY authorize and request the Commissioner of Patents and Trademarks of the United States, and any Official of any country or countries foreign to the United States, whose duty it is to issue patents or other evidence or forms of industrial property protection on applications as aforesaid, to issue the same to the said ASSIGNEE, its successors, legal representatives and assigns, in accordance with the terms of this instrument; and

I (WE) HEREBY covenant and agree that I (we) have full right to convey the entire interest herein assigned, and that I (we) have not executed, and will not execute, any agreement in conflict herewith; and

I (WE) HEREBY further covenant and agree that I (we) will communicate to the said ASSIGNEE, its successors, legal representatives and assigns, any facts known to me (us) respecting said invention, and testify in any legal proceeding, sign all lawful papers, execute all divisional, continuing, reissue and foreign applications, make all rightful oaths, and generally do everything possible to aid the said ASSIGNEE, its successors, legal representatives and assigns, to obtain and enforce proper protection for said invention in all countries.

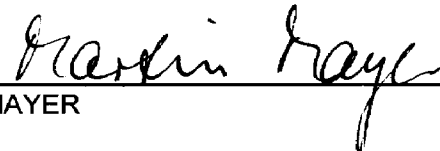
IN TESTIMONY WHEREOF, I (we) hereunto set my (our) hand(s) and seal(s) the day and year set opposite my (our) signature(s).

Dated: 13. 11., 2001



Dr. Axel HAUCK

Dated: 14. 11., 2001

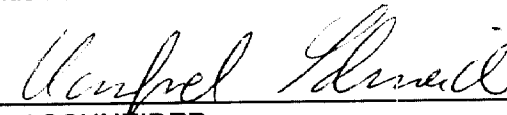


Martin MAYER

Dated: 15. 11., 2001


Nikolaus PFEIFFER

Dated: 15. 11., 2001



Manfred SCHNEIDER

Dated: 28. 11., 2001



Helmut SIEGERITZ

ASSIGNMENT

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I (WE) HEREBY authorize and request the Commissioner of Patents and Trademarks of the United States, and any Official of any country or countries foreign to the United States, whose duty it is to issue patents or other evidence or forms of industrial property protection on applications as aforesaid, to issue the same to the said ASSIGNEE, its successors, legal representatives and assigns, in accordance with the terms of this instrument; and

I (WE) HEREBY covenant and agree that I (we) have full right to convey the entire interest herein assigned, and that I (we) have not executed, and will not execute, any agreement in conflict herewith; and

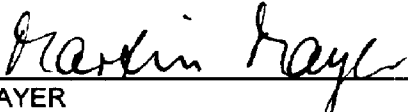
I (WE) HEREBY further covenant and agree that I (we) will communicate to the said ASSIGNEE, its successors, legal representatives and assigns, any facts known to me (us) respecting said invention, and testify in any legal proceeding, sign all lawful papers, execute all divisional, continuing, reissue and foreign applications, make all rightful oaths, and generally do everything possible to aid the said ASSIGNEE, its successors, legal representatives and assigns, to obtain and enforce proper protection for said invention in all countries.

IN TESTIMONY WHEREOF, I (we) hereunto set my (our) hand(s) and seal(s) the day and year set opposite my (our) signature(s).

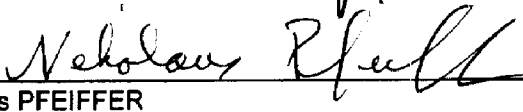
Dated: 13. 11., 2001


Dr. Axel HAUCK

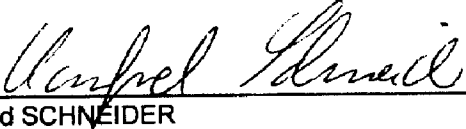
Dated: 14. 11., 2001


Martin MAYER


Dated: 15. 11., 2001


Nikolaus PFEIFFER

Dated: 15. 11., 2001


Manfred SCHNEIDER

Dated: 28. 11., 2001


Helmut SIEGERITZ

CERTIFICATE OF MAILING BY FIRST CLASS MAIL (37 CFR 1.8)

Applicant(s): Axel HAUCK et al.

Docket No.

600.1187

Serial No.

09/992,520

Filing Date

November 14, 2001

Examiner

To Be Assigned

Group Art Unit

To Be Assigned

Invention: **METHOD AND DEVICE FOR DETERMINING COLOR PROFILES**I hereby certify that this **Recordation cover with executed assignment***(Identify type of correspondence)*

is being deposited with the United States Postal Service as first class mail in an envelope addressed to: The

Assistant Commissioner for Patents, Washington, D.C. 20231 on

January 17, 2002*(Date)***Jan Decker***(Typed or Printed Name of Person Mailing Correspondence)**(Signature of Person Mailing Correspondence)*

Note: Each paper must have its own certificate of mailing.