

03-04-2002



Docket No.: CMI-256-CIP

FORM PTO-1595 (Modified)
(Rev. 6-93)
OMB No. 0651-0011 (exp. 4/94)
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SHEET

U.S. DEPARTMENT OF COMMERCE

Patent and Trademark Office

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PATENTS ONLY

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To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):

Joseph A. Chinn Mark A. Moore
Richard E. Phillips, Jr. L. Diane Bruce
Joseph A. Sauter
R. Michael Casanova
C.P. Pathak

2.12.02

2. Name and address of receiving party(ies):

Name: SULZER CARBOMEDICS INC.

Internal Address: _____

Street Address: 1300 East Anderson LaneCity: AustinState: TX ZIP: 78752Additional name(s) & address(es) attached? ☐ Yes ☒ NoAdditional names(s) of conveying party(ies) ☐ Yes ☒ No

3. Nature of conveyance:

☒ Assignment☐ Merger☐ Security Agreement☐ Change of Name☐ Other _____Execution Date: 01/16/02

4. Application number(s) or registration numbers(s):

If this document is being filed together with a new application, the execution date of the application is: _____

A. Patent Application No.(s)

B. Patent No.(s)

09/359,235Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Timothy L. ScottInternal Address: SULZER MEDICA USA INC.Street Address: 3 East Greenway Plaza, Suite 1600City: HoustonState: TX ZIP: 77046

6. Total number of applications and patents involved:

1

7. Total fee (37 CFR 3.41):.....\$ 40.00☐ Enclosed - Any excess or insufficiency should be credited or debited to deposit account☒ Authorized to be charged to deposit account

8. Deposit account number:

09-0473

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9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Timothy L. Scott

Name of Person Signing

Signature

Date

Total number of pages including cover sheet, attachments, and document: 7

03/01/2002 6TON11 00000154 090473 09359235

01 FC:581

40.00 CH

PATENT
REEL: 012615 FRAME: 0231

1-16-02
mmASSIGNMENT

In consideration of my/our technology purchase agreement(s) or employee agreement(s) with SULZER CARBOMEDICS INC., and other valuable consideration, the receipt and sufficiency of which is hereby acknowledged, I/we, Joseph A. Chinn, resident of Austin, Texas, Richard E. Phillips, Jr., resident of San Marcos, Texas, Joseph A. Sauter, resident of Austin, Texas, R. Michael Casanova, resident of Austin, Texas, C.P. Pathak, resident of Austin, Texas, Mark A. Moore, resident of Austin, Texas, and L. Diane Bruce, resident of Round Rock, Texas, hereby severally assign, transfer, and deliver to SULZER CARBOMEDICS INC., a Delaware corporation, having offices at Austin, Texas, U.S.A., its successors and assigns (collectively referred to as "SULZER CARBOMEDICS"), the entire right, title and interest throughout the world to and in my/our invention(s) METHOD FOR PRODUCING ANTIMICROBIAL ANTITHROMBOGENIC MEDICAL DEVICES, (Attorney Docket # CMI-256-CIP), the application for United States patent on the invention signed on 1-16-02 as well as all continuations and divisions of that application, and all patents granted on that and any other application(s) on the invention(s) in the United States and elsewhere, together with the exclusive right to make application for patents and similar protection, reissues, renewals and extensions on the invention(s) in the U.S. and foreign countries, and I/we hereby request the U.S. Commissioner of Patents and Trademarks and the corresponding official(s) having the authority to issue patents in any foreign country(ies) to issue patents on the invention(s) to SULZER CARBOMEDICS. I/We confirm that I/we have not made any agreement in conflict with this Assignment. I/We promise and agree that I/we will promptly sign and deliver such other documents, provide such evidence and other information within my/our knowledge or belief, and do all other relevant things that SULZER CARBOMEDICS may reasonably deem necessary or desirable and request of me/us in connection with obtaining or maintaining any of the patents, or in connection with any proceeding, controversy or litigation

pertaining to any of the applications or patents, or in order to perfect, protect or enforce **SULZER CARBOMEDICS** ownership of the right, title and interest conveyed by this Assignment, or in connection with any other matter relating to or arising out of this Assignment, and that I/we will do all of these things without need for further payment of money or other consideration to myself/ourselves on the understanding that **SULZER CARBOMEDICS** will bear all reasonable expenses actually incurred for or in connection with such matters. This Assignment and the obligations I/we have assumed by signing this document shall be binding on my/our respective legal representatives.

Date

Inventor's Signature

Joseph A. Chinn
(Type Inventor's Name)

Date

Inventor's Signature

Richard E. Phillips, Jr.
(Type Inventor's Name)

Date

Inventor's Signature

Joseph A. Sauter
(Type Inventor's Name)

Date

Inventor's Signature

R. Michael Casanova
(Type Inventor's Name)

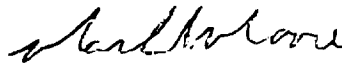
Date

Inventor's Signature

C.P. Pathak
(Type Inventor's Name)

1-16-02

Date



Inventor's Signature

Mark A. Moore
(Type Inventor's Name)

Date

Inventor's Signature

L. Diane Bruce
(Type Inventor's Name)

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enforce **SULZER CARBOMEDICS** ownership of the right, title and interest conveyed by this Assignment, or in connection with any other matter relating to or arising out of this Assignment, and that I/we will do all of these things without need for further payment of money or other consideration to myself/ourselves on the understanding that **SULZER CARBOMEDICS** will bear all reasonable expenses actually incurred for or in connection with such matters. This Assignment and the obligations I/we have assumed by signing this document shall be binding on my/our respective legal representatives.

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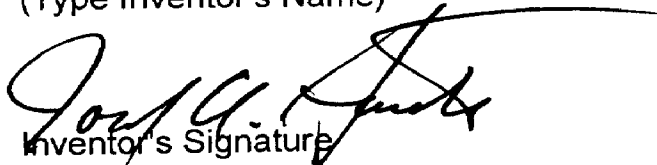
Joseph A. Chinn
(Type Inventor's Name)

1/16/2002
Date


Inventor's Signature

Richard E. Phillips, Jr.
(Type Inventor's Name)

1-16-02
Date


Inventor's Signature

Joseph A. Sauter
(Type Inventor's Name)

1/16/02
Date


Inventor's Signature

R. Michael Casanova
(Type Inventor's Name)

Jan 16, 2002
Date


Inventor's Signature

C.P. Pathak
(Type Inventor's Name)

Date

Inventor's Signature

Mark A. Moore
(Type Inventor's Name)

1/16/02
Date


Inventor's Signature

L. Diane Bruce
(Type Inventor's Name)