

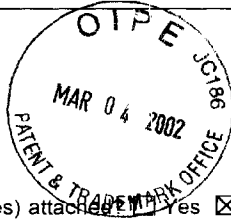


Tab settings ⇨ ⇨ ⇨ ▼ ▼ ▼

102007351

To the Honorable Commissioner of Patents and Trademarks: Please Record the attached original documents or copy thereof.

1. Name of conveying party(ies):
Karl T. Chuang
Yung F. Chen



Additional name of conveying party(ies) attached? ☐ Yes ☒ No

MRD 3/4/2

3. Nature of conveyance:

- ☒ Assignment ☐ Merger
☐ Security Agreement ☐ Change of Name
☐ Other

Execution Date: November 28, 2001 and January 22, 2002

2. Name and address of receiving party(ies)

Name: The Governors of the University of Alberta

Internal Address: 222 Campus Tower

8625-112 Street

Street Address: 222 Campus Tower

8625-112 Street

City: Edmonton State: Alberta Zip: T6G 2E1

Country: Canada

Additional Name(s) & address(es) attached? ☐ Yes ☒ No

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution data of the application is:

A. Patent Application No.(s)
09/918,474

B. Patent No.(s)

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning this document should be mailed:

Name: Philip C. Mendes da Costa

Internal Address: c/o Bereskin & Parr

Street Address: Box 401, 40 King Street West

City: Toronto State: Ontario Zip: M5H 3Y2

Country: Canada

6. Total number of applications and patents involved: 1

7. Total fee (37 CFR 3.41) \$ 40.00

- ☒ Enclosed (cheque No. 4202)
☒ Authorized to be charged to deposit account

8. Deposit account number:

022095

(Attach duplicate copy of this page if paying by deposit account)

DO NOT USE THIS SPACE

9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Philip C. Mendes da Costa Regn. 33,106
Name of Person Signing

Signature

March 1, 2002
Date

B&P File#11157-33

Total number of pages including cover sheet, attachments, and documents:

Mail documents to be recorded with required cover sheet information to:
Commissioner of Patents & Trademarks, Box Assignments
Washington, D.C. 20231

03/05/2002 GTEFFERA 00000073 09918474

02 FC:581

40.00 DP

ASSIGNMENT

In consideration of the sum of One Dollar (\$1.00) and other good and valuable consideration, the receipt and sufficiency of all of which is hereby acknowledged, we **Karl T. Chuang**, whose full post office address is 8742-117 Street, Edmonton, Alberta, T6J 6A6, Canada, and **Yung F. Chen**, whose full post office address is No. 57, Cheng 3 Street, Taoyuan City, Taiwan hereby confirm that we have sold and assigned, and do hereby sell and assign to The Governors of the University of Alberta, 222 Campus Tower, 8625-112 Street, Edmonton, Alberta, T6G 2E1, Canada, as assignee, all of our right, title and interest for Canada, the United States of America and all other countries in and to an invention entitled:

PROCESS FOR PRODUCTION OF ALCOHOLS

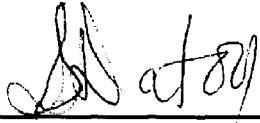
as described and illustrated in the United States Patent Application No. 09/918,474 filed on August 1, 2001, and all of our right, title and interest in and to said patent application and in and to any divisions, continuations, extensions of, and supplementary disclosures thereto, and in and to any patents that may be granted for said invention and in and to any additions or modifications to said invention.

We agree that we will without further consideration do all such things and execute all such documents as may be necessary or desirable to obtain and maintain patents for said inventions and for additions and modifications thereto in any and all countries, and to vest title thereto in said assignee, its successors, assigns and legal representatives or nominees.

We authorize and empower said assignee, its successors, assigns and legal representatives or nominees, to invoke and claim for any application for patent or other form of protection for said invention or for additions and modifications thereto, filed by it or them, the benefit of the right of priority provided by the International Convention for the Protection of Industrial Property, as amended, or by any convention which may henceforth be substituted for it, and to invoke and claim such right of priority without further written or oral authorization from us.

The Commissioner of Patents & Trademarks is hereby authorized and requested to issue said Letters Patent to said **The Governors of the University of Alberta**, the assignee of the entire right, title and interest in and to the same, for its sole use and benefit; and for the use and benefit of its successors and assigns, to the full end of the term for which Letters Patent may be granted, as fully and entirely as the same would have been held by us had this assignment and sale not been made.

SIGNED AT Edmonton, Alberta, Canada, this 28th day of November, 2001.

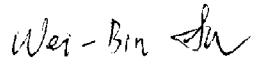


Witness

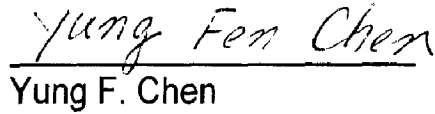

Karl T. Chuang

SIGNED AT Chia-Yi, Taiwan,
2002.

this 22 day of January,



Witness


Yung F. Chen