

03-07-2002

FORM P-1595 (Modified)
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OMB No. 0651-0027 (exp. 5/31/2002)
P08A/REV03

ET U.S. DEPARTMENT OF COMMERCE
Patent and Trademark Office



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Tab settings

To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):
C & C Research Laboratories
146-141, Annyung-ri, Taean-up
Hwasung-gun, Kyunggi-do
445-970 KOREA

Additional names(s) of conveying party(ies) Yes No

2. Name and address of receiving party(ies):

Name: **Chugai Seiyaku Kabushiki Kaisha**

Address: **5-1, Ukima 5-chome,**
Kita-ku,

City: **Tokyo 115-8543** State/Prov.: _____

Country: **JAPAN** ZIP: _____

Additional name(s) & address(es) Yes No

3. Nature of conveyance: **MO 2/13/2**

Assignment Merger

Security Agreement Change of Name

Other _____

Execution Date: **May 30, 2001**

4. Application number(s) or patent numbers(s):

If this document is being filed together with a new application, the execution date of the application is:

Patent Application No. **09/319,616** Filing date **June 8, 1999**

03/06/2002 TDIAZ1 00000193 09319616
01 FC:581 40.00 DP Additional numbers

B. Patent No.(s) **6,153,768**

RECEIVED
FEB 13 2002

Yes No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: **Peter F. Corless**

Registration No. **33,860**

Address: **Dike, Bronstein, Roberts & Cushman**
Intellectual Property Practice Group of
EDWARDS & ANGELL, LLP., P.O. BOX 9169

City: **Boston** State/Prov.: **MA**

Country: **U.S.A.** ZIP: **02209**

6. Total number of applications and patents involved: **1**

7. Total fee (37 CFR 3.41):.....\$ **40.00**

Enclosed - Any excess or insufficiency should be credited or debited to deposit account

Authorized to be charged to deposit account

8. Deposit account number: **04-1105 (Customer No. 21874)**

(Attach duplicate copy of this page if paying by deposit account)

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9. Statement and signature.
To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Dianne M. Rees, Ph.D., 45,281 *Dianne Rees* **January 9, 2002**

Name of Person Signing Signature Date

Total number of pages including cover sheet, attachments, and **3**

Mail documents to be recorded with required cover sheet information to:
Commissioner of Patents & Trademarks, Box Assignments
Washington, D.C. 20231

PATENT
REEL: 012621 FRAME: 0897

07-10-2001



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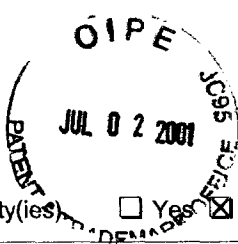
U.S. DEPARTMENT OF COMMERCE
Patent and Trademark Office

Tab settings

To the Honorable Commissioner of Patents

7.701
e attached original documents or copy thereof.

1. Name of conveying party(ies):
C & C Research Laboratories
141-141, Anyung-ri, Taean-up
Hwasung-gun, Kyunggi-do,
445-970 KOREA



Additional names(s) of conveying party(ies) Yes No

3. Nature of conveyance:

- Assignment Merger
- Security Agreement Change of Name
- Other

Execution Date: **May 30, 2001**

2. Name and address of receiving party(ies):

Name: **Chugai Seiyaku Kabushiki Kaisha**

Internal Address:

Street Address: **5-1, Ukima 5-chome,**

Kita-ku, Tokyo 115-8543, JAPAN

City: _____ State: _____ ZIP: _____

Additional name(s) & address(es) attached? Yes No

4. Application number(s) or registration numbers(s):

If this document is being filed together with a new application, the execution date of the application is: _____

A. Patent Application No.(s)

B. Patent No.(s)

6,153,758

Additional numbers attached? Yes No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: **Christine C. O'Day (Reg. No: 38,256)**

