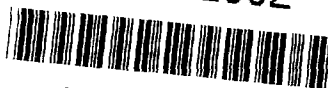


03-04-2002

102001423  
PATENT

Patent Case No. 20449

U.S. DEPARTMENT OF COMMERCE  
PATENT AND TRADEMARK OFFICE

ET

TO THE HONORABLE COMMISSIONER OF PATENTS AND TRADEMARKS:  
PLEASE RECORD THE ATTACHED ORIGINAL DOCUMENTS OR COPY THEREOF.

## 1. Name(s) of Conveying party(ies):

Christopher F. Claiborne, Nigel J. Liverton, David A. Claremon

2-21-02

Additional name(s) of conveying party(ies) attached?

☐ Yes☒ No

## 2. Nature of conveyance:

☒ Assignment☐ Merger☐ Security Agreement☐ Change of Name☐ Other:

I hereby certify that correspondence is being  
deposited with the United States Postal Service as  
first class mail in an envelope addressed to:  
Assistant Commissioner for Patents, Washington,  
D.C. 20231, on the date appearing below.

## Name and address of receiving party(ies):

Name: MERCK &amp; Co., INC.

Internal Address: RY60-30

Street Address: P.O. Box 2000

City &amp; State: RAHWAY, NEW JERSEY

Zip/Postal Code: 07065-0907

MERCK &amp; CO., INC.

By Shu M. LeeDate 12/17/2001

Execution Date: Mar 23, 2000

Additional name(s) &  
addresses attached?☐ Yes☒ No

## 4. Application number(s) or patent number(s) are as follows:

(a) Patent Application No(s). 09/550,972, filed on April 17, 2000

and titled:

CYCLIC AMIDINES USEFUL AS NMDA NR2B ANTAGONISTS

(b) If this document is being filed together with a new application, the execution date of the application:

Additional numbers attached?

☐ Yes☐ No5. Name & address of party to whom correspondence  
concerning documents should be mailed:

Name: Shu Muk Lee

Internal Address: PATENT DEPARTMENT

MERCK &amp; CO., INC.

P.O. BOX 2000 -- RY60-30

City &amp; State: RAHWAY, N.J. Zip: 07065-0907

## 6. Total no. of applications &amp; patents involved: 1

7. Total fee (37 CFR 3.41).....\$ 40.00

☐ Enclosed☒ The Commissioner is hereby authorized to  
charge **deposit account number 13-2755** for any  
fees which may be required or to credit any  
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## 8. Statement and signature:

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true  
copy of the original document.

Shu Muk Lee

Reg. No. 41,147

Shu Muk Lee

Signature

12/17/2001

Date

Name of Person Signing

Total number of pages including cover sheet, attachments, and document: 3

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Mail documents to be recorded with the required cover sheet information to:

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Box Assignments  
Washington, D.C. 20231

**ASSIGNMENT AND AGREEMENT**

For value received, we, CHRISTOPHER F. CLAIBORNE, NIGEL J. LIVERTON, DAVID A. CLAREMON; respectively ,

of 111 Madison Way, Lansdale, Pennsylvania 19446; 786 Sharon Lane, Harleysville, Pennsylvania 19438; 1508 Aidenn Lair Road, Maple Glen, Pennsylvania 19002;

hereby sell, assign and transfer to MERCK & CO., Inc., a corporation of the State of New Jersey, having an office at Lincoln Avenue, City of Rahway, State of New Jersey, and its successors, assigns and legal representatives, the entire right, title and interest, for all countries, in and to certain inventions relating to

**CYCLIC AMIDINES USEFUL AS NMDA NR2B ANTAGONISTS**

described in an application for Letters Patent of the United States, executed by us on even date herewith, and in provisional application(s) Serial No. 60/133,346 filed on 05/10/1999 ; Serial No. \_\_\_\_\_ filed on \_\_\_\_\_ ; Serial No. \_\_\_\_\_ filed on \_\_\_\_\_ (if applicable), and all the rights and privileges, including any and all benefits under the International Convention for the Protection of Industrial Property under any and all Letters Patents which may be granted therefor, and under any and all extensions, divisionals, reissues and continuations of said Letters Patents.

We request that any and all Patents for said inventions be issued to said assignee, its successor, assigns and legal representatives, or to such nominees as it may designate.

We agree that, when requested, we will, without charge to said assignee but at its expense, sign all papers, take all rightful oaths, and do all acts which may be necessary, desirable or convenient for securing and maintaining Patents for said inventions in any and all countries and for vesting title thereto in said assignee, its successors, assigns and legal representatives or nominees.

We covenant with said assignee, its successors, assigns and legal representatives, that the rights and property herein conveyed are free and clear of any encumbrance, and that we have full right to convey the same as herein expressed.

We hereby authorize our attorney, Shu M. Lee or an attorney with Power of Attorney in this application, of the said MERCK & CO., Inc., to insert **Serial No.**, and **Filing Date** of said application(s) when known.

Signed at West Point, Pennsylvania this 23<sup>rd</sup> day of March, 2000 .

  
CHRISTOPHER F. CLAIBORNE

  
DAVID A. CLAREMON

  
NIGEL J. LIVERTON

JOINT Merck Case 20449

U.S. Serial No. \_\_\_\_\_

Filing Date \_\_\_\_\_

**ASSIGNMENT AND AGREEMENT**

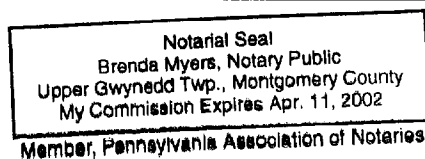
Signed at \_\_\_\_\_ this \_\_\_\_\_ day of \_\_\_\_\_, \_\_\_\_\_.

\_\_\_\_\_

\_\_\_\_\_

\_\_\_\_\_

\_\_\_\_\_

COMMONWEALTH OF PENNSYLVANIACounty of Montgomery } SS.Personally appeared before me the above-named CHRISTOPHER F. CLAIBORNE , NIGEL J. LIVERTON,  
DAVID A. CLAREMONto me known and known to me to be the person(s) who executed the foregoing instrument and acknowledged said instrument to be  
their free act and deed this 23<sup>rd</sup> day of March, 2000.\_\_\_\_\_  
County of \_\_\_\_\_ } SS.Brenda Myers

Notary Public

Personally appeared before me the above-named

to me known and known to me to be the person(s) who executed the foregoing instrument and acknowledged said instrument to be  
their free act and deed this \_\_\_\_\_ day of \_\_\_\_\_, \_\_\_\_\_.\_\_\_\_\_  
Notary Public