

03-05-2002

Docket No.: 45567-00030

U.S. DEPARTMENT OF COMMERCE
Patent and Trademark Office

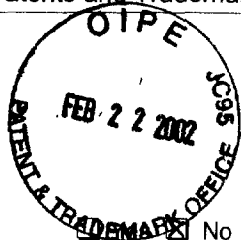
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To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):
Zhu Xiaofan

Additional names(s) of conveying party(ies)



2. Name and address of receiving party(ies):

Name: **Nippon Sheet Glass Co., Ltd.**

Internal Address:

Street Address: **4-7-28, Kitahama, Chuou-Ku**

Osaka-shi, Osaka 541-8559 Japan

City: State: ZIP:

3. Nature of conveyance:

☒ Assignment

☐ Merger

☐ Security Agreement

☐ Change of Name

☐ Other

Execution Date: **December 20, 2001**

Additional name(s) & address(es) attached? ☐ Yes ☒ No

4. Application number(s) or patent numbers(s):

If this document is being filed together with a new application, the execution date of the application is:

A. Patent Application No.(s)

B. Patent No.(s)

09/998,596

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: **Ross E. Breyfogle, Esq.**

Internal Address: **Marsh Fischmann & Breyfogle LLP**

Street Address: **3151 South Vaughn Way, Suite 411**

03/04/2002 TDI A21 00000084 09998596

01 FC:581

40.00 DP

City: **Aurora**

State: **CO**

ZIP: **80014**

6. Total number of applications and patents involved: **1**

7. Total fee (37 CFR 3.41):.....\$ **40.00**

☒ Enclosed - Any excess or insufficiency should be credited or debited to deposit account

☐ Authorized to be charged to deposit account

8. Deposit account number:

50-1419

(Attach duplicate copy of this page if paying by deposit account)

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9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Ross E. Breyfogle

Name of Person Signing

Signature

February 4, 2002

Date

Total number of pages including cover sheet, attachments, and document: **2**

ASSIGNMENT OF APPLICATION

For good and valuable consideration, the receipt and sufficiency of which is hereby acknowledged, the undersigned inventor(s) hereby:

1) Sell(s), assign(s) and transfer(s) to NIPPON SHEET GLASS CO., LTD. (hereinafter referred to as "ASSIGNEE"), which has a business office at 4-7-28, Kitahama, Chuou-ku, Osaka-shi, Osaka 541-8559 Japan the entire right, title, and interest in any and all inventions and improvements disclosed in, application(s) based upon, and Patent(s) granted upon the information which is disclosed in the application entitled:

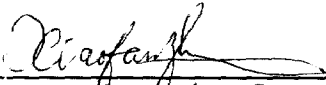
OPTICAL CIRCUIT MODULE AND METHOD FOR ASSEMBLING THE SAME


(File No. 45567-0003 for which I (we) have executed an application for a United States Letters Patent on _____. (Application Serial No. 09/998,596 filed on November 16, 2001 .)

2) Authorize and request the Commissioner of Patents to issue any and all Letters Patents resulting from said application, or any division, continuation, substitute or reissue thereof, to the ASSIGNEE.

3) Agree to execute all papers and documents and perform any acts which are reasonably necessary in connection with the prosecution of said application, as well as any derivative applications thereof and/or the enforcement of patents resulting from such applications.

Signed on the date(s) indicated beside my (our) signature(s).

1)  Date: December 20, 2001
Name: Zhu Xiaofan

Witness:  Date: December 20, 2001
Name: Wu, Huimin

Witness: _____ Date: _____
Name: _____