Internal Address: **Dike, Bronstein, Roberts & Cushman**

Intellectual Property Practice Group of

EDWARDS & ANGELL, LLP

Street Address: **PO BOX 9169**

City: **Boston**

State: **MA** ZIP: **02209**

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Authorized to be charged to deposit account

8. Deposit account number:

04-1105

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9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Christine C. O'Day

June 25, 2001

Name of Person Signing

Signature

Date

Total number of pages including cover sheet, attachments, and document: **3**

PATENT

Attorney Docket No. 71970/49146

Page 1 of 2

ASSIGNMENT

WHEREAS, C & C Research Laboratories, a corporation of Korea, with a place of business at 146-141, Amyung-ri, Tacan-ub, Hwasung-gun, Kyunggi-do, 445-970 Korea, (hereafter referred to as the Assignor) is the record owner by way of an assignment recorded June 8, 1999 at Reel 010079, Frame 0081, of the following:

U.S. Patent No. 6,153,768, issued **November 28, 2000** from **U.S. Patent Application No. 09/319,616**, filed **December 13, 1997**.

Title: **BENZOPYRAN DERIVATIVES**

WHEREAS, Chugai Seiyaku Kabushiki Kaisha, a corporation of Japan, with a place of business at 5-1, Ukima 5-chome, Kita-ku, Tokyo 115-8543, Japan, (hereafter referred to as the Assignee) is desirous of acquiring the entire and exclusive right, title and interest in and to the identified above application, and any corresponding U.S. or foreign patents based thereon.

NOW, THEREFORE, for good and valuable consideration to the undersigned, the receipt of which is hereby acknowledged, the undersigned have sold, assigned and transferred, and by these presents do sell, assign, and transfer unto said Assignee the full and exclusive right to the said invention in the United States of America and its territories, dependencies and possessions and the entire right, title, and interest in and to any and all Letters Patent(s) that may be granted or may already be granted therefor in the United States of America and its territories, dependencies and possessions, and in and to any and all divisions, reissues, continuations and extensions thereof for the full term or terms for which the same may be granted or may already be granted.

The Assignor also has assigned and transferred, and does hereby assign and transfer to the Assignee, its successors and assigns, its entire right, title and interest in and to the invention disclosed in said application, in all countries of the world foreign to the United States, including the right to file applications and obtain patents for said invention in its own name in said countries and including all rights of priority in said countries under the terms of any applicable international convention; to have and to hold for the sole and exclusive use and benefit of the Assignee, its successors and assigns, to the full end of the term or terms for which any and all of said Letters Patent for said invention may issue or may already be issued, to the same extent as the Assignor would hold and enjoy if this Assignment had not been made.

The undersigned agree to execute all papers necessary in connection with this application and any continuing, divisional or reissue applications thereof and also to execute separate assignments in connection with such applications as the Assignee may deem necessary or expedient.

The undersigned agree to furnish all pertinent facts and documents relating to said application, said invention and said patent(s) as may be known and accessible to the undersigned, and will testify as to the same in any interference or litigation related thereto, and will promptly execute and deliver to Assignee any and all papers, instruments or affidavits necessary or desirable

Attorney Docket No. 05629/386001
Page 2 of 2

to apply for, obtain, maintain or enforce said application or said patent(s) in connection with any interference that may be declared, and any litigation concerning this application or any continuation, division or reissue thereof or Patent(s) or reissue patent(s) issued thereon, and to cooperate with the Assignee in every way possible in obtaining and producing evidence and proceeding with such interference or litigation.

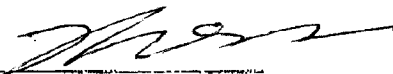
The undersigned agree to execute all papers and documents and to perform any act that may be necessary in connection with claims or provisions of the International Convention for the Protection of Industrial Property or similar agreements.

The undersigned agree to perform all affirmative acts that may be necessary to obtain a grant of a valid United States patent(s) to the Assignee and to vest all rights therein hereby conveyed to said Assignee as fully and entirely as the same would have been held by the undersigned if this Assignment and sale had not been made.

