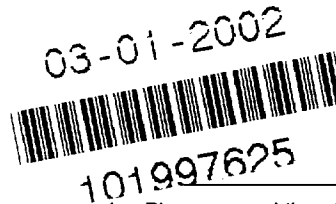


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U.S. Patent and Trademark Office

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1. Name of conveying party(ies): a) Howard Yaphe 10/19/2000 b) Pascal Toupin 10/24/2000 c) Robert Katz 10/25/2000 d) Sylvain Duchesne 10/25/2000 Additional name(s) of conveying party(ies) attached? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	2. Name and address of receiving party(ies) Name: CANLYTE INC. Internal Address: Street Address: 3015 Louis Amos City: Lachine Province: Quebec Zip: H8T 1C4 Country: Canada Additional name(s) & address(es) attached? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No
3. Nature of conveyance: <input type="checkbox"/> Assignment <input type="checkbox"/> Merger <input type="checkbox"/> Security Agreement <input type="checkbox"/> Change of Name <input checked="" type="checkbox"/> Other Request to correct assignment recorded on reel/frame: 012221/0431 Execution Dates: a) 10/19/2000 b) 10/24/2000 c) 10/25/2000 d) 10/25/2000	
4. Application number(s) Patent number(s): If this document is being filed together with a new application, the execution date of the application is: A. Patent Application No.(s) 09965156 B. Patent No.(s) Additional numbers attached? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
5. Name and address of party to whom correspondence concerning document should be mailed: Name: Jeffrey A. Haeblerlin Internal Address: MIDDLETON REUTLINGER Street Address: 2500 Brown & Williamson Tower City: Louisville State: KY Zip: 40202	6. Total number of applications and patents involved: 1 7. Total fee (37 CFR 3.41).....\$ 40.00 <input checked="" type="checkbox"/> Enclosed [PTO-2038 credit card form] <input type="checkbox"/> Authorized to be charged to deposit account 8. Deposit account number: (Attach duplicate copy of this page if paying by deposit account)
9. Statement and signature. <i>To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document</i> Jeffrey A. Haeblerlin Reg. No. 40,630 Name of Person Signing Date: Dec. 13, 2001 Total number of pages including cover sheet, attachments, and documents: 19	

02/28/2002 LNUELLER 00000018 09965156

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Washington, D.C. 20231

PATENT
REEL: 012628 FRAME: 0179

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(Rev. 03/01)
OMB No. 0651-0027 (exp. 5/31/2002)
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1. Name of conveying party(ies): a) Howard Yaphe 10/19/2000
b) Pascal Toupin 10/24/2000
c) Robert Katz 10/25/2000
d) Sylvain Duchesne 10/25/2000
Additional name(s) of conveying party(ies) attached? ☐ Yes ☐ No

09/27/01

3. Nature of conveyance:

☒ Assignment ☐ Merger
☐ Security Agreement ☐ Change of Name
☐ Other

Execution Dates: a) 10/19/2000
b) 10/24/2000
c) 10/25/2000
d) 10/25/2000

2. Name and address of receiving party(ies)
Name: GENLYTE THOMAS GROUP LLC
A Delaware Limited Liability Company
Internal Address:

Street Address: 4360 Brownsboro Road

City: Louisville State: KY Zip: 40207

Additional name(s) & address(es) attached? ☐ Yes ☐ No

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is: 09272001

A. Patent Application No.(s)

B. Patent No.(s)

Additional numbers attached? ☐ Yes ☐ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Jeffrey A. Haeberlin

Internal Address: MIDDLETON REUTLINGER

Street Address: 2500 Brown & Williamson Tower

City: Louisville State: KY Zip: 40202

6. Total number of applications and patents involved: 1

7. Total fee (37 CFR 3.41).....\$ 40.00

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To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document

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Reg. No. 40,630
Name of Person Signing

Signature

Date

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10/02/2001 VTRLDNB1 00000070 09965156

10/02/2001

40.00 09

PATENT
REEL: 012628 FRAME: 0180

2AB-0033

ASSIGNMENT

In consideration of One Dollar and other good and valuable consideration, of which we acknowledge receipt,

we, **Howard YAPHE, Pascal TOUPIN, Robert KATZ and Sylvain DUXCHESNE,**

Canadian citizens residing at:

827 Place Simon, Ville St-Laurent, Quebec H4M 2W3;

232 A Kensington Street, Cornwall, Ontario K6H 3E9;

3570 Ridgewood, Apt. 305, Montreal, Quebec H3V 1C2; and

5338 Chabot, Montreal, Quebec H2H 1Y8

respectively sell and assign to Canlyte Inc., whose complete address is 3015, rue Louis Amos, Lachine, Quebec H8T 1C4, its successors and assigns, all right, title and interest in the improvements in

