

03-05-2002

/018037

A/P 581-4



PTO 11 DEC 2001

102000804

PATENTS ONLY

Attney Docket No. X-12420

To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof:

## 1. Name of conveying party(ies):

Ho-Shen Lin  
Michael Enrico Richett

Additional name(s) of conveying party(ies)

Attached? ( ) Yes (X) No

12/11/01

## 2. Name &amp; address of receiving party(ies):

Name: Eli Lilly and Company

Internal Address: Patent Division

Street Address: Lilly Corporate Center

City: Indianapolis State: IN Zip: 46285

Additional name(s) &amp; address(es) attached?

( ) Yes (X) No

## 3. Nature of conveyance:

(X) Assignment ( ) Merger  
( ) Security Agreement ( ) Change of Name  
( ) Other

Execution Date: July 10, 2000

## 4. Application number(s) or patent Number(s):

This document is being filed with a 35 U.S.C. 371 application of PCT/US00/16319, international filing date of 11 July 2000

A. Patent Application No.(s):

B. Patent No.(s):

Additional Numbers attached ( ) Yes (X) No

## 5. Name and address of party to whom correspondence concerning documents should be mailed:

Kimberly S. Rhoades  
Eli Lilly and Company  
Lilly Corporate Center  
Indianapolis, IN 46285

12/26/2001 JENDUITE 00000065 050840 10018037

40.00 CH

## 6. Total number of applications and patents involved: (1)

7. Total fee (37 CFR \$3.41) \$40.00  
(\$40.00 per assignment)( ) Enclosed  
(X) Authorized to be charged to deposit account (along with any additional fees or the credit of any overpayment)

8. Deposit account number: 05-0840

DO NOT USE THIS SPACE

## 9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Francis O. Ginah  
Name of Attorney Signing  
Reg. No. 44,712Francis O. Ginah  
SignatureDecember 06, 2001  
Date

Total number of pages including cover sheet, attachments and document: (3)

"Express Mail" mailing label number EL 230530254 US

Date of Deposit DEC 11, 2001

I hereby certify that this paper or fee is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 C.F.R. 1.10 on the date indicated above and is addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231.

GUEZAL OTHOMAS  
Printed NameGuezal Othomas  
SignatureMail documents to be recorded with required cover sheet information to:  
Commissioner of Patents & Trademarks, Box Assignments  
Washington, D.C. 20231PATENT  
REEL: 012620 FRAME: 0978

**ASSIGNMENT**

**WHEREAS** we, Ho-Shen Lin, City of Indianapolis, County of Marion, State of Indiana and Michael Enrico Richett, City of Indianapolis, County of Marion, State of Indiana have made an invention which is the subject of an international application under the Patent Cooperation Treaty ("PCT") patent application entitled NOVEL SPLA<sub>2</sub> INHIBITORS, containing 137 pages, and which is to be filed in the United States Patent and Trademark Office acting as PCT Receiving Office ("Application"); and we hereby authorize and direct our common representative to insert below the filing date and application number when they become known: PCT/US 00/16319, filed 11 July 2000; and

**WHEREAS** ELI LILLY AND COMPANY, an Indiana corporation having its principal place of business at Lilly Corporate Center, Indianapolis, Indiana 46285, wishes to acquire the entire interest in all inventions disclosed in such Application;

**NOW, THEREFORE**, in consideration of the sum of one dollar (\$1.00) and other good and valuable consideration, the receipt of which is hereby acknowledged, we hereby sell, assign, transfer and set over unto Eli Lilly and Company, its successors and assigns (collectively "Lilly") our entire right, title and interest in, to and under the Application, including all priority rights for other countries arising therefrom, all inventions therein disclosed, and any and all present or future patent applications to such inventions that may be filed in the United States or any foreign country, inclusive of, but not limited to, continuations, continuations-in-part, divisions, substitutions, reexaminations, reissues, international applications under the Patent Cooperation Treaty ("PCT"), United States provisional patent applications, certificates of addition, utility models, petty patents, as well as all other intellectual property related to the Application, inclusive of, but not limited to, supplementary protection certificates, copyrights, trademarks, and data package exclusivity rights; and any and all Letters Patent of the United States and of all foreign countries and all related patent term extensions which may be granted for Letters Patent with respect to the Application; all of the above to be held and enjoyed by Lilly for its own use and enjoyment to the full end of the term or terms for which such Letters Patent and related intellectual property rights may be granted, as fully and entirely as the same would have been held and enjoyed by us had this Assignment and sale to Lilly not been made.

For ourselves and for our heirs, successors and legal representatives, we covenant that no assignment, sale, agreement or encumbrance has been or will be made or entered into which would conflict with this Assignment.

