

FORM PTO-1595
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OMB No. 0651-0011 (Exp. 04/94)



Attorney Docket No.: UNM-01000

Assistant Commissioner for Patents
Washington, D.C. 20231

102008402

Sir:

Please record the attached original documents or copy thereof.

1. Name of conveying party(ies): University of New Mexico Patent Administration Office Hokona Hall, Zuni Wing, Room 357 Albuquerque, NM 87131 Additional name(s) of conveying party(ies) attached.		2. Name and address of receiving party(ies): Science & Technology Corporation 851 University Boulevard, SE Suite 200 Albuquerque, NM 87106 Additional name(s) and address(es) attached.	
3. Nature of conveyance: <input checked="" type="checkbox"/> Assignment <input checked="" type="checkbox"/> Security Agreement <input checked="" type="checkbox"/> Other: <u>Addendum</u> Execution Date: <u>1/27/00</u> <input type="checkbox"/> Merger <input type="checkbox"/> Change of Name		OFFICE OF THE US RECORDS JAN 31 2002 4 09 PM '02	
4. Application number(s) or patent number(s): <input checked="" type="checkbox"/> This document is being filed together with a new application, the execution date of the application is: <input checked="" type="checkbox"/> Patent Application Serial No.(s): <u>09/316,470</u> , filed on <u>05/21/99</u> and issued as: <u>6,232,794 B1</u> on <u>5/15/01</u> . <input type="checkbox"/> Patent No.(s): <input type="checkbox"/> Additional numbers attached.			
5. Name and address of party to whom correspondence concerning document should be mailed: Thomas B. Haverstock HAVERSTOCK & OWENS LLP 162 North Wolfe Road Sunnyvale, CA 94086		6. Total number of applications and patents involved: <u>1</u>	
		7. Total fee(s) [37 CFR §§ 1.21(h) and 3.41]: <input checked="" type="checkbox"/> Check(s) in the amount of \$40.00 Assignment Recordation Fee enclosed.	
		8. Authorization to Charge Additional Fees: <input checked="" type="checkbox"/> The Commissioner is hereby authorized to charge any additional fees or credit any overpayment associated with this communication and which may be required under 37 CFR §§ 1.21(h) and 3.41 to Deposit Account No. <u>08-1275</u> .	
9. To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.			
Dated: <u>1-31</u> , 2001		Signature: <u>Thomas B. Haverstock</u> Name: <u>Thomas B. Haverstock</u> Reg. No.: <u>32,571</u>	
Total number of pages including cover sheet, attachments, and document: <u>4</u>			

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INVENTION ASSIGNMENT TO STC

INVENTION ASSIGNMENT from the University of New Mexico, an educational institution of the State of New Mexico, whose address is c/o Patent Administration Office, Hokona Hall, Zuni Wing, Rm. 357, Albuquerque, New Mexico 87131 (the "University") to Science & Technology Corporation @ UNM, a nonprofit corporation formed by the University to commercialize technology developed at the University, whose address is 851 University Blvd. SE, Suite 200, Albuquerque, New Mexico 87106 ("STC").

WHEREAS, certain inventors (the "Inventors") while employed by the University, conceived and/or reduced to practice, and subsequently assigned to the University, one or more inventions which are described both in the disclosure described below and in other materials associated with the disclosure, including materials prepared after the date of such assignment to the University. The disclosure is identified as:

<u>UNM Docket No.</u>	<u>Title</u>
UNM-499	Electronic Circuit with Automatic Signal Conversion

(both the disclosure and the associated materials are collectively referred to herein as the "Invention Disclosure");

WHEREAS, one or more patent application(s) either have been or may be filed in the future in the United States Patent and Trademark Office and other patent offices covering the invention or inventions described in the Invention Disclosure (collectively, the "Patent Application(s)"). Any such patent application(s) filed as of the date hereof are described below:

<u>Patent App. Serial No.</u>	<u>Country</u>	<u>Date of Filing</u>	<u>Title</u>
09/316,470	USA	5/21/99	Electronic Circuit with Automatic Signal Conversion

and

WHEREAS, STC, pursuant to an agreement between it and the University, has requested the University to assign its entire right, title, and interest in and to invention(s) and related technology assigned by the Inventors to the University.

