

03-13-2002

U.S. DEPARTMENT OF COMMERCE



VER SHEET

Patent and Trademark Office  
Docket No. 246152015300

102014710

PTO/PCT Rec'd 18 FEB 2002

To the Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.

## 1. Name of conveying party(ies):

Johannes BOOIJ and Ageeth Geertruida LEFFERTS

- ☒ Individual(s)      ☐ Association  
☐ General Partnership      ☐ Limited Partnership  
☐ Corporation-State      ☐ Other

Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

## 2. Name and address of receiving party(ies):

Name: DSM N.V.  
 Internal Address: \*  
 Street Address: Het Overloon 1  
 City: Te Heerlen State: THE NETHERLANDS ZIP: 6411

Additional name(s) & address(es) attached? ☐ Yes ☒ No

## 3. Nature of conveyance:

- ☒ Assignment      ☐ Merger  
☐ Security Agreement      ☐ Change of Name  
☐ Other:

Execution Date: 6 September 2001; 17 September 2001

## 4. Application number(s) or patent number(s): 09/937,834

If this document is being filed together with a new application, the execution date of the application is:

A. Patent Application No.(s)

B. Patent No.(s)

Additional numbers attached? ☐ Yes ☒ No

09 937834

## 5. Name and address of party to whom correspondence concerning document should be mailed:

Kate H. Murashige  
 Morrison & Foerster LLP  
 3811 Valley Centre Drive  
 Suite 500  
 San Diego, California 92130-2332

## 6. Total number of applications and patents involved: 1

## 7. Total fee (37 C.F.R. § 3.41): \$40.00

- ☐ Enclosed  
☒ Authorized to be charged to deposit account, referencing  
 Attorney Docket 246152015300

## 8. Deposit account number: 03-1952

The Commissioner is hereby authorized to charge any fees under 37 C.F.R. § 1.21 that may be required by this paper, or to credit any overpayment to Deposit Account No. 03-1952.

DO NOT USE THIS SPACE

## 9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Name: Kate H. Murashige  
 Registration No: 29,959

Signature

January 25, 2002

Date

Total number of pages comprising cover sheet, attachments and document: 3

02/15/2002 MNGUYEN 00000172 031952 09937834

01 FC:581 40.00 CH

Mail documents to be recorded with required cover sheet information to:

U.S. Patent and Trademark Office  
 Office of Public Records  
 Box Assignments  
 Crystal Gateway 4, Room 335  
 Washington, D.C. 20231

sd-75873

PATENT  
 REEL: 012656 FRAME: 0134

ASSIGNMENT OF APPLICATION FOR PATENT

WHEREAS, WE,

BOOIJ, Johannes  
EFFERTS, Ageeth, Geertruida  
citizens of The Netherlands and  
residingly (respectively) at  
Bos en Duinplein 10, 2061 VS BLOEMENDAAL, The Netherlands  
Henri 't Sasplein 10, 4535 RG BREDA, The Netherlands

for which Application PCT/EP00/02917 has been filed on 03/04/2000 in which the United States has been named as a Designated State, and an application for Letters Patent of the United States thus entitled has been made, said application having been executed on even date herewith and the European priority date of 01/04/1999 of Application Serial No. 99201034.8, is hereby claimed.

WHEREAS, DSM N.V. a corporation duly organized and existing under the laws of the Netherlands and having a place of business at Het Overloon 1, 6411 TE HEERLEN, the Netherlands is desirous of acquiring the entire right, title and interest in and to said invention, application and any Letters Patent which may issue thereon;

NOW, THEREFORE, to all whom it may concern, be it known that we, the said inventors for and in consideration of the sum of ONE DOLLAR (\$1.00) to us in hand paid by the said DSM N.V. and for other good, and valuable considerations, the receipt, of all which is hereby acknowledged, do hereby sell, assign, transfer and set over unto the said DSM N.V. its successors and assigns, the entire right, title and interest in and to

