

03-13-2002



EET

2.25.02

FAUB-25,526

To the Honorable Commission

102013286

attached original documents or copy thereof.

1. Name of conveying party(ies):  
 John F. Canedy  
 Leo Faubion  
 Paul Lenox  
 Larry G. Stanford

Additional name(s) of conveying party(ies) attached? Yes  X No



2. Name and address of receiving party(ies):  
 Name: Faubion Associates, Inc.  
 Street Address: P.O. Box 150159  
 City Dallas  
 State Texas Zip 75315

Additional name(s) & address(es) attached? Yes  X No

3. Nature of Conveyance:  
 Assignment  Merger  
 Security Agreement  Change of Name  
 Other \_\_\_\_\_

Execution Date: February 1, 2002

4. Application number(s) or patent number(s):  
 If this document is being filed together with a new application, the execution date of the application is \_\_\_\_\_

A. Patent Application No.(s)  
09/997,401

B. Patent No.(s)  
 \_\_\_\_\_

Additional numbers attached? Yes  X No

5. Name and address of party to whom correspondence concerning document should be mailed:  
 Name: John J. Arnott  
HOWISON, THOMA & ARNOTT, L.L.P.  
 Street Address: P.O. Box 741715  
 City Dallas State TX Zip 75374-1715

6. Total number of applications and patents involved: one (1)

7. Total fee (37 CFR 3.41): \$ 40.00  
 Enclosed  
 Authorized to be charged to deposit account

8. Deposit Account Number: 20-0780/FAUB-25,526  
 (Attach duplicate copy of this page if paying by deposit account)

DO NOT USE THIS SPACE

9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

John J. Arnott  
Name of Person Signing

John J. Arnott Signature REC. 39,095 2/12/02 Date

Total number of pages including cover sheets, attachments and document six (6)

OMB No. 0651-0011 (exp. 4/94)

Do not detach this portion

Mail documents to be recorded with required cover sheet information to:

Commissioner of Patents and Trademarks  
Box Assignments  
Washington, D.C. 20231

Public burden reporting for this sample cover sheet is estimated to average about 30 minutes per document to be recorded, including time for reviewing the document and gathering the data needed, and completing and reviewing the sample cover sheet. Send comments regarding this burden estimate to the U.S. Patent and Trademark Office, Office of Information Systems, PK2-1000C, Washington, D.C. 20503, and to the Office of Management and Budget, Paperwork Reduction Project, (0651-0011), Washington, D.C. 20503

BERNE 00000078 09997401 40.00 00 00 00.00 03/06/2002 BERNE 00000078 09997401 05 FC:581 40.00 00

**ASSIGNMENT**

WHEREAS, we, John F. Canedy, Leo Faubion, Paul Lenox, and Larry G. Stanford, citizens of U.S.A. and residents of Rowlett, Texas, Plano, Texas, Mesquite, Texas, and Coppell, Texas, have invented certain new and useful improvements in "DISPLAY CASE SECURITY APPARATUS" for which application for *United States Letters Patent* was filed on November 29, 2001 and assigned Serial No. 09/997,401.

WHEREAS, Faubion Associates, Inc., a corporation of the State of Texas, which has a business address of P.O. Box 150159, Dallas, Texas 75315, (hereinafter referred to as "Assignee"), is desirous of acquiring our entire right, title and interest in and to the invention, and in and to said application and any Letters Patent that may issue thereon;

NOW, THEREFORE, for and in consideration of One Dollar (\$1.00) and other good and valuable consideration, the receipt and sufficiency of which is hereby acknowledged, we do hereby sell, assign and transfer unto said Assignee, its successors, assigns and legal representatives the full and exclusive right, title and interest in and to said invention and in and to said application and all patents which may be granted therefor, and all divisions, reissues, substitutions, continuations, continuations-in-part and extensions thereof; and we hereby authorize and request the Commissioner of Patents and Trademarks to issue all patents for said invention, or patents resulting therefrom, insofar as our interests are concerned, to said Assignee of our entire right, title and interest.

