



03-04-2002



101999042

Docket No.: F-5489 CIP 1

ET

U.S. DEPARTMENT OF COMMERCE
Patent and Trademark Office

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To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):

Kelly B. Smith;
Mark R. Vandlik;
Michael J. Kast

2.21.02

Additional names(s) of conveying party(ies)

☐ Yes ☒ No

3. Nature of conveyance:

☒ Assignment

☐ Merger

☐ Security Agreement

☐ Change of Name

☐ Other

Execution Date: 12/17/2001; 12/18/2001; 1/21/2002

2. Name and address of receiving party(ies):

Name: Baxter International Inc.

Internal Address:

Street Address: One Baxter Parkway (2-2E)

City: Deerfield State: IL ZIP: 60015

Additional name(s) & address(es) attached? ☐ Yes ☒ No

4. Application number(s) or patent numbers(s):

If this document is being filed together with a new application, the execution date of the application is:

A. Patent Application No.(s)

09/976,832

B. Patent No.(s)

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Daniel D. Ryan

Internal Address: RYAN KROMHOLZ & MANION, S.C.

Street Address: PO BOX 26618

City: MILWAUKEE State: WI ZIP: 53226

6. Total number of applications and patents involved:

1

7. Total fee (37 CFR 3.41):.....\$ 40.00

☒ Enclosed - Any excess or insufficiency should be credited or debited to deposit account

☐ Authorized to be charged to deposit account

8. Deposit account number:

06-2360

(Attach duplicate copy of this page if paying by deposit account)

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9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Daniel D. Ryan

Name of Person Signing

Signature

28 January 2002

Date

Total number of pages including cover sheet, attachments, and document:

Serial No. ⁽¹⁾ 09/976,832Filed ⁽¹⁾ 13 OCTOBER 2001

In consideration of ONE DOLLAR and other good and valuable considerations, the receipt and sufficiency whereof are hereby acknowledged, we hereby assign to BAXTER INTERNATIONAL INC. (hereinafter referred to as "assignee"), a corporation of Delaware, having a principal place of business at DEERFIELD, ILLINOIS, its successors, legal representatives and assigns, the entire right, title and interest throughout the world in our invention or improvements in

(2) **BLOOD PROCESSING SYSTEMS AND METHODS THAT ALTERNATE FLOW OF BLOOD COMPONENT AND ADDITIVE SOLUTION THROUGH AN IN-LINE LEUKOFILTER**

and in the application for Letters Patent of the United States therefor, executed by each of us individually on the date(s) indicated below and any and all other United States applications and applications in any and all countries which we may file, either solely or jointly with others, on said invention or improvements, and in any and all Letters Patent of the United States or of any other country which may be obtained on any of the said applications, and in any reissue or extension thereof.

We hereby authorize and request the Commissioner of Patents to issue said Letters Patent to said BAXTER INTERNATIONAL INC.

We hereby authorize and request the attorneys of record in said application to insert in this assignment the date and serial number of said application when officially known.

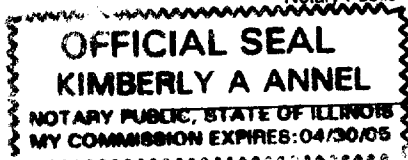
We warrant ourselves to be the owners of the interest herein assigned and to have the right to make this assignment; and further warrant that there are no outstanding prior assignments, licenses, or other rights in the interest herein assigned.

For said considerations we hereby agree, upon the request and at the expense of said assignee, its successors, legal representatives and assigns, to execute any and all divisional, continuation, and renewal applications for said invention or improvements, and any necessary oath or supplemental oath or affidavit relating thereto, and any application for the reissue or extension of any Letters Patent that may be granted upon said application that said assignee, its successors, legal representatives and assigns may deem necessary or expedient, and for the said considerations we further agree, upon the request of said assignee, its successors, legal representatives and assigns, in the event of said application or any division thereof, or Letters Patent issued thereon, or any reissue or application for the reissue thereof becoming involved in interference, to cooperate to the best of our ability with said assignee, its successors, legal representatives and assigns in the matters of preparing and executing the preliminary statement and giving and producing evidence in support thereof. We further agree to perform, upon such request, any and all affirmative acts to obtain Letters Patent, and vest all rights therein hereby conveyed in the said assignee, its successors, legal representatives and assigns whereby said Letters Patent will be held and enjoyed by the said assignee, its successors, legal representatives and assigns to the end of the term for which said Letters Patent may be granted as fully and entirely as the same would have been held and enjoyed by us if this assignment and sale had not been made, and for the said considerations we hereby also assign to said assignee, its successors, legal representatives and assigns the entire right, title and interest in said invention or improvements for any and all foreign countries and the right of priority for patent and utility model applications in all countries arising under any applicable international convention for the protection of industrial property and/or any internal priority legislation of such countries, and we further agree upon the request of said assignee, its successors, legal representatives and assigns to execute any and all documents that shall be required to be executed in connection with any and all applications for foreign Letters Patent therefor, including the prosecution thereof, and to execute any and all documents necessary to invest title in said foreign applications and patents in said assignee.

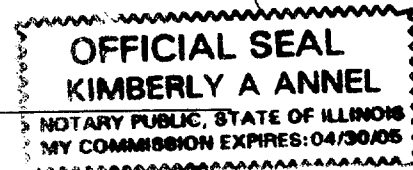
WITNESS our hand and seal

Date 12-17-01 Signature Kelly B. Smith(3) Typed Name: KELLY SMITH(4) State of Illinois, County of LakeSigned before me on this 17 day of Dec, 192001by KELLY SMITH
InventorDate 12-18-01 Signature Mark Vandlik(3) Typed Name: MARK VANDLIK(4) State of Illinois, County of LakeSigned before me on this 18 day of Dec, 192001by MARK VANDLIK
Inventor

Kimberly A. Annel
Notary Public



Kimberly A. Annel
Notary Public

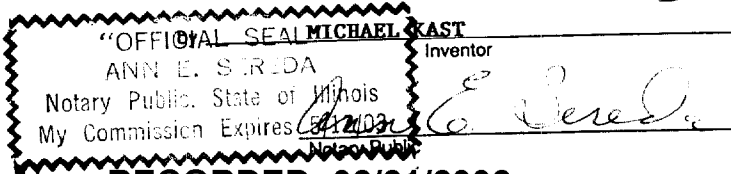
Date 1/21/02 Signature Michael Kast(3) Typed Name: MICHAEL KAST(4) State of Illinois, County of LakeSigned before me on this 21st day of January, 192002by MICHAEL KAST
Inventor

Date _____ Signature _____

(3) Typed Name: _____

(4) State of _____, County of _____

Signed before me on this _____ day of _____, 19____

by _____
Inventor

Notary Public

PATENT

RECORDED: 02/21/2002

REEL: 012615 FRAME: 0281