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U.S. DEPARTMENT OF COMMERCE
U.S. Patent and Trademark Office

OMB No. 0651-0027 (exp. 5/31/2002)

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To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):

Jeffrey Allen Sturgill, Andrew Wells Phelps and Joseph Thomas Swartzbaugh

2. Name and address of receiving party(ies)

Name: University of Dayton

Internal Address: _____

Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

3. Nature of conveyance:



Assignment



Merger



Security Agreement



Change of Name



Other _____

Execution Date: October 19, 2001

Street Address: 300 College Park

City: Dayton State: OH Zip: 45469

Additional name(s) & address(es) attached? ☐ Yes ☒ No

FEB 22 2002

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is: _____

A. Patent Application No.(s)

10/038,150

B. Patent No.(s)

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Killworth, Gottman, Hagan & Schaeff, LLP

Internal Address: _____

Street Address: One Dayton Centre

One South Main Street, Suite 500

City: Dayton State: OH Zip: 45402

6. Total number of applications and patents involved: 1

7. Total fee (37 CFR 3.41).....\$ 40.00



Enclosed



Authorized to be charged to deposit account

8. Deposit account number:

(Attach duplicate copy of this page if paying by deposit account)

DO NOT USE THIS SPACE

9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Brian L. Smiler

Name of Person Signing

Signature

01/23/2002

Date

Total number of pages including cover sheet, attachments, and documents: 8

Mail documents to be recorded with required cover sheet information to:

Commissioner of Patents & Trademarks, Box Assignments
Washington, D.C. 20231

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PATENT
REEL: 012629 FRAME: 0295

ASSIGNMENT

WHEREAS, we, **Jeffrey Allen Sturgill**, of Fairborn, Ohio; **Andrew Wells Phelps**, of Kettering, Ohio; and **Joseph Thomas Swartzbaugh**, of Phillipsburg, Ohio, invented certain new and useful **NON-TOXIC CORROSION-PROTECTION RINSES AND SEALS BASED ON COBALT** for which we executed an application for a United States Patent and for which said application for United States Patent was filed on January 4, 2002, under

☒ Patent Application Serial No. 10/038,150

☒ Attorney Docket No. UVD 0299 PA;

WHEREAS, we hereby authorize and request the attorney(s) and/or agent(s) empowered to act on our behalf in the aforementioned application, to insert above the filing date and application number of said application, when known;

AND WHEREAS, University of Dayton, a corporation of the State of Ohio, with a place of business at 300 College Park, Dayton, Ohio 45469, hereinafter called the Assignee, is desirous of acquiring the entire right, title and interest in and to said application and the inventions therein disclosed and any letters patent that may issue thereon;

NOW, THEREFORE, for good and valuable consideration, receipt whereof is hereby acknowledged, we hereby sell, assign and transfer unto said Assignee, its successors and assigns, the entire right, title and interest in and to said application and any divisions or continuations thereof, and the inventions therein disclosed, and any improvements thereon, and any patent or patents that may be issued or reissued thereon, and we hereby authorize and request the Commissioner of Patents and Trademarks to issue any letters patent thereon, and reissues thereof, to said Assignee, its successors and assigns; and we hereby authorize said Assignee, its successors and assigns, to file in its own name applications for patent in foreign countries in connection with the inventions hereby transferred, under the International Convention claiming the priority of said United States application or otherwise, and to secure in its own name the patent or patents issued thereon; and we hereby agree that, upon request, we will sign all papers, and make all rightful oaths, and do all acts which said Assignee, its successors or assigns, may consider necessary in connection with said United States application, and in connection with any other United States or foreign applications that may be filed in connection with said inventions, and with any improvements thereon, and in connection with any patents issued or reissued thereon.

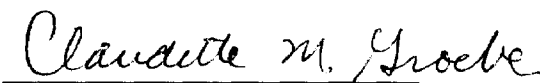
IN TESTIMONY WHEREOF, I hereunto set my hand and seal this 19th day of October, 2001.


Jeffrey Allen Sturgill


State of Ohio)
County Montgomery)

On this 19th day of October, 2001 before me a Notary Public in and for the above County and State, personally appeared the above named **Jeffrey Allen Sturgill**, personally known to me, and acknowledged the execution of the foregoing assignment as a free act and deed for the purpose herein set forth.

CLAUDETTE M. GROEBER, Attorney at Law
Notary Public, State of Ohio
My Commission has no Expiration Date
Section 147.03 O.R.C.

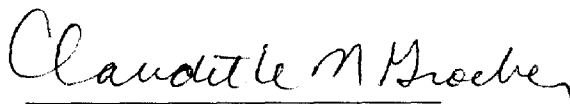

Notary Public

IN TESTIMONY WHEREOF, I hereunto set my hand and seal this 19th day of October, 2001.


Andrew Wells Phelps

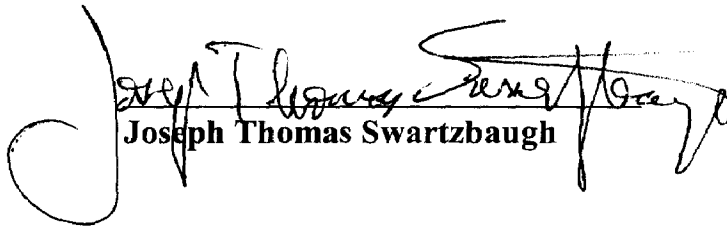
State of Ohio)
County Montgomery)

On this 19th day of October, 2001 before me a Notary Public in and for the above County and State, personally appeared the above named **Andrew Wells Phelps**, personally known to me, and acknowledged the execution of the foregoing assignment as a free act and deed for the purpose herein set forth.


Notary Public

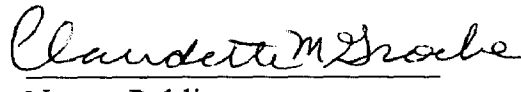
CLAUDETTE M. GROEBER, Attorney at Law
Notary Public, State of Ohio
My Commission has no Expiration Date
Section 147.03 O.R.C.

IN TESTIMONY WHEREOF, I hereunto set my hand and seal this 22 day
of October, 2001.


Joseph Thomas Swartzbaugh

State of Ohio)
County Montgomery)

On this 22nd day of October, 2001 before me a Notary Public in and
for the above County and State, personally appeared the above named **Joseph Thomas
Swartzbaugh**, personally known to me, and acknowledged the execution of the foregoing
assignment as a free act and deed for the purpose herein set forth.


Notary Public

CLAUDETTE M. GROEBER, Attorney at Law
Notary Public, State of Ohio
My Commission has no Expiration Date
Section 147.03 O.R.C.