

MRD

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U.S. DEPARTMENT OF COMMERCE
U.S. Patent and Trademark Office

To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):

Patrick C. Herbert

Jeffrey R. Annis

Jun J. Yao

Winfred L. Morris

Henric Larsson

Richard D. Harris

Robert J. Kretschmann

Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

3. Nature of conveyance:



Assignment



Merger



Security Agreement



Change of Name



Other

Execution Date: 11/14/12/10; 12/5; 11/21; 11/28/01

2. Name and address of receiving party(ies)

Name: Rockwell Automation Technologies, Inc.

Internal Address:

Street Address: 1 Allen-Bradley Drive

City: Mayfield Heights State: Ohio Zip: 44124

Additional name(s) & address(es) attached? ☐ Yes ☒ No

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is:

A. Patent Application No.(s)

10/001,412

B. Patent No.(s)

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Susan M. Donahue

Internal Address: Rockwell Automation

Street Address: 1201 South Second Street, 8-T29

City: Milwaukee State: WI Zip: 53204

6. Total number of applications and patents involved: 1

7. Total fee (37 CFR 3.41).....\$ 40.00

☐ Enclosed☒ Authorized to be charged to deposit account

8. Deposit account number: 17-0055

(Attach duplicate copy of this page if paying by deposit account)

DO NOT USE THIS SPACE

9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Keith M. Baxter

Name of Person Signing

Signature

January 9, 2002

Date

Total number of pages including cover sheet, attachments, and documents: 9

Mail documents to be recorded with required cover sheet information to:

Commissioner of Patents & Trademarks, Box Assignments
Washington, D.C. 20231

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PATENT
REEL: 012626 FRAME: 0416

ASSIGNMENT OF INVENTION
TO
ROCKWELL AUTOMATION TECHNOLOGIES, INC.

WHEREAS, Patrick C. Herbert of Mentor, State of Ohio; Jeffrey R. Annis of Waukesha, State of Wisconsin; Jun J. Yao of San Diego, State of California; Winfred L. Morris of Thousand Oaks, State of California; Henric Larsson of Oak Park, State of California; Richard D. Harris of Solon, State of Ohio; and Robert J. Kretschmann of Bay Village, State of Ohio (hereinafter sometimes referred to as said inventors), has invented certain new and useful improvements in MICROELECTROMECHANICAL SYSTEM WITH IMPROVED BEAM SUSPENSION which invention (hereinafter sometimes referred to as said invention) has been disclosed to and filed, under Docket No. 01AB013, in the patent files of inventor's employer, ROCKWELL AUTOMATION TECHNOLOGIES, INC., and which invention is covered by a patent application, executed and filed concurrently herewith in the Patent and Trademark Office for the United States of America.

NOW THEREFORE, it is agreed that, for good and valuable consideration, the receipt and sufficiency of which are hereby acknowledged, said inventor has sold, assigned and transferred and by these present does sell, assign and transfer unto ROCKWELL AUTOMATION TECHNOLOGIES, INC., the entire right, title and interest in said invention in the United States of America and all foreign countries and in and to said U.S. patent application and all divisions, substitutions, continuations and continuations-in-part thereof, and in all United States Letters Patents which may be granted thereon and all reissues and extensions thereof, and in all priority rights under the International Convention for the Protection of Industrial Property for every member country, and in all applications for patents (including related rights such as utility-model registrations, inventor's certificates, and the like) heretofore or hereafter filed for said invention in any foreign countries, and in all patents (including all extensions, renewals and reissues thereof) granted for said invention; said applications and patents to be held and enjoyed by the said ROCKWELL AUTOMATION TECHNOLOGIES, INC., for its own use and for the use of its successors and assigns, to the full end of the term for which Letters Patent on same may be granted, as fully and entirely as the same would have been held by said inventor had this assignment and sale not been made.

FURTHER, said inventor hereby agrees to sign all lawful papers necessary or helpful in obtaining patents on said invention or in filing any divisional, continuation,

substitution, or reissue patent application or re-examination proceedings on said invention whether in the United States of America or in any foreign country.

Executed at Twinsburg, OH this 14 day of November, 2001.

Patrick C. Herbert
Patrick C. Herbert, Inventor

State of OH)

County of Summit)

On this 14th day of November, 2001, before me personally appeared Patrick C. Herbert to me known to be the person whose name is subscribed to the foregoing instrument, and acknowledged to me that he/she executed the same.

Rosalie Burbridge

Notary Public

My Commission Expires: 4-22-2004



ROSALIE BURBRIDGE
Notary Public, State of Ohio
Recorded in Portage Cty.
My Comm. Expires 4-22-2004

(SEAL)

Executed at Milwaukee, WI this 10 day of December, 2001.