For ourselves and for our heirs, successors and legal representatives, we further covenant and agree with Lilly that upon request we and they will, without further consideration than that now paid, but at the expense of Lilly: (i) execute original, provisional, substitute, continuation, divisional, continuation-in-part, reexamined, or reissued applications, amended specifications, or rightful declarations or oaths for such application; (ii) communicate to Lilly any facts known to us or them relating to such inventions or the history thereof; (iii) execute preliminary statements and testify in any interference proceedings, litigation discovery proceedings and depositions, oppositions, cancellation proceedings, priority contests, public use proceedings, administrative agency proceedings, litigation and other court actions and the like; (iv) execute and deliver any application papers, affidavits, declarations, assignments, or other instruments; and (v) do all other acts which, in the opinion of counsel for Lilly, may be necessary or desirable to secure the grant of Letters Patent and related intellectual property to Lilly or its nominees, in the United States and in all other countries where Lilly may desire to have such inventions, or any of them, patented, with specifications and claims in such form as shall be approved by counsel for Lilly and to vest and confirm in Lilly or its nominees the full and complete legal and equitable title to all such Letters Patent and related intellectual property.

IN WITNESS WHEREOF we have executed this assignment on the date indicated below.

07-10-2000

Date

Ho-Shen Lin

Ho-Shen Lin

UNITED STATES OF AMERICA

STATE OF INDIANA )

COUNTY OF MARION )

ss:

Before me, a Notary Public for Johnson County, State of Indiana, personally appeared Ho-Shen Lin and acknowledged the execution of the foregoing instrument this 10 day of JULY, 2000.

My commission expires:

Catherine Michel

Notary Public

CATHERINE MICHEL

MY COMMISSION EXPIRES

OCTOBER 21, 2000

RESIDENT OF JOHNSON COUNTY

7-10-2000

Date

Michael Enrico Richett

Michael Enrico Richett

UNITED STATES OF AMERICA

STATE OF INDIANA )

COUNTY OF MARION )

ss:

Before me, a Notary Public for Johnson County, State of Indiana, personally appeared Michael Enrico Richett and acknowledged the execution of the foregoing instrument this 10 day of JULY, 2000.

My commission expires:

Catherine Michel

Notary Public

CATHERINE MICHEL

MY COMMISSION EXPIRES

OCTOBER 21, 2000

RESIDENT OF JOHNSON COUNTY

A/D 581.40

10/018037  
JC07 Rec'd PCT/PTO 11 DEC 2001

## RECORDATION COVER SHEET

PATENTS ONLY

Attny Docket No. X-12420

To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof:

<b>1. Name of conveying party(ies):</b> Ho-Shen Lin Michael Enrico Richett  Additional name(s) of conveying party(ies) Attached? ( ) Yes (X) No	<b>2. Name &amp; address of receiving party(ies):</b> Name: Eli Lilly and Company  Internal Address: Patent Division  Street Address: Lilly Corporate Center  City: Indianapolis State: IN Zip: 46285
<b>3. Nature of conveyance:</b>  (X) Assignment ( ) Merger ( ) Security Agreement ( ) Change of Name ( ) Other _____ Execution Date: July 10, 2000	Additional name(s) & address(es) attached?  ( ) Yes (X) No

**4. Application number(s) or patent Number(s):**

This document is being filed with a 35 U.S.C. 371 application of PCT/US00/16319, international filing date of 11 July 2000

A. Patent Application No.(s): B. Patent No.(s):

Additional Numbers attached ( ) Yes (X) No

<b>5. Name and address of party to whom correspondence concerning documents should be mailed:</b>  Kimberly S. Rhoades Eli Lilly and Company Lilly Corporate Center Indianapolis, IN 46285	<b>6. Total number of applications and patents involved:</b> (1)  <b>7. Total fee (37 CFR \$3.41) \$40.00</b> (\$40.00 per assignment)  ( ) Enclosed (X) Authorized to be charged to deposit account (along with any additional fees or the credit of any overpayment)  <b>8. Deposit account number: 05-0840</b>
-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------	-------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------

DO NOT USE THIS SPACE

**9. Statement and signature.**

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Francis O. Ginah      Francis O. Ginah      December 06, 2001  
 Name of Attorney Signing      Signature      Date  
 Reg. No. 44,712

Total number of pages including cover sheet, attachments and document: (3)

"Express Mail" mailing label number EL 230530254 US

Date of Deposit Dec. 11, 2001

I hereby certify that this paper or fee is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 C.F.R. 1.10 on the date indicated above and is addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231.

QUEEN D THOMAS      Queen Thomas  
 Printed Name      Signature

Mail documents to be recorded with required cover sheet information to:  
 Commissioner of Patents & Trademarks, Box Assignments  
 Washington, D.C. 20231

RECORDED: 12/11/2001

PATENT  
REEL: 012620 FRAME: 0981