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## RECORDATION FORM COVER SHEET

U.S. DEPARTMENT OF COMMERCE
Patent and Trueman Stice

02/12/02

**PATENTS ONLY** 

To the Honorable Assistant Commissioner for Patents: Please record the attached original documents or copy thereof.

- 1. Name(s) of conveying party:
  - (1) Steven R. Hetzler
  - (2) Daniel Felix Smith
- 2. Name and address of receiving party:

International Business Machines Corporation New Orchard Road Armonk, NY 10504

- 3. Nature of conveyance: Assignment Execution Date: (1) February 1, 2002 (2) February 1, 2002
- 4. Application number: Docket No.: ARC920010074US1

If this Assignment is being filed together with a new application, enter the execution date of the application (date Declaration signed): (1) February 1, 2002 (2) February 1, 2002

5. Name and address of party to whom correspondence concerning Assignment should be mailed:

John L. Rogitz Rogitz & Associates 750 B Street, Suite 3120 San Diego, CA 92101

- 6. Total number of applications involved:
- 7. Total fee (37 CFR 3.41) = \$40.00
- 8. Charge fee to Deposit Account No. 09-0441

## DO NOT USE THIS SPACE

9. Statement and signature:

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

John L. Rogitz Attorney Name

Signature of Attorney

FEBRUARY 12, 2002

Date

3/01/2002 GTOH11

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PATENT REEL: 012614 FRAME: 0440

## **ASSIGNMENT**

Whereas, We,				
(1)	STEVEN R. HETZLER City of Los Altos	County of Santa Clas	ra	State of California
(2)	DANIEL FELIX SMITH  City of San Jose	County of Santa Clai	ra	State of <b>California</b>
	ony of water wood	only of Carrie Clar		otate or wassionia
have invented certain improvements in (Title of Invention):				
	SYSTEM AND METHOD FOR AUTHENTICATING BLOCK LEVEL CACHE ACCESS ON NETWORK			
and executed a Declaration and Power of Attorney for a United States patent application therefor on				
(1)	2/1/2002	(2)	1 FEB 2	co2
place of business at Armonk, New York 10504 (hereinafter called IBM), desires to acquire the entire right, title and interest in the said application and invention, and to any United States and foreign patents to be obtained therefor;  Now therefore, for a valuable consideration, receipt whereof is hereby acknowledged, we, the above named, hereby sell, assign, and transfer to IBM, its successors and assigns, the entire right, title and interest in the said application and invention therein disclosed for the United States and foreign countries, and all rights of priority resulting from the filing of said United States application, and we request the Commissioner of Patents to issue any Letters Patent granted upon the inventions set forth in said application to IBM, its successors and assigns; and we hereby agree that IBM may apply for foreign Letters Patent on said invention and we will execute all papers necessary in connection with the United States and foreign applications when called upon to do so by IBM.				
Execu	ution by Inventor			
(1)	Inventor: Steven R. Hetzler		City/State:	San Jose, Ca
	Signature <u>L-h.</u> 1		Date2	-/112002
(2)	Inventor: Daniel Felix Smith	^	City/State:	Son Jo-se CA
	Signature		Date	1 FEB 2002

**RECORDED: 02/12/2002** 

PATENT REEL: 012614 FRAME: 0441