

FORM PTO-1595 (Modified)
(Rev. 03-01)
OMB No. 0651-0027 (exp. 5/31/2002)
PO8A/REV03

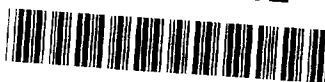
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02/20/02



102014760

To the Honorable Commissioner of Patents and Trademarks, attached original documents or copy thereof.

1. Name of conveying party(ies):

- (1) Simone Anders
(2) Shouheng Sun

2. Name and address of receiving party(ies):

Name: International Business Machines Corporation

Address: New Orchard Road

Additional names(s) of conveying party(ies)

☐ Yes ☒ No

3. Nature of conveyance:

- ☒ Assignment ☐ Merger
☐ Security Agreement ☐ Change of Name
☐ Other

Execution Date: 02/18/02, 02/15/02

Additional name(s) & address(es)

☐ Yes ☒ No

4. Application number(s) or patent numbers(s):

If this document is being filed together with a new application, the execution date of the application is: 02/18/02, 02/15/02

Patent Application No.

Filing date

B. Patent No.(s)

03/12/2002 TDI A21 00000192 500510 10080195

01 FC:581

40.00 CH

Additional numbers

☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Frederick W. Gibb, III

Registration No. 37,629

Address: McGinn & Gibb, PLLC

2568-A Riva Road

Suite 304

City: Annapolis State/Prov.: MD

Country: USA ZIP: 21401

6. Total number of applications and patents involved:

1

7. Total fee (37 CFR 3.41):.....\$ 40.00

- ☐ Enclosed - Any excess or insufficiency should be credited or debited to deposit account
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8. Deposit account number:

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To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Frederick W. Gibb, III (301) 261-8071

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3

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PATENT

REEL: 012656 FRAME: 0789

ASSIGNMENT

Whereas, we

**Inventor
and City** (1) Simone Anders
County of Santa Clara

City of Cupertino
and State of California

(2) Shouheng Sun
County of New Castle

City of Millwood
and State of New York

have invented certain improvements in

Title MONODISPERSE NANOPARTICLE CONTAINING THIN FILMS VIA SELF-
ASSEMBLY

**Dates That
Inventors
Signed the
Declaration** and have executed, respectively, a United States patent application therefor on

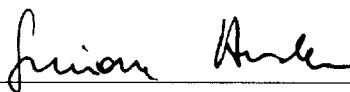
(1) 2/18/2002, and (2) 2/15/2002.

Whereas, INTERNATIONAL BUSINESS MACHINES CORPORATION, a corporation of New York, having a place of business at Armonk, New York, 10504, (hereinafter called IBM), desires to acquire the entire right, title and interest in the said application and invention, and to any United States and foreign patents to be obtained therefor;

Now therefore, for a valuable consideration, receipt whereof is hereby acknowledged, we the above named hereby sell, assign, and transfer to IBM, its successors and assigns, the entire right, title and interest in the said application and invention therein disclosed for the United States and foreign countries, and all rights of priority resulting from the filing of said United States application, and we request the Commissioner of Patents to issue any Letters Patent granted upon the invention set forth in said application to IBM, its successors and assigns; and we hereby agree that IBM may apply for foreign Letters Patent on said invention and we will execute all papers necessary in connection with the United States and foreign applications when called upon to do so by IBM.

City (1) Signed at

Date on 2/18/02



(Simone
First Name

Middle Initial

Anders)
Last Name

signature
inventor

City (2) Signed at

Date on 2/15/02



(Shouheng
First Name

Middle Initial

Sun)
Last Name

signature
inventor