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03-12-2002

U.S. DEPARTMENT OF COMMERCE
Patent and Trademark Office



Tab settings == == ==

To the Honorable Commissioner of Patents

102011039

Attached original documents or copy thereof.

1. Name of conveying party(ies):

Gregg W. Leaverton

2-6-02

Additional name(s) of conveying party(ies) attached?

☐ Yes ☒ No

2. Name and address of receiving party(ies):

Name: A. H. Equipment Corporation

Internal Address: 1311 Blu Gum Street

Street Address:

City: Anaheim State: CA ZIP: 92806

Additional name(s) & address(es) attached?

☐ Yes ☒ No

3. Nature of conveyance:

☒ Assignment

☐ Merger

☐ Security Agreement

☐ Change of Name

☐ Other

Execution Date: November 20, 2001

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is:

A. Patent Application No.(s)

B. Patent No.(s)

09/990,616

ID No. 101907895

Additional numbers attached?

☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Francis A. Utecht

Internal Address: Fulwider Patton Lee & Utecht

Street Address: 200 Oceangate, Suite 1550

City: Long Beach State: CA ZIP: 90802

6. Total number of applications and patents involved: 1

7. Total fee (37 CFR 3.41) \$ 40.00

☒ Enclosed

☐ Authorized to be charged to deposit account

8. Deposit account number:

(Attach duplicate copy of this page if paying by deposit account)

DO NOT USE THIS SPACE

9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Francis A. Utecht
Name of Person Signing

Signature

Date

Total number of pages including cover sheet, attachments, and document: 11

Mail documents to be recorded with required cover sheet information to:
Commissioner of Patents & Trademarks, Box Assignments
Washington, D.C. 20231

(Form PTO-1595--Recordation Form Cover Sheet - page 1 of 3)

PATENT
REEL: 012646 FRAME: 0535

Tab settings ==> AHEQU.57930

To the Honorable Commissioner of Patents and Trademarks, U.S. Department of Commerce
Please attach the attached original documents or copy thereof.

1. Name of conveying party(ies):

Gregg W. Leaverton

Additional name(s) of conveying party(ies) attached?

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Francis A. Utecht

Name of Person Signing

Signature

Date

Total number of pages including cover sheet, attachments, and document: 6

Mail documents to be recorded with required cover sheet information to:
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(Form PTO--1595--Recordation Form Cover Sheet - page 1 of 3)

PATENT
REEL: 012646 FRAME: 0536

For: U.S. Rights and Foreign Rights
For: U.S. Application
By: Inventor

ASSIGNMENT OF INVENTION

In consideration of the payment by ASSIGNEE to ASSIGNOR of the sum of One Dollar (\$1.00), the receipt of which is hereby acknowledged, and for other good and valuable consideration,

ASSIGNOR:

Gregg W. Leaverton
3627 Harvard
Oceanside, California 92056
Nationality: U.S.A.

hereby sells, assigns and transfers to

ASSIGNEE:

A. H. Equipment Corporation
1311 Blu Gum Street
Anaheim, CA 92806
State of Formation: California

and the successors, assigns and legal representatives of the ASSIGNEE the entire right, title and interest for the United States and its territorial possessions and in all foreign countries, including all rights to claim priority, in and to any and all improvements which are disclosed in the invention entitled:

INTERNAL SPA FILTER

and which is found in (37 C.F.R. Section 3.21) U.S. patent application executed on Nov 29 2001 and any legal equivalent thereof in a foreign country, including the right to claim priority and, in and to, all Letters Patent to be obtained for said invention by the above application or any continuation, division, renewal, or substitute thereof, and as to letters patent any reissue or re-examination thereof.

ASSIGNOR hereby covenants that no assignment, sale, agreement or encumbrance has been or will be made or entered into which would conflict with this assignment.

ASSIGNOR further covenants that ASSIGNEE will, upon its request, be provided promptly with all pertinent facts and documents relating to said invention and said Letters Patent and legal equivalents as

(Assignment of Invention--page 1 of 2)

may be known and accessible to ASSIGNOR and will testify as to the same in any interference, litigation or proceeding related thereto and will promptly execute and deliver to ASSIGNEE or its legal representatives any and all papers, instruments or affidavits required to apply for, obtain, maintain, issue and enforce said application, said invention and said Letters Patent and said equivalents thereof which may be necessary or desirable to carry out the purposes thereof.

IN WITNESS WHEREOF, I have hereunto set hand and seal this 20 day of November 2009.

