

03-04-2002

Patent and Trademark Office



To the Honorable Commissioner of Patents and Trademarks. Ple.

101999325

reof.

1. Name of conveying party(ies):

Paul Durrant
Stephen Hanson
David Gordon
Jeremy Harris
Sun Microsystems Limited

02/14/02

2. Name and address of receiving party(ies):

Name: Sun Microsystems, Inc.

Street Address: 901 San Antonio Road

City Palo Alto State CA ZIP 94303

Additional name(s) & address(es) attached? ☐ Yes ☒ No

Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

3. Nature of Conveyance:

- ☒ Assignment
☐ Merger
☐ Security Agreement
☐ Change of Name
☐ Other _____

Execution Date: March 22, 2001

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of this application is:

A. Patent Application No.(s)

B. Patent No.(s)

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: B. Noel Kivlin

Internal Address: Conley, Rose & Tayon, P.C.

Street Address: P.O. Box 398

City Austin State TX ZIP 78767-0398

6. Total number of applications and patents involved: 1

7. Total fee (37 CFR 3.41):\$ 40.00

- ☒ Fee Authorization Form Enclosed
☒ Authorized to be charged to deposit account

8. Deposit account number: 501505/5681-10800/BNK
(Attach a duplicate copy of this page if paying by deposit account)

DO NOT USE THIS SPACE

9. Statement and Signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

B. Noel Kivlin

Name of Person Signing
Reg. No. 33,929

[Signature]
Signature

2-17-02
Date

Total number of pages: 36

OMB No. 0651-011 (exp.4/94)

03/01/2002 6TON11 00000126 501505 10074140

01 FC:581 40.00 CH

ACKNOWLEDGEMENT by an Employee of the Right to apply for a Patent and AN ASSIGNMENT to have effect on the **TWENTY SECOND DAY OF MARCH 2001 BETWEEN**

PAUL DURRANT, of UNITED KINGDOM nationality, of 59 DEVONSHIRE GREEN, FARNHAM ROYAL, SLOUGH, BERKSHIRE, SL2 3DX, UNITED KINGDOM (hereinafter referred to as "Inventor") of the first part;

STEPHEN R HANSON, of UNITED KINGDOM nationality, of OLD OAK COTTAGE, ALDWORTH, BERKSHIRE RG8 9RL, UNITED KINGDOM (hereinafter referred to as "Inventor") of the second part;

DAVID S GORDON, of UNITED KINGDOM nationality, of 6 CASWELL CLOSE, FARNBOROUGH, HAMPSHIRE, GU14 8TB, UNITED KINGDOM (hereinafter referred to as "Inventor") of the third part;

JEREMY HARRIS, of UNITED KINGDOM nationality, of 20 LODGE LANE, CHALFONT ST GILES, BUCKS, HP8 4AF, UNITED KINGDOM (hereinafter referred to as "Inventor") of the fourth part;

SUN MICROSYSTEMS LIMITED, a UNITED KINGDOM company, having a place of business at BAGSHOT MANOR, GREEN LANE, BAGSHOT, SURREY, GU19 5NL, UNITED KINGDOM (hereinafter referred to as "Employer Company") of the fifth part; AND

SUN MICROSYSTEMS, INC., a corporation of Delaware, United States of America, having a place of business 901 SAN ANTONIO ROAD, PALO ALTO CALIFORNIA 94303, UNITED STATES OF AMERICA (hereinafter referred to as "Parent Company") of the sixth part.

WHEREAS :-

- (A) Each Inventor claims to have made with his/her co-inventor(s) the Invention described in the Schedule (hereinafter referred to as "the Invention");
- (B) The Invention has been made by each Inventor during the period of his/her employment with the Employer Company and in the course of his/her normal duties with the Employer Company and by virtue of the terms of his/her employment with the Employer Company, the Invention is to be taken as between the Employer Company and the Inventor to belong to the Employer Company;
- (C) The Employer Company agrees to assign the Invention and the right to apply for a patent or patents relating to the said Invention to the Parent Company together with all rights title and beneficial interest in and arising therefrom.

