

03-11-2002

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Commissioner for Patents  
Washington, D.C. 20231

j1017 U.S. PTO  
10/081905  
02/21/02

Please record the attached original document.

1. Name of conveying party(ies):

James L. Stopa

Todd J. Smith

2. Name and address of receiving party(ies):

Name: Whelen Engineering Company, Inc.

Street Address: Route 145, Winthrop Road

City, State, ZIP: Chester, Connecticut 06412

Country: U.S.A.

3. Nature of Conveyance: Assignment

Execution Date: February 20, 2002

10081905

4. This document is being filed with a new application, the execution date of which is February 20, 2002.

5. Name and address of party to whom correspondence concerning document should be mailed:

Guy D. Yale, Esq.

Alix, Yale & Ristas, LLP

750 Main Street

Hartford, CT 06103-2721

3. Total number of applications involved: 1

7. Total fee enclosed: \$40.00. If this amount is incorrect, please charge or credit the difference to Deposit Account No. 16-2563.

8. Total number of pages including cover sheet, attachments and document: 3

To the best of my knowledge and belief, the foregoing information is true and correct.

  
Guy D. Yale, Reg. No. 29,125

Date: February 21, 2002

Attorney's Docket No. WEN/253/US

03/08/2002 LMUELLER 00000178 10081905

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40.00 DP

EL 824181896 US

**PATENT**  
**REEL: 012643 FRAME: 0841**

**ASSIGNMENT**

WHEREAS, we, James L. Stopa and Todd J. Smith, residing at 3 Chestnut Circle, Old Saybrook, Connecticut 06475; and 21 Lafayette Avenue, Deep River, Connecticut 06417, have invented new and useful improvements in

**LED Light Assembly**

for which we are making application for Letters Patent of the United States, which application was executed by us on the 20<sup>th</sup> day of February, 2002; and

WHEREAS, Whelen Engineering Company, Inc., a Connecticut corporation, having a place of business at Route 145, Winthrop Road, Chester, Connecticut, 06412, is desirous of acquiring the entire right, title and interest in and to said improvements and any Letters Patent which may be granted thereon;

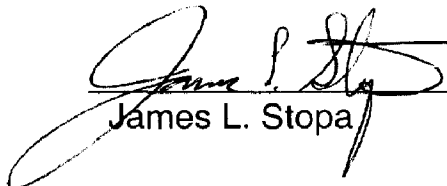
NOW, THEREFORE, TO ALL WHOM IT MAY CONCERN, be it known that for and in consideration of the sum of One (1) Dollar to us in hand paid and other good and valuable consideration, receipt of which is hereby acknowledged, we, the said James L. Stopa and Todd J. Smith, sell, assign and transfer to Whelen Engineering Company, Inc., its successors and assigns (hereinafter called "Assignee"), the entire right, title and interest in and to said improvements and in and to any Letters Patent which may be obtained thereon in the United States and in all countries foreign thereto, together with said application and all divisional, continuing, substitute, renewal, reissue, and other applications for Letters Patent which have been or may be filed on said improvements in the United States or any other country; the same to be held and enjoyed by the Assignee for its and their sole use and behoof; and we do hereby further assign to the Assignee the right to file applications for patent in all countries on said improvements and all rights of priority resulting from any application for Letters Patent filed on said improvements.

We hereby authorize and request the Commissioner of Patents and Trademarks to issue all Letters Patent of the United States on said improvements to the Assignee.

We further covenant and agree that when requested by the Assignee, and without further consideration, but at the cost and expense of the Assignee, we will, for any and all countries, execute and deliver all applications for patent on said improvements, execute all lawful oaths and other papers, supply to the Assignee all facts and evidence known to us relating to said improvements and the history and development thereof, testify in all interferences, suits, and other legal proceedings, and generally do everything rightful which the Assignee shall consider desirable for aiding in securing, maintaining, and enforcing proper patent protection for said improvements and for vesting the title to said improvements in the Assignee.

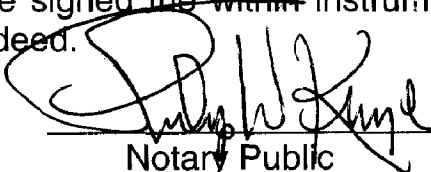
We further covenant that we have the lawful right to assign the interest in said improvements in the manner and form as herein expressed and that the interests herein conveyed are free from prior assignment, grant, mortgage, license, or other encumbrance whatsoever.

IN WITNESS WHEREOF, I have hereunto set my hand and seal as of and for this 20<sup>th</sup> day of February, 2002.

  
James L. Stopa


State of Connecticut ) ss: Chester, CT  
County of Middlesex )

On this 20<sup>th</sup> day of February, 2002, before me personally appeared James L. Stopa, who acknowledged that he signed the ~~within~~ instrument and that he executed the same as his own free act and deed.

  
Notary Public

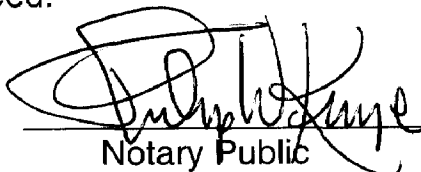
Date Commission Expires: 9-30-03

IN WITNESS WHEREOF, I have hereunto set my hand and seal as of and for this 20<sup>th</sup> day of February, 2002.

  
Todd J. Smith

State of Connecticut ) ss: Chester, CT  
County of Middlesex )

On this 20<sup>th</sup> day of February, 2002, before me personally appeared Todd J. Smith, who acknowledged that he signed the within instrument and that he executed the same as his own free act and deed.

  
Notary Public

Date Commission Expires: 9-30-03