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(Rev. 03/01)

OMB No. 0651-0027 (exp. 5/31/2002)

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To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):

International Business Machines Corporation

2 -11-02

2. Name and address of receiving party(ies)

Name: **The Board of Trustees of the Leland Stanford Junior University**

Internal Address: _____

Additional name(s) of conveying party(ies) attached? Yes No

3. Nature of conveyance:

Assignment

Merger

Security Agreement

Change of Name

Other **Corrected assignment of undivided 50% interest on Reel/Frame 011524/0422**

Street Address: _____

City: **Stanford** State: **CA** Zip: **94305**

Execution Date: **12/18/00**

Additional name(s) & address(es) attached? Yes No

4. Application number(s) or patent number(s): **09/493,450**

If this document is being filed together with a new application, the execution date of the application is: _____

A. Patent Application No.(s)

B. Patent No.(s)

FEB 11 2002

Additional numbers attached? Yes No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: **IBM Corporation**

Internal Address: _____

Intellectual Property Law (C4TA/J2B)

Street Address: **650 Harry Road**

City: **San Jose** State: **CA** Zip: **95120-6099**

6. Total number of applications and patents involved: **1**

7. Total fee (37 CFR 3.41).....\$ **40**

Enclosed

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09-0441

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9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Thomas R. Berthold

Name of Person Signing

Thomas R. Berthold

Signature

1/16/02

Date

Total number of pages including cover sheet, attachments, and documents: **3**

Mail documents to be recorded with required cover sheet information to:

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PATENT
REEL: 012622 FRAME: 0482

02-22-2001



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DOCKET: AM9-98-160

US Department of Commerce
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1. Name of conveying party(ies):

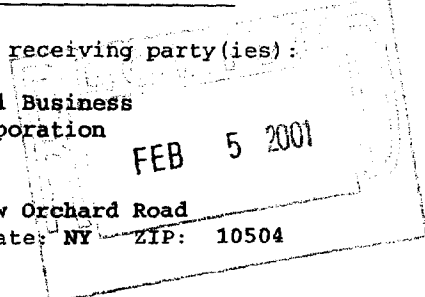
Craig Jon Hawker
James Lupton Hedrick
William Dinan Hinsberg, III
Marc Husemann
Michael Edward Morrison

2-5-01

2. Name and address of receiving party(ies):

Name: International Business
Machines Corporation

Street Address: New Orchard Road
City: Armonk State: NY ZIP: 10504



Additional name(s) of conveying party(ies) attached Yes No

Additional name(s) & address(es) attached? Yes No

3. Nature of conveyance:

Assignment

Execution Date: December 18, 2000

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is: _____

A. Patent Application No. (s)

B. Patent No. (s)

Additional numbers attached? Yes No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: IBM Corporation
Internal
Address: Intellectual Property Law
Street
Address: 650 Harry Road (C4TA/J2B)
San Jose, CA 95120-6099

6. Total Number of applications and patents involved: One

7. Total fee (37 CFR 3.41).....\$ 40.00
 Enclosed
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8. Deposit Account Number: 09-0441

9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Thomas R. Berthold

1/31/01
Date

02/21/2001 AAHMD 00000146 090441 09493450

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ASSIGNMENT

Whereas, we,

- | | |
|--|--|
| <p>(1) CRAIG JON HAWKER
 City of Los Gatos
 Country of Santa Clara
 State of California</p> | <p>(2) JAMES LUPTON HEDRICK
 City of Pleasanton
 Country of Alameda
 State of California</p> |
| <p>(3) WILLIAM DINAN HINSBERG, III
 City of Fremont
 Country of Alameda
 State of California</p> | <p>(4) MARC HUSEMANN
 City of Hamburg
 Country of
 State of Germany</p> |
| <p>(5) MICHAEL EDWARD MORRISON
 City of Addison
 Country of Dallas
 State of Texas</p> | |

have invented certain improvements in (Title of Invention):

PROCESS FOR PREPARING A PATTERNED CONTINUOUS POLYMERIC BRUSH ON A SUBSTRATE SURFACE

and executed a United States patent application therefor, the said United States patent application having been assigned serial number 09/493,450 and having been granted a filing date of January 28, 2000; and

Whereas, the said Inventors have assigned the entire right, title and interest in the said United States patent application and invention, and to any United States and foreign patents to be obtained therefor, to INTERNATIONAL BUSINESS MACHINES CORPORATION, a corporation of New York, having a place of business at Armonk, New York 10504 (hereinafter called IBM), by means of an Assignment, the said Assignment having been duly recorded by the United States Patent and trademark Office pursuant to 37 C.F.R. § 3.11, on reel 010844 and frame 0508; and

Whereas, IBM and THE BOARD OF TRUSTEES OF THE LELAND STANFORD JUNIOR UNIVERSITY, duly organized under and pursuant to the laws of California, and having its principal place of business at 900 Welch Road, Suite 350, Palo Alto, California 94304, (hereinafter called STANFORD), desire jointly to hold the entire right, title and interest in the said application and invention, and to any United States and foreign patents to be obtained therefor;

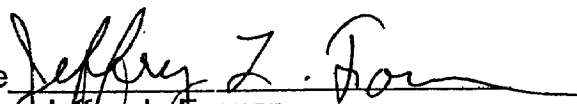
Now therefore, for a valuable consideration, receipt whereof is hereby acknowledged, I, the below named officer of IBM, having signatory authority on behalf of IBM, hereby sell, assign, and transfer to STANFORD, its successors and assigns, an undivided fifty percent (50%) interest in the United States patent application and the invention therein disclosed for the United States and foreign countries, and all rights of priority resulting from the filing of said United States application.

Signed at

Arlington, Virginia

on 18 December 2000

Signature


 Jeffrey L. Forman
 Manager, Intellectual Property Law
 Information Services

PATENT

RECORDED: 02/11/2002

REEL: 012622 FRAME: 0484