

02/14/02

03-01-2002

FORM PTO-1595

RECORDATION FORM COVER SHEET



PATENTS ONLY

To the Hon. Commissioner of Patents & Trademarks: Please record the attas.

101998583

1. Name of conveying party(ies):

Mark B. FARRELLY; Theodore D. BENNETT

Additional name of conveying party attached?

[] yes

[x] no

2. Name and address of receiving party(ies):

The Regents of the University of California

1111 Franklin Street, Fifth Street

Oakland, California 94607-5200

Additional name(s) and address(es) attached?

[] yes

[x] no

3. Nature of conveyance:

[x] Assignment

[] Merger

[] Security Agreement

[] Change of Name

[] Security Agreement

[] Other

Execution Date: February 11 and 12 and, 2002

4. Application number(s) or patent numbers:

If this document is being filed together with a new application, the execution date of application is:

February 11 and 12, 2002

A. Patent Application No(s).

B. Patent No(s).

Additional numbers attached? [] Yes [x] No

5. Name and address party to whom

correspondence concerning document should be mailed:

Charles Berman, Reg. 29,249

OPPENHEIMER WOLFF & DONNELLY LLP

2029 Century Park East, 38th Fl.

Los Angeles, CA 90067

6. Total number of applications and patents involved:

[1]

10/077530

7. Total fee (37 CFR 3.41): \$40.00

[x] Enclosed

[] Authorized to be charged to Deposit Account

8. Deposit Account Number:

16-2230

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9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Marc E. Brown, Reg. 28,590

Name of Person Signing

Signature

February 14, 2002

Date

Total number of pages including cover sheet, attachments, and document: [4]

Mail documents to be recorded with required cover sheet information to:

Commissioner of Patents & Trademarks

Box Assignments

Washington, D.C. 20231

02/28/2002 DBYRNE 00000157 10077530

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40.00 OP

LA: 313361 v01 02/14/2002

PATENT
REEL: 012614 FRAME: 0251

Client/Matter No. 510015-252

ASSIGNMENT

U.C. Case No. 2001-115-1

For good and valuable consideration, the receipt of which is hereby acknowledged, ASSIGNOR(S),

1. FARRELLY, Mark Brian and BENNETT, Theodore David

hereby sell, assign and transfer to ASSIGNEE, The Regents of the University of California, a California Corporation, having its statewide administrative offices located at 1111 Franklin Street, 5th Floor, Oakland, CA 94607-5200, and the successors, assigns and legal representatives of the ASSIGNEE all of its right, title and interest for the United States and its territorial possessions and in all foreign countries in and to, any and all improvements which are disclosed in the invention entitled:

SYSTEM, METHOD AND APPARATUS FOR FIBER BONDING PROCEDURE FOR
OPTOELECTRONIC PACKAGING

and which is found in

- (a) _____ U.S. provisional application filed herewith and listing the above named persons as inventors
(b) _____ U.S. patent application filed herewith and listing the above names persons as inventors
(c) X U.S. application serial no. 60/268,631, filed on February 14, 2001
(d) _____ U.S. Patent No.: _____, issued _____

and any legal equivalent thereof in a foreign country, including the right to claim priority and, in and to, all Letters Patent to be obtained for said invention by the above application or any continuation, division, continuation-in-part, extension, conversion to 35 USC 111(a) or substitute thereof, and any reissue, reexamination or extension of said Letters Patent and all rights under all International Conventions for the Protection of Industrial Property;

ASSIGNORS(S) hereby covenant that no assignment, sale, agreement or encumbrance has been or will be made or entered into which would conflict with this assignment;

ASSIGNOR(S) further covenant that ASSIGNEE will, upon its request, be provided promptly with all pertinent facts and documents relating to said invention and said Letters Patent and legal equivalent as may be known and accessible to ASSIGNOR and will testify as to the same in any interference, litigation, or proceeding relating thereto and will promptly execute and deliver to ASSIGNEE or its legal representative any and all papers, instruments or affidavits required to apply for, obtain, maintain, issue or enforce said application, said invention and said Letters Patent and said equivalents thereof which may be necessary or desirable to carry out the purposes thereof. An attorney of record is authorized and requested by the execution of this assignment to insert into this assignment the filing date and serial number of said application when officially known.

Received Time Feb. 11. 2:45PM

PATENT
REEL: 012614 FRAME: 0252

Client/Matter No. 510015-273
UC Case No. 2002-005-1

AND the ASSIGNOR(S) requests the Commissioner of Patents and Trademarks to issue said Letters Patent of the United States an any reissue or extension thereof to the ASSIGNEE, The Regents of the University of California.

executed this

Signature of Inventor(s):

12 day of February, 2002


Mark Brian FARRELLY

executed this

Signature of Inventor(s):

____ day of _____, 2002

Theodore David BENNETT

Client/Matter No. 510015-273
UC Case No. 2002-005-1

AND the ASSIGNOR(S) requests the Commissioner of Patents and Trademarks to issue said Letters Patent of the United States an any reissue or extension thereof to the ASSIGNEE, The Regents of the University of California.

executed this

Signature of Inventor(s):

_____ day of _____, 2002

Mark Brian FARRELLY

executed this

Signature of Inventor(s):

11 day of February, 2002

Theodore David BENNETT