END CAP JOINT FOR LINEAR FIXTURES

invented by us, as described in the application for CANADIAN LETTERS patent and any and all applications for patent and patents therefor in any and all countries, including all divisions, reissues, continuations and extensions thereof, and all rights of priority resulting from the filing of said CANADIAN patent application and authorize and request any official whose duty it is to issue patents, to issue any patent on said improvements or resulting therefrom to said Canlyte Inc., or its successors or assigns and agree that on request and without further consideration, but at the expense of said Company, we will communicate to said Company or its representatives or nominees any facts known to us respecting said improvements and testify in any legal proceeding, sign all lawful papers, execute all divisional, continuing and reissue applications, make all rightful oaths and generally do everything possible to aid said Company, its successors, assigns, and nominees to obtain and enforce proper patent protection for said invention in all countries.

1.

SIGNED AT Montreal this 19th day of October, 2000.

S. Robillard

SIGNATURE OF WITNESS

Howard Yaphe

DECLARATION:

I, Sophie Robillard whose full post office address is:
(Name of Witness)

3015 LOUIS AMOS LACHINE QUEBEC H8T 1C4

(Address of Witness)

declare:

That I was personally present and did see Howard Yaphe
who is personally known to me to be the person named in the above assignment, duly sign and execute the same.

Declared at Lachine Quebec this 19th day of October 2000.

S. Robillard

Signature of Witness

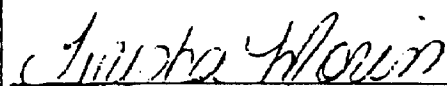
PATENT

REEL: 012628 FRAME: 0181

2AB-0032

PAGE 2.

2.

SIGNED AT CORNWALL this 24th day of OCTOBER, 2000.

SIGNATURE OF WITNESS



Pascal Toupin

DECLARATION:

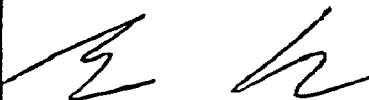
I, TRISHA MORIN whose full post office address is:
(Name of Witness)232 A KENNINGTON STREET, CORNWALL, ONTARIO, K6H3E9
(Address of Witness)

declare:

That I was personally present and did see PASCAL TOUPIN
who is personally known to me to be the person named in the above assignment, duly sign and execute the same.Declared at CORNWALL, ONTARIO this 24th day of OCTOBER 2000.

Signature of Witness

3.

SIGNED AT Montreal this 25th day of October, 2000.

SIGNATURE OF WITNESS



Robert Katz

DECLARATION:

I, Glen Beson whose full post office address is:
(Name of Witness)215 Fiac Lax mte PE H3A 3A7
(Address of Witness)

declare:

That I was personally present and did see Robert Katz
who is personally known to me to be the person named in the above assignment, duly sign and execute the same.Declared at mte this 25th day of OCT 2000.

Signature of Witness

REEL: 012628 FRAME: 0182

2AB-0033

PAGE 3.

4.

SIGNED AT MONTREAL this 25th day of OCTOBER, 2000.


SIGNATURE OF WITNESS


Sylvain Duchesne

DECLARATION:

I, Glen Perry whose full post office address is:
(Name of Witness)

215 Finclooy Mtl PQ H3+31A7
(Address of Witness)

declare:

That I was personally present and did see Sylvain Duchesne
who is personally known to me to be the person named in the above assignment, duly sign and
execute the same.

Declared at Mtl this 25th day of OCT 2000.


Signature of Witness

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

FEE TRANSMITTAL for FY 2002

Patent fees are subject to annual revision.

TOTAL AMOUNT OF PAYMENT (\$) 40.00

Complete if Known

Application Number	09/965,156
Filing Date	
First Named Inventor	Yaphe, Howard
Examiner Name	
Group Art Unit	2875
Attorney Docket No.	ZL369-02011

METHOD OF PAYMENT

- 1.
- ☐
- The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:

Deposit Account Number Deposit Account Name ☐ Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17☐ Applicant claims small entity status. See 37 CFR 1.27

- 2.
- ☒
- Payment Enclosed:

☐ Check ☒ Credit card ☐ Money Order ☐ Other**FEE CALCULATION****1. BASIC FILING FEE**

Large Entity Small Entity

Fee Code	Fee (\$)	Fee Code	Fee (\$)	Fee Description	Fee Paid
101	740	201	370	Utility filing fee	
106	330	206	165	Design filing fee	
107	510	207	255	Plant filing fee	
108	740	208	370	Reissue filing fee	
114	160	214	80	Provisional filing fee	

