

03-04-2002

Fuller 5-2-5



101999066

U.S. Department of Commerce
Patent and Trademark Office
ent or copy thereof.

To the Honorable Commissioner of Patents and Trademarks

1. Name of conveying party(ies):

Richard Clair Fuller
Yuan-Hua Kao
Frank J. Peragine

2/22/02

Additional name(s) of conveying party(ies) attached? Yes No

3. Nature of conveyance:

- Assignment Merger
- Security Agreement Change of Name
- Other _____

Execution Date: Fuller 1/10/02, Kao 1/10/02, Peragine 1/21/02

2. Name and address of receiving party(ies):

Name: Lucent Technologies Inc.

Internal Address: _____

Street Address: 600 Mountain Avenue

City: Murray Hill State: NJ Zip: 07974-0636

Additional name(s) & address(es) attached? Yes No

4. Application number(s) or registration number(s):

If this document is being filed together with a new application, the execution date of the application is: _____

A. Patent Application No.(s) 10/016,904, filed December 14, 2001

B. Patent No.(s)

Additional numbers attached? Yes No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Eamon J. Wall

Internal Address: MOSER, PATTERSON & SHERIDAN, LLP

Street Address: 595 Shrewsbury Avenue, Suite 100

City: Shrewsbury State: NJ Zip: 07702

6. Total number of applications and patents involved: 1

7. Total fee (37 C.F.R. 3.41)----- \$ 40.00

Enclosed

Authorized to be charged to deposit account

8. Deposit account number: _____

DO NOT USE THIS SPACE

9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

EAMON J. WALL
Name of Person Signing

EJ Wall
Signature

2/11/02
Date

Total number of pages including cover sheet, attachments and document: 5

Mail documents to be recorded with required cover sheet information to:
Assistant Commissioner of Patents, Box Assignments
Washington, D.C. 20231

02/28/2002 NMDHAMMI 00000026 10016904
02 FC:581 40.00 DP

ASSIGNMENT AND AGREEMENT

For value received, we, **Richard Clair Fuller of Fair Haven in the County of Monmouth and State of New Jersey, Yuan-Hua Kao of Holmdel in the County of Monmouth and State of New Jersey, and Frank J. Peragine of Fair Haven, in the County of Monmouth and State of New Jersey**, hereby sell, assign and transfer to Lucent Technologies Inc., a corporation of the State of Delaware, having an office at 600 Mountain Avenue, Murray Hill, New Jersey 07974-0636, U.S.A., and its successors, assigns and legal representatives, the entire right, title and interest, for the United States of America, in and to certain inventions related **METHOD AND APPARATUS FOR TRANSMITTING A MODULATED OPTICAL SIGNAL** described in U.S. Patent Application Serial No. 10/016,904, filed on December 14, 2001, and all the rights and privileges in said application and under any and all Letters Patent that may be granted in the United States for said inventions; and we also concurrently hereby sell, assign and transfer to Lucent Technologies Inc. the entire right, title and interest in and to said inventions for all countries foreign to the United States, including all rights of priority arising from the application aforesaid, and all the rights and privileges under any and all forms of protection, including Letters Patent, that may be granted in said countries foreign to the United States for said inventions.

We authorize Lucent Technologies Inc. to make application for such protection in its own name and maintain such protection in any and all countries foreign to the United States, and to invoke and claim for any application for patent or other form of protection for said inventions, without further authorization from us, any and all benefits, including the right of priority provided by any and all treaties, conventions, or agreements.

We hereby consent that a copy of this assignment shall be deemed a full legal and formal equivalent of any document which may be required in any country in proof of the right of Lucent Technologies Inc. to apply for patent or other form of protection for said inventions and to claim the aforesaid benefit of the right of priority.

We request that any and all patents for said inventions be issued to Lucent Technologies Inc. in the United States and in all countries foreign to the United States, or to such nominees as Lucent Technologies Inc. may designate.

We agree that, when requested, we shall, without charge to Lucent Technologies Inc. but at its expense, sign all papers, and do all acts which may be necessary, desirable or convenient in connection with said applications, patents, or other forms of protection.

Richard C. Fuller
Richard Clair Fuller


Date: 1/10/2002

United States of America)
State of New Jersey) ss.:
County of Monmouth)

On this 10th day of January, 2001, before me personally came **Richard Clair Fuller**, to me known to be the individual described in and who executed the foregoing instrument, and acknowledged execution of the same.

Dale Connor
Notary Public

DALE A. CONNOR
Notary Public of New Jersey
My Commission Expires March 15, 2002


Yuan-Hua Kao

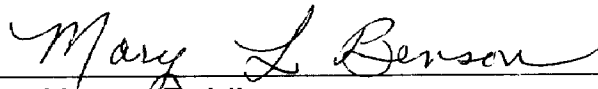
Date: 1/10/2002

United States of America)

State of New Jersey) ss.:

County of Monmouth)

On this 10 day of Jan., ²⁰⁰²~~2001~~, before me personally came **Yuan-Hua Kao**, to me known to be the individual described in and who executed the foregoing instrument, and acknowledged execution of the same.


Notary Public

MARY L. BENSON
Notary Public of New Jersey
My Commission Expires June 3, 2004

Frank J. Peragine
Frank J. Peragine

Date: 1/21/02

United States of America)

State of New Jersey) ss.:

County of Monmouth)

On this 21 day of January, 2001, before me personally came **Frank J. Peragine**, to me known to be the individual described in and who executed the foregoing instrument, and acknowledged execution of the same.

Aristea Cianchetta
Notary Public

ARISTEA CIANCHETTA
Notary Public of New Jersey
My Commission Expires 12/27/2004

Lucent Technologies Inc.
600 Mountain Avenue (Room 3C-512)
P. O. Box 636
Murray Hill, New Jersey 07974-0636