NOW THIS AGREEMENT WITNESSES as follows:-

1. Each Inventor HEREBY ACKNOWLEDGES that the Invention and all rights therein including the right to apply for a patent or patents relating to the Invention belongs to the Employer Company, and in consideration thereof and pursuant thereto HEREBY ASSIGNS TO AND CONFIRMS the vesting in the Employer Company of:
 - (a) the whole of the property in the Invention throughout the world and any protection obtained at any time therefor and all rights title and interest which the Inventor may have or have been entitled to therein including all rights to bring proceedings for infringement thereof together with the full and exclusive benefit thereof; and
 - (b) the right to apply for and obtain or to enable others to apply for and obtain a patent or patents or any other form of protection in respect of the Invention both in the United States of America and throughout the world.
2. In pursuance of the agreement referred to in Recital (C) and IN CONSIDERATION of the sum of FIVE US DOLLARS, receipt of which is hereby confirmed, the Employer Company hereby assigns to the Parent Company all its rights in the Invention including the right to apply for a patent or patents and to exploit the Invention or any patent or other protection obtained in respect thereof TO HOLD the same unto the Parent Company absolutely.

3. Each Inventor hereby undertakes to the Parent Company that he/she will at the expense of the Parent Company execute all documents and do all such acts and things as the Parent Company may in its absolute discretion consider necessary or desirable to enable Letters Patent or any form of protection to be issued in respect of the Invention in any part or parts of the world and to vest the same in the name of the Parent Company or its nominee free from all encumbrances and to enable or to assist the Parent Company or its nominee to defend oppositions to grant thereof, to maintain the same when granted and to present and prosecute for the infringement thereof.

4. Each Inventor hereby warrants to the Employer Company and to the Parent Company:

- (a) that he/she has not assigned or agreed to assign to any person firm or company or otherwise encumbered the Invention or any other part of the rights therein and thereto;
- (b) that he/she has not disclosed and will not disclose the Invention to any person firm or company other than the Employer Company or the Parent Company except as directed by the Employer Company or the Parent Company;
- (c) that he/she will give to the Employer Company or the Parent Company all information in his/her possession or in his/her power relating to the Invention and the method of employing or using the same as the Employer Company or the Parent Company shall require;
- (d) that he/she knows of no reason why a valid patent or valid patents relating to the Invention should not be granted either to himself/herself or to his/her successors in title.

IN WITNESS thereof the parties have duly executed this document to have effect the day and year first above written

SCHEDULE

The invention is as described in the draft specification entitled **A COMPUTER SYSTEM** (SUN REF: P5120).

SIGNED by the Inventor:


PAUL DURRANT

SIGNED by the Inventor:


STEPHEN HANSON

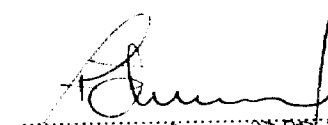
SIGNED by the Inventor:


DAVID GORDON

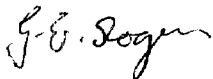
SIGNED by the Inventor:


JEREMY HARRIS

Witness


DAVID EDMONDSON

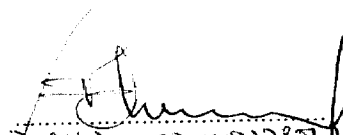
Witness


GRAHAM ERIC ROGERS

Witness


JONATHAN MARTEN

Witness

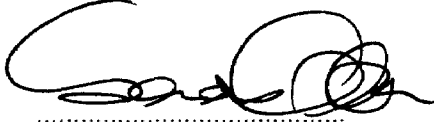

DAVID EDMONDSON

SIGNED for and on behalf of
SUN MICROSYSTEMS LIMITED:



.....
MARK CURTIS
SOLICITOR AND COMPANY SECRETARY
SUN MICROSYSTEMS LIMITED

SIGNED for and on behalf of
SUN MICROSYSTEMS, INC:



.....
KENNETH OLSEN
VICE PRESIDENT INTELLECTUAL PROPERTY
SUN MICROSYSTEMS, INC

Witness



.....
KELVIN WU

Witness

Stacie M. Shatwell
.....
Stacie M. Shatwell