SUBTOTAL (1) (\$)

2. EXTRA CLAIM FEES

Total Claims	Extra Claims	Fee from below	Fee Paid
Independent Claims	-20** =	X	
Multiple Dependent	-3** =	X	

Large Entity Small Entity

Fee Code	Fee (\$)	Fee Code	Fee (\$)	Fee Description
103	18	203	9	Claims in excess of 20
102	84	202	42	Independent claims in excess of 3
104	280	204	140	Multiple dependent claim, if not paid
109	84	209	42	** Reissue independent claims over original patent
110	18	210	9	** Reissue claims in excess of 20 and over original patent

SUBTOTAL (2) (\$)

**or number previously paid, if greater; For Reissues, see above

FEE CALCULATION (continued)**3. ADDITIONAL FEES**

Fee Code	Large Entity (\$)	Fee Code	Small Entity (\$)	Fee Description	Fee Paid
105	130	205	65	Surcharge - late filing fee or oath	
127	50	227	25	Surcharge - late provisional filing fee or cover sheet	
139	130	139	130	Non-English specification	
147	2,520	147	2,520	For filing a request for <i>ex parte</i> reexamination	
112	920*	112	920*	Requesting publication of SIR prior to Examiner action	
113	1,840*	113	1,840*	Requesting publication of SIR after Examiner action	
115	110	215	55	Extension for reply within first month	
116	400	216	200	Extension for reply within second month	
117	920	217	460	Extension for reply within third month	
118	1,440	218	720	Extension for reply within fourth month	
128	1,960	228	980	Extension for reply within fifth month	
119	320	219	160	Notice of Appeal	
120	320	220	160	Filing a brief in support of an appeal	
121	280	221	140	Request for oral hearing	
138	1,510	138	1,510	Petition to institute a public use proceeding	
140	110	240	55	Petition to revive - unavoidable	
141	1,280	241	640	Petition to revive - unintentional	
142	1,280	242	640	Utility issue fee (or reissue)	
143	460	243	230	Design issue fee	
144	620	244	310	Plant issue fee	
122	130	122	130	Petitions to the Commissioner	
123	50	123	50	Processing fee under 37 CFR 1.17(q)	
126	180	126	180	Submission of Information Disclosure Stmt	
581	40	581	40	Recording each patent assignment per property (times number of properties)	40.00
146	740	246	370	Filing a submission after final rejection (37 CFR § 1.129(a))	
149	740	249	370	For each additional invention to be examined (37 CFR § 1.129(b))	
179	740	279	370	Request for Continued Examination (RCE)	
169	900	169	900	Request for expedited examination of a design application	

Other fee (specify) _____

*Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$) 40.00

SUBMITTED BY

Name (Print/Type) Jeffrey A. Haerberlin

Registration No. 40,630
(Attorney/Agent)**Complete (if applicable)**

Telephone (502) 584-1135

Signature

Date

Dec 13, 2001

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PATENT**REEL: 012628 FRAME: 0184**



UNITED STATES
PATENT AND
TRADEMARK OFFICE

DECEMBER 07, 2001

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Under Secretary of Commerce For Intellectual Property and
Director of the United States Patent and Trademark Office
Washington, DC 20231
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MIDDLETON REUTLINGER
JEFFREY A. HAEBERLIN
2500 BROWN & WILLIAMSON TOWER
LOUISVILLE, KY 40202



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RECORDATION DATE: 09/27/2001

REEL/FRAME: 012221/0431
NUMBER OF PAGES: 4

BRIEF: ASSIGNMENT OF ASSIGNOR'S INTEREST (SEE DOCUMENT FOR DETAILS).

ASSIGNOR:

YAPHE, HOWARD

DOC DATE: 10/19/2000

ASSIGNOR:

TOUPIN, PASCAL

DOC DATE: 10/24/2000

ASSIGNOR:

KATZ, ROBERT

DOC DATE: 10/25/2000

ASSIGNOR:

DUCHESNE, SYLVAIN

DOC DATE: 10/25/2000

ASSIGNEE:

~~CENLYTE THOMAS GROUP LLP A~~
~~DELAWARE LIMITED LIABILITY~~
~~COMPANY~~
~~4360 BROWNSBORO ROAD~~
~~LOUISVILLE, KENTUCKY 40207~~

Canlyte Inc.
3015 Louis Amos
Lachine, Quebec
Canada H8Z 1C4

SERIAL NUMBER: 09965156
PATENT NUMBER:

FILING DATE: 09/27/2001
ISSUE DATE:

PATENT
REEL: 012628 FRAME: 0185

PAULA MCCRAY, EXAMINER
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