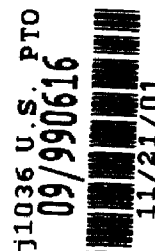
Date: November 20, 2009



Signature of Gregg W. Leaverton

(Assignment of Invention--page 2 of 2)

Box Patent Application
Commissioner for Patents
Washington, D.C. 20231



COVER SHEET FOR ASSIGNMENT (DOCUMENT) ACCOMPANYING
NEW PATENT APPLICATION (37 C.F.R. section 3.31)

IDENTIFICATION OF APPLICATION
(37 C.F.R. section 3.21 and 37 C.F.R. section 3.31(a)(4))

1. The patent application filed herewith and, to which the attached assignment (document) refers, is identified as follows:
 - a. Type of application: Application of patent (37 C.F.R. section 1.53(b))
 - b. Date of execution: November 21, 2001
 - c. Name of each inventor:
1: Leaverton, Gregg W.
 - d. Title of invention: INTERNAL SPA FILTER

CERTIFICATION UNDER 37 C.F.R. SECTION 1.10*

(Express Mail label number is **mandatory**.)

(Express Mail certification is optional.)

I hereby certify that this "Cover Sheet for Assignment (Document) Accompanying New Patent Application" and the documents referred to as attached therein are being deposited with the United States Postal Service on this date November 21, 2001, in an envelope as "Express Mail Post Office to Addressee," mailing Label Number EL921573803US, addressed to the: Assistant Commissioner for Patents, Washington, D.C. 20231.

Karen Earl

(type or print name of person mailing paper)

Karen Earl

Signature of person mailing paper

WARNING: Certificate of mailing (first class) or facsimile transmission procedures of 37 C.F.R. section 1.8 cannot be used to obtain a date of mailing or transmission for this correspondence.

***WARNING:** Each paper or fee filed by "Express Mail" **must** have the number of the "Express Mail" mailing label placed thereon prior to mailing. 37 C.F.R. section 1.10(b).

"Since the filing of correspondence under SECTION 1.10 without the Express Mail mailing label thereon is an oversight that can be avoided by the exercise of reasonable care, requests for waiver of this requirement will **not** be granted on petition." Notice of Oct. 24, 1996, 60 Fed. Reg. 56,439, at 56,442.

(Cover Sheet for Assignment Accompanying New Patent Application--page 1 of 3)

NAME OF PARTY(IES) CONVEYING INTEREST (37 C.F.R. section 3.31(a)(1))

2. The party conveying this interest is:
Name 1: Leaverton, Gregg W.

**NAME AND ADDRESS OF PARTY(IES) RECEIVING INTEREST
(37 C.F.R. section 3.31(a)(2))**

3. The rights are being conveyed to:
- A. H. Equipment Corporation
1311 Blu Gum Street
Anaheim, CA 92806
714-630-1150

**DESCRIPTION OF INTEREST CONVEYED OR TRANSACTION RECORDED
(37 C.F.R. section 3.31 (a)(3))**

4. The accompanying document intends to accomplish:
an assignment.

**NAME AND ADDRESS OF PARTY TO WHOM CORRESPONDENCE
SHOULD BE MAILED (37 C.F.R. section 3.31(a)(5))**

5. Please address correspondence to:
- Francis A. Utecht
200 Oceangate, Suite 1550
Long Beach, CA 90802
562-432-0453

DATE ASSIGNMENT (DOCUMENT) EXECUTED (37 C.F.R. section 3.31(a)(7))

6. The attached assignment (document) was executed on November 20, 2001.

LANGUAGE OF ASSIGNMENT (DOCUMENT) TO BE RECORDED

7. The attached document is in the English language.

ORIGINAL DOCUMENT OR TRUE COPY SUBMITTED

8. Submitted herewith is the original document.

**NUMBER OF APPLICATIONS IDENTIFIED IN THIS COVER SHEET
AND THE FEE**

9. A. This cover sheet identifies only one application.
B. The fee for recordal (37 C.F.R. section 1.21(h)) is \$40.00.
Attached is a check for \$40.00.

**STATEMENT (37 C.F.R. section 3.31(a)(9))
AND SIGNATURE (37 C.F.R. section 3.31(a)(10))**

10. To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Date:

Nov. 21, 2001



Francis A. Utecht
Registration No. 16,605
Fulwider Patton Lee & Utecht
200 Oceangate, Suite 1550
Long Beach, CA 90802
562-432-0453
Customer No. 27629

TOTAL NUMBER OF PAGES BEING SUBMITTED

11. The total number of pages being submitted, **including cover sheet, attachment(s), and document(s)** are: 6

(Cover Sheet for Assignment Accompanying New Patent Application--page 3 of 3)