NOW, THEREFORE, the University does hereby assign and transfer unto STC all its right, title, and interest in and to: (a) the invention(s) described in the Invention Disclosure and/or Patent Application(s); (b) any technical information, know-how, trade secret, process, procedure, composition, biological materials, device, method, formula, protocol, technique, software, design, tradename, trademark, copyright, copyrightable material, drawing or data which is related to the aforesaid invention(s) and/or that is included in the Invention Disclosure, whether or not covered by the Patent Application(s); (c) the Patent Application(s) including but not limited to all divisions, reissues, continuations, continuations-in-part, continuing patent applications, substitutions, renewals, extensions filed and all patent(s) issuing thereon in the United States and all foreign countries; (d) to the extent the University owns the same under its

policies and practices, all improvements to the invention(s) described in the Invention Disclosure and/or Patent Application(s) hereafter made or invented by any of the Inventors (all of the foregoing, (a), (b), (c), and (d) being collectively referred to hereafter as the "Invention"); and (e) the right to assert claims and bring lawsuits for any violation or infringement of any of the intellectual property rights assigned hereby, including all patents issuing on the Patent Application(s).

THE UNIVERSITY FURTHER ACKNOWLEDGES AND AGREES AS FOLLOWS:

1. For the purpose of enabling STC, its successors and assigns, to obtain, defend and enforce all United States and foreign intellectual property rights in the Invention, the University agrees to provide all reasonable and necessary assistance in requiring the Inventors to timely communicate all information, execute all documents, testify in all legal proceedings and take all such other actions necessary or desirable to accomplish such purpose.
2. The University authorizes the attorneys of record for the Patent Application(s) to insert in this Assignment where indicated above, or in an Addendum to be attached hereto, the filing date, country where filed, title and serial number of such Patent Application(s) filed hereafter as they become officially known.
3. The University authorizes and requests all domestic and foreign patent office officials to issue all patents, when granted, to STC, its successors and assigns.
4. The University represents that no assignment, sale, agreement or encumbrance has been or will be made or entered into by it which would conflict with this Assignment other than the rights, if any, granted to the research sponsor under the research agreement attached hereto as Attachment 1.5.
5. Notwithstanding this Assignment, UNM reserves the right to use the Invention for internal research, development, and educational purposes only.
6. To the extent the Invention has been developed under one or more funding agreements with the Government of the United States of America, the Government has certain rights as specified in 35 U.S.C. §§200 *et seq.*, including the right of the Government to practice the Invention, or have the Invention practiced, on its behalf. This Assignment is explicitly made subject to such Government rights.

Regents of the University of New Mexico

By: Nasir Ahmed

Title: Assoc. Provost For Research

STATE OF NEW MEXICO)
COUNTY OF BERNALILLO)

ss.

The foregoing instrument was subscribed and acknowledged before me, this

27 day of January, 2000 by Nasir Ahmed

[Signature]
Notary Public

My Commission Expires:

Seal:

Feb. 15, 2003

Science & Technology Corporation @ UNM

By: Charles I. Wellborn
Charles I. Wellborn

Title: President

STATE OF NEW MEXICO)
COUNTY OF BERNALILLO)

ss.

The foregoing instrument was subscribed and acknowledged before me, this

7th day of February, 2000 by Charles I. Wellborn

G. L. Marousek
Notary Public

My Commission Expires: 10-6-2003

Seal:



OFFICIAL SEAL
G. L. MAROUSEK
NOTARY PUBLIC STATE OF NEW MEXICO
My commission expires: _____