We also hereby sell and assign to said Assignee, its successors, assigns and legal representatives the full and exclusive right, title and interest to the invention disclosed in said application throughout the world, including the right to file applications and obtain patents, utility models, industrial models and designs for said invention in its own name throughout the world including all rights of priority, all rights to publish cautionary notices reserving ownership of said invention and all rights to register said invention in appropriate registries; and we further agree to execute any and all powers of attorney, applications, assignments, declarations, affidavits, and any other papers in connection therewith necessary to perfect such right, title and interest in Assignee, its successors, assigns and legal representatives.

We hereby further agree that we will communicate to said Assignee, or to its successors, assigns and legal representatives, any facts known to us respecting any improvements, and, at the expense of said Assignee, to testify in any legal proceedings, sign all lawful papers, execute all divisional, continuation, continuation-in-part, reissue and substitute applications, make all lawful oaths, and generally do everything possible to vest title in said Assignee and to aid said Assignee, its successors, assigns and legal representatives to obtain and enforce proper protection for said invention in all countries.

**ASSIGNMENT**  
**Serial No. 09/997,401**

**Page 1 of 5**

**PATENT**  
**REEL: 012656 FRAME: 0059**

Name of First Joint Inventor: **John F. Canedy**

Residence (City, State): **Rowlett, Texas**

Signature: \_\_\_\_\_

*John F. Canedy*

Date: \_\_\_\_\_

*2-01-02*

STATE OF *Texas* §

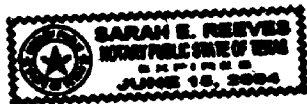
COUNTY OF *Dallas* § ss:

BEFORE ME, a Notary Public, on this 01 day of 02, 2002, personally appeared John F. Canedy, known to me to be the person whose name is subscribed to the foregoing instrument and acknowledged to me that he executed same of his own free will for the purposes and consideration therein expressed.

GIVEN UNDER MY HAND AND SEAL of office this 01 day of 02 A.D., 2002.

*Sarah E. Rewes*

Notary Public



Commission Expires:

6/15/04

Name of Second Joint Inventor: **Leo Faubion**

Residence (City, State): **Plano, Texas**

Signature: \_\_\_\_\_

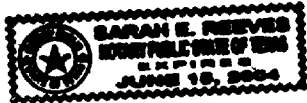
Date: 2-01-02

STATE OF Texas §  
COUNTY OF Dallas § ss:

BEFORE ME, a Notary Public, on this 1 day of Feb., 2002, personally appeared Leo Faubion, known to me to be the person whose name is subscribed to the foregoing instrument and acknowledged to me that he executed same of his own free will for the purposes and consideration therein expressed.

GIVEN UNDER MY HAND AND SEAL of office this 1 day of FEB. A.D., 2002.

Sarah E. Reeves  
Notary Public



Commission Expires:  
6/15/04

Name of Third Joint Inventor: **Paul Lenox**

Residence (City, State): **Mesquite, Texas**

Signature: *[Handwritten Signature]*

Date: 2-1-02

STATE OF Texas §  
COUNTY OF Dallas § ss:

BEFORE ME, a Notary Public, on this 01 day of 02, 2002, personally appeared Paul Lenox, known to me to be the person whose name is subscribed to the foregoing instrument and acknowledged to me that he executed same of his own free will for the purposes and consideration therein expressed.

GIVEN UNDER MY HAND AND SEAL of office this 01 day of 02 A.D., 2002.



*Sarah E. Reeves*  
Notary Public

Commission Expires:  
6/15/04

Name of Fourth Joint Inventor: **Larry G. Stanford**

Residence (City, State): **Coppell, Texas**

Signature: \_\_\_\_\_  
*Larry G. Stanford*

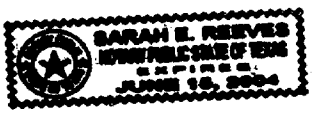
Date: 2-1-02

STATE OF Texas §  
COUNTY OF Dallas § ss:

BEFORE ME, a Notary Public, on this 01 day of 02, 2002, personally appeared Larry G. Stanford, known to me to be the person whose name is subscribed to the foregoing instrument and acknowledged to me that he executed same of his own free will for the purposes and consideration therein expressed.

GIVEN UNDER MY HAND AND SEAL of office this 01 day of 02 A.D., 2002.

Sarah E. Reeves  
Notary Public



Commission Expires:  
6/15/04