The undersigned hereby authorize and request the Commissioner of Patents and Trademarks to issue any and all Letters Patents of the United States of America resulting from said application or any division or divisions or continuing or reissue applications thereof to the said Assignee, as Assignee of the entire interest, and hereby covenant that he or she has the full right to convey the entire interest herein assigned, and that he or she has not executed, and will not execute, any agreement in conflict herewith.

IN TESTIMONY WHEREOF, the undersigned, an authorized representative of Assignor has hereunto signed her name this 30th day of May, 2001.

C & C Research Laboratories

By: Chi Bae KIM 

Title: President

Before me this _____ day of _____, 2001, personally appeared _____, who is to me personally known, and acknowledged the foregoing instrument of assignment to be her free act and deed.



Name:

Witness : Byong Ki SEO

SEAL:

Attorney Docket No. 71970/49146
Page 1 of 2

ASSIGNMENT

WHEREAS, C & C Research Laboratories, a corporation of Korea, with a place of business at 146-141, Amyung-ri, Taean-ub, Hwasung-gun, Kyunggi-do, 445-970 Korea, (hereafter referred to as the Assignor) is the record owner by way of an assignment recorded June 8, 1999 at Reel 010079, Frame 0081, of the following:

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NOW, THEREFORE, for good and valuable consideration to the undersigned, the receipt of which is hereby acknowledged, the undersigned have sold, assigned and transferred, and by these presents do sell, assign, and transfer unto said Assignee the full and exclusive right to the said invention in the United States of America and its territories, dependencies and possessions and the entire right, title, and interest in and to any and all Letters Patent(s) that may be granted or may already be granted therefor in the United States of America and its territories, dependencies and possessions, and in and to any and all divisions, reissues, continuations and extensions thereof for the full term or terms for which the same may be granted or may already be granted.

The Assignor also has assigned and transferred, and does hereby assign and transfer to the Assignee, its successors and assigns, its entire right, title and interest in and to the invention disclosed in said application, in all countries of the world foreign to the United States, including the right to file applications and obtain patents for said invention in its own name in said countries and including all rights of priority in said countries under the terms of any applicable international convention; to have and to hold for the sole and exclusive use and benefit of the Assignee, its successors and assigns, to the full end of the term or terms for which any and all of said Letters Patent for said invention may issue or may already be issued, to the same extent as the Assignor would hold and enjoy if this Assignment had not been made.

The undersigned agree to execute all papers necessary in connection with this application and any continuing, divisional or reissue applications thereof and also to execute separate assignments in connection with such applications as the Assignee may deem necessary or expedient.

The undersigned agree to furnish all pertinent facts and documents relating to said application, said invention and said patent(s) as may be known and accessible to the undersigned, and will testify as to the same in any interference or litigation related thereto, and will promptly execute and deliver to Assignee any and all papers, instruments or affidavits necessary or desirable

Attorney Docket No. 05629/386001
Page 2 of 2

to apply for, obtain, maintain or enforce said application or said patent(s) in connection with any interference that may be declared, and any litigation concerning this application or any continuation, division or reissue thereof or Patent(s) or reissue patent(s) issued thereon, and to cooperate with the Assignee in every way possible in obtaining and producing evidence and proceeding with such interference or litigation.

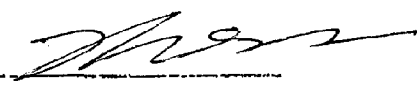
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IN TESTIMONY WHEREOF, the undersigned, an authorized representative of Assignor has hereunto signed her name this 30th day of May, 2001.

C & C Research Laboratories

By: Chi Bae KIM 

Title: President

Before me this _____ day of _____, 2001, personally appeared _____, who is to me personally known, and acknowledged the foregoing instrument of assignment to be her free act and deed.



Name:

Witness : Byong Ki SEO

SEAL:

PATENT

RECORDED: 02/13/2002

REEL: 012621 FRAME: 0902