Jeffrey R. Annis
Jeffrey R. Annis, Inventor

State of)

County of Milwaukee)

On this 10th day of December, 2001, before me personally appeared Jeffrey R. Annis to me known to be the person whose name is subscribed to the foregoing instrument, and acknowledged to me that he/she executed the same.

Linda K. Jansen

Notary Public

My Commission Expires: April 10, 2005

(SEAL)

Executed at San Diego, CA this 5th day of December, 2001.

Jun J. Yao
Jun J. Yao, Inventor

State of California)
County of San Diego)

On this _____ day of _____, 2001, before me personally appeared Jun J. Yao to me known to be the person whose name is subscribed to the foregoing instrument, and acknowledged to me that he/she executed the same.

Notary Public
My Commission Expires: _____

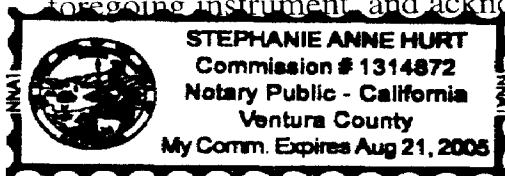
(SEAL)

Executed at THOUSAND OAKS, CA this 21ST day of NOVEMBER, 2001.

Winfred L. Morris
Winfred L. Morris, Inventor

State of CALIFORNIA)
County of VENTURA)

On this 21ST day of NOVEMBER, 2001, before me personally appeared Winfred L. Morris to me known to be the person whose name is subscribed to the foregoing instrument, and acknowledged to me that he/she executed the same.



Stephanie Anne Hurt
Notary Public
My Commission Expires: AUG. 21, 2005

(SEAL)

Executed at Westlake Village, CA this 28 day of November, 2001.

Henric Larsson

Henric Larsson, Inventor

State of CALIFORNIA)
County of VENTURA)



On this 28th day of November, 2001, before me personally appeared Henric Larson to me known to be the person whose name is subscribed to the foregoing instrument, and acknowledged to me that he/she executed the same.

[Signature]

Notary Public

My Commission Expires: 19 Aug 2003

(SEAL)

Executed at Twinsburg, OH this 14th day of November, 2001.

Richard D. Harris

Richard D. Harris, Inventor

State of Ohio)
County of Summit)

On this 14th day of NOVEMBER, 2001, before me personally appeared Richard D. Harris to me known to be the person whose name is subscribed to the foregoing instrument, and acknowledged to me that he/she executed the same.

Rosalie Burbidge

Notary Public

My Commission Expires: 4-22-01

(SEAL)



ROSALIE BURBRIDGE
Notary Public, State of Ohio
Recorded in Portage Cty.
My Comm. Expires 4-22-2004

Executed at Twinsburg, Ohio this 14 day of November, 2001.

Robert J. Kretschmann

Robert J. Kretschmann, Inventor

State of Ohio)

County of Lorain)

On this 14th day of November, 2001, before me personally appeared Robert J. Kretschmann to me known to be the person whose name is subscribed to the foregoing instrument, and acknowledged to me that he/she executed the same.

Rosalie Burbridge

Notary Public

My Commission Expires: 4-22-2004



ROSALIE BURBRIDGE
Notary Public, State of Ohio
Recorded in Portage
My Comm. Expires 4-22-2004

(SEAL)

QBMKEN110003.97478\5139915.1
10/24/01

CALIFORNIA ALL-PURPOSE ACKNOWLEDGEMENT

STATE OF California
 COUNTY OF San Diego } SS.

On Dec. 5, 2001 before me, the undersigned, a Notary Public in and for said State personally
 appeared Jun J. Yao
 Name(s) of Signer(s)

☒ Personally known to me OR ☒ proved to me on the basis of satisfactory evidence to be the person(s) whose
 name(s) is/are subscribed to the within instrument and
 acknowledged to me that he/she/they executed the same in
his/her/their authorized capacity(ies), and that by his/her/their
 signature(s) on the instrument the person(s), or the entity
 upon behalf of which the person(s) acted, executed the
 instrument.



Witness my hand and official seal.

Heather Elton
 Signature of Notary

Heather Elton
 Name (Typed or Printed)

(This area for official notarial seal)

Capacity Claimed by Signer

- ☒ Individual(s)
☐ Corporate Officer(s) - Title(s) _____

☐ Partner(s)
☐ Attorney-In-Fact
☐ Trustee(s)
☐ Guardian/Conservator
☐ Other: _____

Description of Attached Document

This certificate must be attached to the document
 described below:

Title or type of document Patent Application
for microelectromechanical system

Number of Pages 22

Date of Document 12/5/01

Signer(s) Other than Named Above _____

Signer is Representing:

Name of person(s) or Entity(ies)

ATTENTION NOTARY

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