

REC

03-13-2002

SHEET



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Tab settings

To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):

John S. Holmes; Wolfgang Daum; Jerry J. Queen II

2-19-02

Additional name(s) of conveying party(ies) attached ☐ Yes ☒ No

2. Name and address of receiving party(ies)

Name: General Electric Company

Internal Address:

Street Address: 1 River Road

City: Schenectady State: NY ZIP: 12345

Additional name(s) & address(es) attached? ☐ Yes ☒ No

3. Nature of conveyance:

☒ Assignment

☐ Merger

☐ Security Agreement

☐ Change of Name

☐ Other

Execution Date: 12/18/01; 12/18/01; 12/12/01, respectively

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is: 12/18/01; 12/18/01; 12/12/01, respectively

A. Patent Application No.(s)

09/755,296

B. Patent No.(s)

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: John S. Beulick

Internal Address: Armstrong Teasdale, LLP.

Street Address: One Metropolitan Sq. Suite 2600

City: St. Louis State: MO ZIP: 63102

03/12/2002 TDIAZ1 00000141 012384 09755296

01 FC:581 40.00 CH

6. Total number of applications and patents involved: 1

7. Total fee (37 CFR 3.41).....\$ 40.00

☐ Enclosed

☒ Authorized to be charged to deposit account

8. Deposit account number:

01-2384

(Attach duplicate copy of this page if paying by deposit account)

DO NOT USE THIS SPACE

9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Thomas M. Fisher
Name of Person Signing

Signature

January 29, 2002
Date

5

Total number of pages including cover sheet, attachments, and document:

Mail documents to be recorded with required cover sheet information to:
Commissioner of Patents & Trademarks, Box Assignments
Washington, D.G. 20231

PATENT
REEL: 012656 FRAME: 0486

ASSIGNMENT

Attorney's Docket No.

9D-HR-19184

In consideration of One Dollar and other good and valuable consideration, of which I acknowledge receipt, we, **John S. Holmes**, of **8329 Plum Valley Drive, Sellersburg, Indiana 47172**, **Wolfgang Daum**, of **6912 Fallen Leaf Circle, Louisville, KY 40241** and **Jerry Queen** of **3805 Lola Dell Court, New Albany, Indiana 47150**, sell and assign to General Electric Company, a New York Corporation, (hereinafter referred to as "Company"), its successors and assigns, the entire right, title and interest in and to the improvements in **DETERMINISTIC REFRIGERATOR DEFROST METHOD AND APPARATUS**, invented by us, as described in the application for the United States patent filed **January 5, 2001**, S.N. **09/755,296**, and any and all applications for patent and patents therefor in any and all countries, including all divisions, reissues, continuations and extensions thereof, and all rights of priority resulting from the filing of said United States application, and authorize and request any official whose duty it is to issue patents, to issue any patent on said improvements or resulting therefrom to said Company, or its successors or assigns and agree that on request and without further consideration, but at the expense of said Company, we will communicate to said Company or its representatives or nominees any facts known to us respecting said improvements and testify in any legal proceeding, sign all lawful papers, execute all divisional, continuing and reissue applications, make all rightful oaths and generally do everything possible to aid said Company, its successors, assigns, and nominees to obtain and enforce proper patent protection for said improvements in all countries.

Dec 18, 2001


JOHN S. HOLMES

December 18, 2001


WOLFGANG DAUM

_____, 20____

JERRY QUEEN

ASSIGNMENT

Attorney's Docket No.

9D-HR-19184

STATE OF Kentucky)
) ss.
County of Jefferson)

This 18th day of December, 2001, before me personally came the above-named **JOHN S. HOLMES**, to me personally known as the individual who executed the foregoing Assignment, who acknowledged to me that he executed the same of his own free will for the purposes therein set forth.

Linda S. Chesson
Notary Public

My commission expires:

Sept. 11, 2003

STATE OF Kentucky)
) ss.
County of Jefferson)

This 18th day of December, 2001, before me personally came the above-named **WOLFGANG DAUM**, to me personally known as the individual who executed the foregoing Assignment, who acknowledged to me that he executed the same of his own free will for the purposes therein set forth.

Linda S. Chesson
Notary Public

My commission expires:

Sept. 11, 2003

STATE OF _____)
) ss.
County of _____)

This _____ day of _____, 20____, before me personally came the above-named **JERRY QUEEN**, to me personally known as the individual who executed the foregoing Assignment, who acknowledged to me that he executed the same of his own free will for the purposes therein set forth.

My commission expires:

Notary Public

ASSIGNMENT

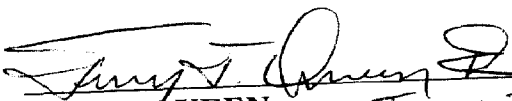
Attorney's Docket No.

9D-HR-19184

In consideration of One Dollar and other good and valuable consideration, of which I acknowledge receipt, we, **John S. Holmes**, of **8329 Plum Valley Drive, Sellersburg, Indiana 47172**, **Wolfgang Daum**, of **6912 Fallen Leaf Circle, Louisville, KY 40241** and ^{JERRY J. QUEEN II}~~Jerry Queen~~ of **3805 Lola Dell Court, New Albany, Indiana 47150**, sell and assign to General Electric Company, a New York Corporation, (hereinafter referred to as "Company"), its successors and assigns, the entire right, title and interest in and to the improvements in **DETERMINISTIC REFRIGERATOR DEFROST METHOD AND APPARATUS**, invented by us, as described in the application for the United States patent filed **January 5, 2001**, S.N. **09/755,296**, and any and all applications for patent and patents therefor in any and all countries, including all divisions, reissues, continuations and extensions thereof, and all rights of priority resulting from the filing of said United States application, and authorize and request any official whose duty it is to issue patents, to issue any patent on said improvements or resulting therefrom to said Company, or its successors or assigns and agree that on request and without further consideration, but at the expense of said Company, we will communicate to said Company or its representatives or nominees any facts known to us respecting said improvements and testify in any legal proceeding, sign all lawful papers, execute all divisional, continuing and reissue applications, make all rightful oaths and generally do everything possible to aid said Company, its successors, assigns, and nominees to obtain and enforce proper patent protection for said improvements in all countries.

_____, 20____
JOHN S. HOLMES

_____, 20____
WOLFGANG DAUM

^{JERRY J. QUEEN II}~~JERRY QUEEN~~ 
JERRY J. QUEEN II

12/12, 2001

ASSIGNMENT

Attorney's Docket No.

9D-HR-19184

STATE OF _____)
 _____) ss.
 County of _____)

This _____ day of _____, 20____, before me personally came the above-named **JOHN S. HOLMES**, to me personally known as the individual who executed the foregoing Assignment, who acknowledged to me that he executed the same of his own free will for the purposes therein set forth.

[Signature]

My commission expires:

 Notary Public

STATE OF _____)
 _____) ss.
 County of _____)

This _____ day of _____, 20____, before me personally came the above-named **WOLFGANG DAUM**, to me personally known as the individual who executed the foregoing Assignment, who acknowledged to me that he executed the same of his own free will for the purposes therein set forth.

My commission expires:

 Notary Public

STATE OF IN)
 _____) ss.
 County of Floyd)

This 13th day of Dec, 2001, before me personally came the above-named ~~JERRY QUEEN, JR~~ JERRY J. QUEEN, JR, to me personally known as the individual who executed the foregoing Assignment, who acknowledged to me that he executed the same of his own free will for the purposes therein set forth.

Rhonda K Reed

My commission expires:

 Notary Public

Rhonda K Reed

Resident of Floyd Co

3-12-08