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Docket No.: P-122

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U.S. DEPARTMENT OF COMMERCE
Patent and Trademark Office

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To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):

Klaus Brendel

2. Name and address of receiving party(ies):

Name: The University of Arizona

Address: 888 N. Euclid Avenue

Additional names(s) of conveying party(ies)

☐ Yes ☒ No

3. Nature of conveyance:

☒ Assignment

☐ Merger

☐ Security Agreement

☐ Change of Name

☐ Other

City: Tucson

State/Prov.: AZ

Country: USA

ZIP: 85721-0158

Execution Date: 12/19/1997

Additional name(s) & address(es)

☐ Yes ☒ No

4. Application number(s) or patent numbers(s):

If this document is being filed together with a new application, the execution date of the application is:

Patent Application No.

Filing date

B. Patent No.(s)

5,948,779

Additional numbers

☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Robert W. Stevenson

Registration No. 31064

Address: Cell Pathways, Inc.

702 Electronic Drive

City: Horsham

State/Prov.: PA

Country: USA

ZIP: 19044

6. Total number of applications and patents involved:

1

7. Total fee (37 CFR 3.41):.....\$ 40.00

☒ Enclosed - Any excess or insufficiency should be credited or debited to deposit account

☐ Authorized to be charged to deposit account

8. Deposit account number:

500352

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9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Robert W. Stevenson

Signature

February 20, 2002

Date

Name of Person Signing

03/14/2002 DBYRNE

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Total number of pages including cover sheet, attachments, and

Mail documents to be recorded with required cover sheet information to:

Commissioner of Patents & Trademarks, Box Assignments
Washington, D.C. 20231

PATENT

REEL: 012653 FRAME: 0937

ASSIGNMENT

WHEREAS, Klaus Brendel hereinafter called the "Assignor", has made with others the invention described in the United States patent application entitled SUBSTITUTED CONDENSATION PRODUCTS OF N-BENZYL-3-INDENYLACETAMIDES WITH HETEROCYCLIC ALDEHYDES, for a full description of which reference is here made to an application for Letters Patent of the United States filed on December 12, 1997 and assigned Application Serial No. _____;

WHEREAS, The University of Arizona, existing under the laws of the State of Arizona, having a place of business in Tuscon, Arizona, hereinafter called the "Assignee", desires to acquire the Assignor's right, title and interest in and to the invention and the patent application identified above, and all patents which may be obtained for said invention, as set forth below;

NOW, THEREFORE, in consideration of the sum of One Dollar (\$1.00), and other valuable and legally sufficient consideration, the receipt of which by the Assignor from the Assignee is hereby acknowledged, the Assignor have sold, assigned and transferred, and by these presents do sell, assign and transfer to the Assignee, the Assignor's right, title and interest for the United States in and to the invention and the patent application identified above, and any patents that may issue for said invention in the United States; together with the Assignor's right, title and interest in and to said invention and all patent applications and patents therefor in all countries foreign to the United States, including the full right to claim for any such application all benefits and priority rights under any applicable convention; together with the Assignor's right, title and interest in and to all continuations, divisions, renewals and extensions of any of the patent applications and patents defined above; to have and to hold for the sole and exclusive use and benefit of the Assignee, its successors and assigns, to the full end of the term or terms for all such patents.

The Assignor hereby covenant and agrees, for both the Assignor and the Assignor's legal representatives, that the Assignor will assist the Assignee in the prosecution of the patent application identified above; in the making and prosecution of any other patent applications that the Assignee may elect to make covering the invention identified above; in vesting in the

Assignee like title in and to all such other patent applications and patents; and in the prosecution of any interference which may arise involving said invention, or any such patent application or patent; and that the Assignor will execute and deliver to the Assignee any and all additional papers which may be requested by the Assignee to carry out the terms of this Assignment.

The Commissioner of Patents and Trademarks is hereby authorized and requested to issue patents to the Assignee in accordance with the terms of this Assignment.

The Assignor has executed this agreement as follows:

DATE:

DEC 19 97

Klaus Brendel

Klaus Brendel

WITNESSED:

Margaret A. Horvath
Robert A. Jensen